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U.S. DEPARTMENT OF COMMERCE RECORDATION FORING 1-31-92 'EET Patent and Trademark Office PATENTS ONLY To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Joseph F. Petolino Dow AgroSciences LLC Dayakar R. Pareddy 9330 Zionsville Road Nicole L. Hopkins Indianapolis, Indiana 46268 Katherine Armstrong United States of America Additional name(s) of conveying party(ies) attached. Additional name(s) & address(es) attached 3. Nature of conveyance: **☑** Assignment Merger Security Agreement Change of Name Other: Execution Date(s): January 29 and 30, 1998 4. Application number(s) or patent number(s): 60/072,944 Filed 01/29/1998 and 09/239,706 Filed 01/28/1999. If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s): Additional Application Number(s) or Patent Number(s) are attached. Title of Application: Transcriptional Regulatory Region. Name and address of party to whom correspondence concerning document should be mailed: 6. Total number of applications and patents involved: L Kenneth L. Loertscher 7. Total fee (37 CFR 3.41):....\$ 80.00 Dow AgroSciences LLC 9330 Zionsville Road Enclosed Indianapolis, IN 46268 ☑ Authorized to be charged to deposit account 8. Deposit account number: 04-1529 \*If this estimate is incorrect, please debit or credit our account accordingly. DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Donald R. Stuart, 28,479 Name of Person Signing Total number of pages including cover sheet, attachments, and document: 6

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**PATENT** 

REEL: 012467 FRAME: 0697

## INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION (with Priority Rights)

I verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled:

## WHISKER - MEDIATED TRANFORMATION OF PLANT CELL AGGREGATES AND PLANT TISSUES AND REGENERATION OF PLANTS THEREOF

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our names(s). I/We acknowledge that I/we hold the invention and all patents and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers.

As trustee(s), and for good and valuable consideration and/or the remuneration received for my work, I/we sell, assign and transfer unto:

Dow AgroSciences LLC 9330 Zionsville Road Indianapolis, Indiana 46268-1053 **United States of America** 

a limited liability company organized and existing under the laws of the State of Delaware, U.S.A. (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications for Letter Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all International Conventions(s) and Treaties in the name of Assignee, and all rights in and to any Letters Patent that may be issued for the invention in any and all countries.

I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

Filing Date

01/29/98

I/we do hereby authorize Assignee or its designee to insert here the application number, filing date, and country for the

To facilitate recordal of this assignment in connection value authorize Assignee or its designee to insert here the application:  Application No. 09/239,706 in country United States of America	with other patent applications on the invention, I/we do hereby oplication number, filing date, and country for any such patent Filing Date01/28/1999
such Latters Patent he issued to Assignee; and conset	s Assignment into all languages necessary; and we request that any nt to the recordal of this Assignment, or a Notarial True Copy full power to make the same, but also that such assigned rights are eretofore given.
At INDIANAPOLIS, INDIANA USA this 29 day of 19 9 k Full Name: 10 seph F. Petolino Residence: 270 Woodstock Court Zionsville, Indiana 46077 Country: United States of America Citizenship: United States of America P.O. Address: Same as residence Employed By: Dow AgroSciences LLC Of Country: United States of America	At INDIANAPOLIS, INDIANA USA this 2 Iday of 2 India 19 18  Signature Full Name: Dayakar R. Pareddy Residence: 665 Woodbine Drive East Carmel, Indiana 46033  Country: United States of America Citizenship: India P.O. Address: Same as residence Employed By: Dow AgroSciences LLC Of Country: United States of America
At INDIANAPOLIS, INDIANA USA this 27 day of 19 Signature Living 19	At INDIANAPOLIS, INDIANA USA this day of January 19 48 Signature (Author Country: United States of America P.O. Address: Same as residence Employed By: Dow Agrosciences LLC

Of Country:

Of Country:

Employed By: Dow AgroSciences LLC

United States of America

first patent application filed on the invention: Application No. \_\_60/072,944

United States of America

Application No.

in country

PATENT REEL: 012467 FRAME: 0698

United States of America

FORM 222	
NOTARIAL	CERTIFICATE (Individual)
UNITED STATES OF AMERICA STATE OF INDIANA COUNTY/PARISH of Marion	) ) )
known or identified to me to be executed the foregoing documen deed for uses and purposes the	Z)
SEAL	Resident of Marion, Notary Public County My Commission Expires Deb 09, 2001
NOTARIAL	CERTIFICATE (Individual)
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UNITED STATES OF AMERICA

STATE OF INDIANA

COUNTY/PARISH of Marion

on this day of

before me v

known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed.

SEAL

Resident of

My Commission Expires

**RECORDED: 01/10/2002** 

PATENT REEL: 012467 FRAME: 0700