



01-17-2002



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Form PTO-1595  
(Rev. 03/01)

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U.S. DEPARTMENT OF COMMERCE  
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OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Malcolm Ian Falconer  
202 Beechcroft Road  
Wandsworth Common,  
London SW17 7DG

1-9-02

## 2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: P.O. Box 4000

City: Princeton State: NJ Zip: 08543

Execution Date: April 27, 1999

Additional name(s) & address(es) attached? ☐ Yes ☐ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

29/100,566

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel S. Goldberg

Internal Address: \_\_\_\_\_

Street Address: Princeton Pike Corporate Center

P.O. Box 5218

City: Princeton State: NJ Zip: 08543-5218

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

04-480

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Daniel S. Goldberg

Name of Person Signing

Signature

December 18, 2001

Date

Total number of pages including cover sheet, attachments, and documents: ☐ 20Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231The PTO did not receive the following  
listed item(s) Fee 40.00 forASSIGNMENTPATENT  
REEL: 012462 FRAME: 0445

THIS ASSIGNMENT is made the      day of

BETWEEN Malcolm Ian FALCONER, of 202 Beechcroft Road, Wandsworth Common,  
London SW17 7DG

(hereinafter called 'the Inventor') of the one part and  
BRISTOL-MYERS SQUIBB COMPANY whose registered office is situated at  
P.O. Box 4000, Princeton, New Jersey 08543-4000, U.S.A.

(hereinafter called 'the Company') of the other part

W H E R E A S:

The Inventor

- A. has made an invention/design relating to Ostomy Pouches as illustrated in the appended draft drawings
- B. undertakes and declares
  - (i) that the said draft illustrates the said invention/design to the best of his knowledge and that he believes that he is the true and first inventor thereof and
  - (ii) that he is not aware of any lawful ground of objection to the grant of letters patent in respect of the said invention.

THIS ASSIGNMENT WITNESSETH as follows:

- 1. In consideration of the sum of Twenty Pounds (receipt whereof the Inventor hereby acknowledges) the Inventor hereby assigns to the Company the benefit of and all his rights and interests (if any) in the said invention/design including the right to apply for any Patent, Registered Design or other form of Industrial Property Protection in respect thereof in the United Kingdom and elsewhere throughout the world with or without claim to priority.
- 2. The Inventor hereby covenants
  - (a) that he will give any consents or permissions and (at the expense of the Company) execute and do all such documents and acts as may be necessary or desirable to enable the Company to make any application for Patent, Registered Design or other form of Industrial Property Protection in the United Kingdom or elsewhere throughout the world in respect of the said invention/design as the Company may in its sole discretion think fit whether in the name of the Company, by itself or jointly with another or others or, if such be necessary or


the Company so desires, in the name of the Inventor or jointly with another or others;

- (b) that in any case where any such application is made in the name of the Inventor or in the name of the Company or some other person or persons jointly with the Inventor he will, at the request and expense of the Company, execute and do all such documents and acts as may be necessary or desirable to vest such application or any Patent, Registered Design or other Industrial Property Protection that may have been granted pursuant thereto in the Company absolutely;
- (c) that he will not at any time disclose, without the consent of the Company, any confidential information whatsoever concerning the said invention/design to any person who is not authorised by the Company to receive the same.


3. This Assignment is made without prejudice to any entitlement of the Inventor to be paid compensation pursuant to Sections 40 and 41 of the Patents Act, 1977.

AS WITNESS the hands of the Inventor and the authorised signatory of the Company the day and year first above written.

SIGNED by the said  
Malcolm Ian FALCONER

)  
)   
)

WITNESS

  
J. OLLINGTON

NAME

ADDRESS

50 AMBLESIDE GARDENS  
SOUTH CROYDON SURREY CR1  
DESIGN DRAUGHTSMAN

OCCUPATION

SIGNED by

)

for and on behalf of  
BRISTOL-MYERS SQUIBB COMPANY

)


)

)

)

)

in the presence of

  
Theodore R. Furman, Jr.  
Counsel, Patents - ConvaTec

WITNESS

  
Hilda Franco

NAME

ADDRESS

OCCUPATION

100 Headquarters Park Drive  
Skillman, New Jersey

Legal Secretary



0-319  
700 19

# AFFIDAVIT

I, Stuart E. Krieger, of BRISTOL-MYERS SQUIBB COMPANY, do hereby swear by virtue of my signature below, that the attached is a true copy of the original document and that it is in the possession of BRISTOL-MYERS SQUIBB COMPANY.

Stuart E. Krieger  
Stuart E. Krieger  
Associate Counsel-Patents

Dated: April 27, 1999

STATE OF NEW JERSEY )  
: SS.:  
COUNTY OF SOMERSET )

Subscribed and sworn to before me this 27 day of April 1999.

Hilda Franco  
Hilda Franco  
Notary Public of New Jersey  
My Commission Expires on January 20, 2000