

01-17-2002



101950128

Docket No.: 1-23368

HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Helmut Gegalski, November 23, 2001; Thomas Wald, November 21, 2001; Josef Knechtges, November 21, 2001; Thomas Bach, November 21, 2001; Andreas Marx, November 20, 2001; Frank Heller, November 21, 2001; Michael Schwarz, November 20, 2001; Thomas Wagner, October 31, 2001

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: See Above

2. Name and address of receiving party(ies):

Name: Lucas Industries Public Limited Company

Internal Address:

JAN 11 2002

Street Address: Stratford Road

Solihull B90 4LA, Great Britain

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

**SN 09/962,919 Filed
September 24 2001**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Allen W. Inks, Reg. No. 37,358

Internal Address: MacMillan, Sobanski & Todd, LLC

Street Address: One Maritime Plaza, Fourth Floor

720 Water Street

City: Toledo State: OH ZIP: 43604

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

11-0417

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Allen W. Inks

Name of Person Signing

Signature

December 11, 2001

Date

Total number of pages including cover sheet, attachments, and document:

5

PATENT

REEL: 012462 FRAME: 0560

09962919

01/16/2002 UNELLER 0000024 119417

01 FC:501

ASSIGNMENT

FOR VALUE RECEIVED

WE, HELMUT GEGALSKI, a citizen of Germany, residing at Kolpingstrasse 8, D-56218 Mühlheim-Kärlich, Germany, THOMAS WALD, a citizen of Germany, residing at Steingasse 16, D-56288 Holnich, Germany, JOSEF KNECHTGES, a citizen of Germany, residing at Albert-Schweizer-Strasse 2, D-56727 Mayen, Germany, THOMAS BACH, a citizen of Germany, residing at Kümmebergsweg 26, D-56567 Koblenz, Germany, ANDREAS MARX, a citizen of Germany, residing at Hauptstrasse 19D, D-56220 Kaltenengers, Germany, FRANK HELLER, a citizen of Germany, residing at Wegscheide 18, D-56567 Neuwied, Germany, MICHAEL SCHWARZ, a citizen of Germany, residing at Deutschherrenstrasse 30, D-56070 Koblenz, Germany, and THOMAS WAGNER, a citizen of Germany, residing at Jahnstrasse 109A, D-56179 Vallendar, Germany, hereby sell, assign, transfer and convey unto LUCAS INDUSTRIES PUBLIC LIMITED COMPANY, a corporation organized under the laws of Great Britain, having an office at Stratford Road, Solihull, B90 4LA, Great Britain, and VARITY GMBH, a corporation organized under the laws of Germany, having an office at Carl-Spaeter-Strasse 8, D-56070 Koblenz, Germany, their successors, assigns and legal representatives (hereinafter called the "Assignees"), our entire right, title and interest, for the United States and its territorial possessions, in and to certain inventions relating to an ANTI-BLOCKING BRAKING SYSTEM FOR A LAND VEHICLE as described in an international application No. PCT/EP 99/04466 filed March 24, 1999, and in a U.S. National Application For Patent filed with the United States Patent and Trademark Office on or about September 24, 2001 as Application Serial No 09/962,010, having Attorney Docket No. 1-23368, and in and to said application, any patent applications claiming priority to said application, and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof, and all other lawful forms of protection for said inventions in the United States and its territories.

And we authorize and empower our attorneys at MacMillan, Sobanski & Todd, One Maritime Plaza, Fourth Floor, 720 Water Street, Toledo, Ohio 43604 USA, to complete the filing information above when it becomes known.

AND we authorize and empower the said Assignees or nominees to invoke and claim for any application for patent or other form of protection for said inventions filed by them, in the United States and its territories, the benefit of the right of priority provided by any international convention or agreement including, but not limited to, the Paris Convention for the Protection of Industrial Property, as amended, the Patent Cooperation Treaty, as amended, or by any convention which may henceforth be substituted therefor, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full and legal formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignees or nominees to claim the aforesaid benefit of the right of priority provided by any international convention or agreement.

AND we hereby covenant that we have the full right to convey our entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignees or nominees all facts known to us pertaining to said inventions, and reasonably assist in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignees or nominees in obtaining, maintaining and enforcing all lawful patent protection and any other lawful form of protection for said inventions in the United States and its territorial possessions.

IN WITNESS WHEREOF, we hereunto set our hands.

Signed In The Presence Of:

[Signature]
Witness 23.11.2001

[Signature]
Helmut Gegalski

23-Nov-01
Date

[Signature]
Witness 21.11.2001

[Signature]
Thomas Wald

21-Nov-01
Date

[Signature]
Witness 21.11.2001

[Signature]
Josef Knechtges

21.11.01
Date

[Signature]
Witness 22.11.2001

[Signature]
Thomas Bach

21.11.01
Date

[Signature]
Witness 20.11.2001

[Signature]
Andreas Marx

20.11.01
Date

[Signature]
Witness 20.11.2001

[Signature]
Frank Heller

21.11.2001
Date

[Signature]
Witness 20.11.2001

[Signature]
Michael Schwarz

20.11.2001
Date

[Signature]
Witness 31.10.2001

[Signature]
Thomas Wagner

31.10.2001
Date