

01-22-2002



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To the Honorable Commissioner of Patents and Trademarks, 1000 ...
for document ID NO.

...attached original documents or copy thereof

1. Name of the conveying party (ies):

Joerg Bruening

1/9/02

Additional name(s) of conveying parties attached?
__Yes ☒ No

2. Name and address of receiving party(ies):

Name: **AXONYX, INC.**

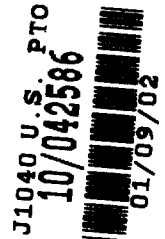
Internal Address:

Street Address: **825 Third Avenue, 40th Floor**City: **New York** State: **New York** Zip: **10022**Country: **United States**

Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: January 3, 2002

Additional name(s) attached? __Yes ☒ No

4. Application or Registration Number(s)

If this application is being filed with a new application, the execution date of the new application is: **January 9, 2002 (1-9-02)**

A. Patent Application Numbers

B. Patent Registration Numbers

Additional Numbers attached? __Yes ☒ No

10/042586

5. Name and Address of party to whom
correspondence concerning document
should be mailed:Name: **Intellectual Property
Docket Administrator**Firm: **Gibbons, Del Deo Dolan, Griffinger
& Vecchione**Address: **One Riverfront Plaza**City: **Newark** State: **N.J.** Zip: **07102-
5497**

6. Total Number of applications and registrations involved: 1

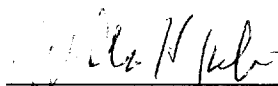
7. Total Fee:

☒ Enclosed Check No. 196005 in the amount of \$488.00,
which includes \$40.00 recording fee
☐ Authorized to be charged to Deposit Account

8. Deposit Account Number:

03-3839(attach duplicate copy of this page if paying by deposit
account)

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is
a true copy of the original documentName: **William H. Epstein, Reg. No. 20008** Signature:  Date: **January 9, 2002 (1-9-02)**

01/16/2002 MMEKDNEN 00000077 10042586

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#304408 v1
009621-39701**PATENT**
REEL: 012471 FRAME: 0925

ASSIGNMENT AND AGREEMENT

WHEREAS, I, JOERG BRUENING, residing at 216 Shropshire Drive, West Chester, Pennsylvania 19382 do hereby sell, assign and transfer to **Axonyx, Inc.**, having an office at 825 3rd Avenue #40, New York, New York 10022-7519, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **A PROCESS FOR PRODUCING PHENSERINE AND ITS ANALOG**, described in an application for Letters Patent of the United States filed herewith, and all of the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to **Axonyx, Inc.** the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize **Axonyx, Inc.** to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of **Axonyx, Inc.** to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to **Axonyx, Inc.** in the United States and to **Axonyx, Inc.** in all countries foreign to the United States, or to such nominees as they may designate.

I agree that, when requested, I shall, without charge to **Axonyx, Inc.** but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents or other forms of protection.

Joerg Bruening

Date: 01-03-02

Witnessed by: Joseph J. Petraitis
Joseph J. Petraitis
(print name)

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009621-39701