

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**RECORDATION FORM COVER SHEET  
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

- ☒ New
- ☐ Resubmission (Non-Recordation)  
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- ☐ Correction of PTO Error  
Reel #  Frame #
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**Conveyance Type**

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

- ☐ Mark if additional names of conveying parties attached
- Execution Date  
Month Day Year  
1/25/02

Name (line 1) Zhengbai Liu

Name (line 2)

**Second Party**

Name (line 1) Xinqun Gui

Execution Date  
Month Day Year  
1/25/02

Name (line 2)

**Receiving Party**

- ☐ Mark if additional names of receiving parties attached

Name (line 1) International Engine Intellectual Property Company, L.L.C.

Name (line 2)

Address (line 1) 4201 Winfield Rd.

Address (line 2)

Address (line 3) Warrenville

City

IL/USA

State/Country

60555

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practices. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
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**PATENT**

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REEL: 012461 FRAME: 0943

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Expires 06/30/99  
OMB 0651-0027

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U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT****Correspondent Name and Address**Area Code and Telephone Number 630/753-2311Name Dennis Kelly SullivanAddress (line 1) International Truck and Engine CorporationAddress (line 2) 4201 Winfield Rd.Address (line 3) Address (line 4) Warrenville, IL 60555**Pages**Enter the total number of pages of the attached conveyance document  
including any attachments.# 3**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)****Patent Number(s)**10/060,597If this document is being filed together with a new Patent Application, enter the date the patent application was  
signed by the first named executing inventor.Month Day Year  
1/30/02**Patent Cooperation Treaty (PCT)**

Enter PCT application number

PCT  PCT  PCT only if a U.S. Application Number  
has not been assigned.PCT  PCT  PCT **Number of Properties**

Enter the total number of properties involved.

# 1**Fee Amount**Fee Amount for Properties Listed (37 CFR 3.41): \$ 40

Method of Payment:

Deposit Account

Enclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

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Authorization to charge additional fees:

Yes

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No

☐**Statement and Signature***To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.*

Cathi Majewski

3/11/02

Name of Person Signing

Signature

Date

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PATENT

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Puning Wei

Execution Date  
Month Day Year

1/25/02

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

## Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic representative  
is attached. (Designation  
must be a separate  
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Assignment.)☐ If document to be recorded  
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## Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)

## Patent Number(s)

D-5255

## JOINT ASSIGNMENT

WHEREAS, (1) Zhengbai Liu; (2) Xinqun Gui ; and (3) Puning Wei

residing, respectively, at (1) 4793 Lake Valley Drive, #2A, Lisle, IL 60532; (2) 2687 Yorkshire Lane, Lisle, IL 60532; and (3) 4793 Lake Valley Drive, #2A, Lisle, IL 60532

(hereinafter referred to as ASSIGNORS) have invented certain new and useful improvements in INTERNATIONAL Docket No. D-5255 entitled:

**Governor Plate Apparatus**

for which they are about to make application for Letters Patent in the United States, said application having a Declaration and Power of Attorney executed by ASSIGNORS respectively on (1) on the \_\_\_\_ day of \_\_\_\_\_, 2002; (2) on the \_\_\_\_ day of \_\_\_\_\_, 2002; (3) on the \_\_\_\_ day of \_\_\_\_\_, 2002

or is identified subsequent to filing in the United States Patent and Trademark Office as Serial No. 10/060,597 filed 1/30/02 and

WHEREAS, INTERNATIONAL ENGINE INTELLECTUAL PROPERTY COMPANY, LLC, a corporation existing under the laws of the State of Illinois, (hereinafter referred to as INTERNATIONAL) having its principal place of business at 4201 Winfield Road, Warrenville, Illinois 60555 is desirous of acquiring the entire right, title and interest in and to the aforesaid invention or inventions, and any Letters Patent granted hereon by the United States of America and any foreign country;

NOW THEREFORE, in consideration of the sum of One Dollar and other valuable consideration received by ASSIGNORS from INTERNATIONAL, the receipt of which is hereby acknowledged by ASSIGNORS, ASSIGNORS do hereby sell, assign, and transfer to INTERNATIONAL said invention or inventions, said application, divisional applications thereof, continuation applications thereof and any and all Letters Patent of the United States and any foreign country which may be granted therefor, and any reissue or reissues, or extension or extensions thereof, together with the right to file applications in, and to acquire Letters Patent in, all countries foreign to the United States in the name of INTERNATIONAL or as it may direct, and to claim for such foreign applications and Letters Patent the priority date of filing of the said International or United States application under the provisions of the Patent Cooperation Treaty, the International Convention for the Protection of Industrial Property or the European Patent Convention in the countries in which it applies.

ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or inventions or resulting from said application, or any division or divisions or continuations thereof to INTERNATIONAL as assignee of the entire interest.

ASSIGNORS hereby authorize INTERNATIONAL to insert the dates of execution of said application.

ASSIGNORS do hereby covenant that they have full right to convey the entire interest herein assigned, and that they have not executed and will not execute any agreement in conflict herewith.

D-5256

ASSIGNORS further covenant that they will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or inventions, or any Letters Patent that may be granted therefor in any country in INTERNATIONAL, and that if INTERNATIONAL desires to secure a division, reissue, reexamination, or renewal of any such Letters Patent, that a disclaimer relating thereto should be filed, or make application for a corresponding patent in any foreign country, that they will, upon request, sign all papers, make all rightful oaths, and do all lawful acts requisite for the application for such division, reissue, reexamination, or extension and the procuring thereof, or for the filing of such disclaimer or foreign patent application without further compensation, but at the expense of INTERNATIONAL.

ASSIGNORS do further covenant and agree that they will, at any time, upon request, communicate to INTERNATIONAL any facts relating to said invention or inventions and Letters Patent, or the history thereof known to them, and testify as to the same in interferences or other litigation when requested so to do.

This assignment shall inure to the benefit of INTERNATIONAL, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, their heirs and legal representatives.

IN WITNESS WHEREOF, they have executed this instrument.

(1) Zhengbai Liu this 25<sup>th</sup> day of January, 2002  
(Zhengbai Liu)

(2) Xinqun Gui this 25<sup>th</sup> day of January, 2002  
(Xinqun Gui)

(2) Puning Wei this 25<sup>th</sup> day of January, 2002  
(Puning Wei)

State of Illinois )  
County of COOK ) ss:

On this 15 day of JANUARY, 2002, before me came Zhengbai Liu, to me personally known, and known to me to be the individual Described in and who executed the foregoing assignment, and acknowledged to me that he executed the same of his own free will and for the Purposes therein set forth.

B. Katherine Harden  
Notary Public



D-5255

State of Illinois )  
County of COOK ) ss:

On this 25 day of JANUARY, 2002, before me came Xingun Gui, to me personally known, and known to me to be the individual Described in and who executed the foregoing assignment, and acknowledged to me that he executed the same of his own free will and for the Purposes therein set forth.

B. Katherine Harden  
Notary Public

State of Illinois )  
County of COOK ) ss:



On this 25 day of JANUARY, 2002, before me came Puning Wei, to me personally known, and known to me to be the individual Described in and who executed the foregoing assignment, and acknowledged to me that she executed the same of his own free will and for the Purposes therein set forth.

B. Katherine Harden  
Notary Public

