

01-14-2002

Docket No.: PES-0033

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp 5/31/2002)
P08/REV03

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lawrence C. Moulthrop, Jr.
Trent M. Molter
A. John Speranza
Mark A. Lillis
William Smith

2. Name and address of receiving party(ies):

Name: **PROTON ENERGY SYSTEMS, INC.**

Internal Address: _____

Additional names(s) of conveying party(ies)

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Street Address: **50 Inwood Road**City: **Rocky Hill**State: **CT**ZIP: **06067**Execution Date: **10-1-01/ 10-2-01/10-2-01/10-2-01/10-7-01/**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/966,727**September 28, 2001**Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Leah M. Reimer**Internal Address: **Cantor Colburn LLP**Street Address: **55 Griffin Road South**

01/14/2002 DEBYNE 00000350 09966727

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40.00 OP

City: **Bloomfield**State: **CT**ZIP: **06002**

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ **40.00**☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

06-1130

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leah M. Reimer

Name of Person Signing

Signature

November 26, 2001

Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 012459 FRAME: 0078

Docket No. PES-0033
Serial No. 09/966,727

Additional Names of Conveying Parties

Jason K. Shiepe
Thomas M. Skoczylas

ASSIGNMENT

WHEREAS We, LAWRENCE C. MOULTHROP, JR., of Windsor, in the County of Hartford and the State of Connecticut, TRENT M. MOLTER, of Glastonbury, in the County of Hartford and the State of Connecticut, A. JOHN SPERANZA of West Hartford, in the County of Hartford and the State of Connecticut, MARK A. LILLIS of South Windsor, in the County of Hartford and the State of Connecticut, WILLIAM SMITH, of Suffield, in the County of Hartford and the State of Connecticut, JASON K. SHIEPE, of Middletown, in the County of Middlesex and State of Connecticut, and THOMAS M. SKOCZYLAS, Guilford, in the County of New Haven and State of Connecticut; have invented certain new and useful improvements in:

REGENERATIVE ELECTROCHEMICAL CELL
SYSTEM AND METHOD FOR USE THEREOF

for which an application for a United States Patent was filed on September 28, 2001;

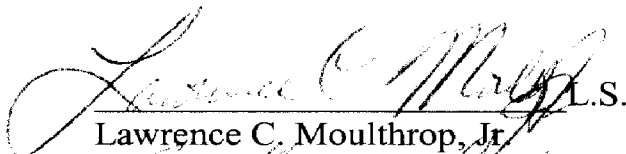
AND WHEREAS, PROTON ENERGY SYSTEMS, INC., a corporation organized and existing under the laws of the State of Delaware, having a place of business at 50 Inwood Road, Rocky Hill, Connecticut 06067, is desirous of acquiring an interest, in the United States and all foreign countries, in and to the said invention and the Letters Patent to be obtained therefore;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that, for and in consideration of one (\$1.00) dollar to us in hand paid, and other good and valuable considerations, the receipt of all of which is hereby acknowledged, We, the said Lawrence C. Moulthrop, Jr., Trent M. Molter, A. John Speranza, Mark A. Lillis, William Smith, Jason K. Shiepe, and Thomas M. Skoczylas, have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said PROTON ENERGY SYSTEMS, INC., the entire right, title and interest in and to said invention in the United States and in all foreign countries, including priority rights, as fully set forth and described in said application which We have executed on the date set forth hereunder;

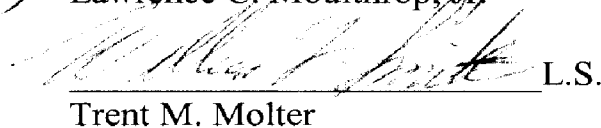
and We do hereby authorize and request the Commissioner of Patents to issue said Letters Patent on said application, and any and all Letters Patent that may be issued upon any and all revivals, refilings, continuations, continuation-in-part, divisions and reissues thereof, to the said PROTON ENERGY SYSTEMS, INC., as assignee of the entire right, title and interest in and to the same, for the sole use and behoof of the PROTON ENERGY SYSTEMS, INC., its successors and assigns; and We do hereby agree that the said PROTON ENERGY SYSTEMS, INC., may apply for foreign Letters Patent on said invention and that We will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by the said PROTON ENERGY SYSTEMS, INC., its successors or assigns, and that We will, at the cost and expense of the said PROTON ENERGY SYSTEMS, INC. fully assist and cooperate in all matters in connection with the United States and foreign applications and patents issuing thereon.

The undersigned declares that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 10/1/2001

 L.S.
Lawrence C. Moulthrop, Jr.


Date: 10/2/2001

 L.S.
Trent M. Molter

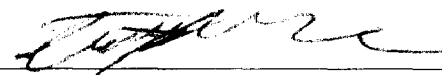
Date: 10/2/2001

 L.S.
A. John Speranza

Date: 10/2/2001

 L.S.
Mark A. Lillis

Date: 10/7/01



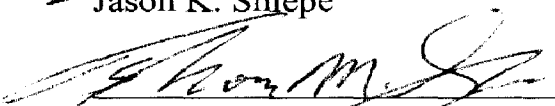
William Smith L.S.

Date: 10/5/01



Jason K. Shiepe L.S.

Date: 10/5/01



Thomas M. Skoczylas L.S.