

A/D 581-40

10/019810
JC13 Rec'd PCT/PTO 19 OCT 2001

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
M&G-10921.101USWO

03-11-2002



102002501

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

10-19-01

To the Commissioner of Patents and Trademarks: Please record the attached original documents on copy...

1. Name of conveying party(ies):

ARAKI, Eiichi (October 12, 2001)
SUGIHARA, Norihiro (October 12, 2001)
NAKAO, Kaichiro (October 12, 2001)
MANABE, Hiroshi (October 12, 2001)

2. Name and address of receiving party(ies):

SUMITOMO SEIKA CHEMICALS CO., LTD.
346-1, Miyanishi, Harima-cho
Kako-gun, Hyogo 675-0145
JAPAN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: see above

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 12, 2001

A. Patent Application No.(s)

B. Patent No.(s)

10019810

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas P. Mueller
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903



6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Curtis B. Hamre

Name of Person Signing

Signature

October 19, 2001

Date

Total number of pages including cover sheet, attachments, and document: 2

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Box Assignments
Director - U.S. Patent and Trademark Office
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

01/15/2002 UEDUUIJE 00000013 10019810

02 FD:581

40.00 DP

PATENT
REEL: 012460 FRAME: 0333

A S S I G N M E N T

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

Sumitomo Seika Chemicals Co., Ltd.

346-1, Miyanishi, Harima-cho, Kako-gun, Hyogo 675-0145 Japan

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

AQUEOUS DISPERSION OF POLYAMIDE RESIN AND
METHOD OF MANUFACTURE THEREOF

as set forth in his United States Patent Application

☒ [X] executed concurrently herewith

☐ [] executed on _____

☐ [] Serial No. _____ filed _____

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention.

Eiichi Araki date October 12, 2001

Name: Eiichi Araki

Kaichiro Nakao date October 12, 2001

Name: Kaichiro Nakao

_____ date _____

Name:

Norihiro Sugihara date October 12, 2001

Name: Norihiro Sugihara

Hiroshi Manabe date October 12, 2001

Name: Hiroshi Manabe

_____ date _____

Name:

Note: Prima facie evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.