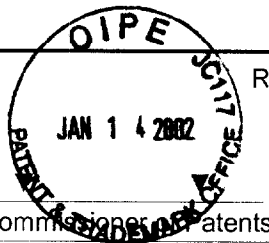


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01-23-2002



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Docket No.: A33588

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

1.1402
Attached original documents or copy thereof

1. Name of conveying party(ies):

Stephen P. Massia
Julie Trudel

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Arizona Board of Regents

Internal Address: Arizona State University

Street Address: _____

City: Tempe State: AZ ZIP: 85287

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 06/19/01 and 06/30/01

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/801,373

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marta E. Delsignore

Internal Address: Pitney, Hardin, Kipp & Szuch, LLP

Street Address: 711 Third Avenue, 20th Floor

City: New York State: NY ZIP: 10017

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1145

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lindsay S. Adams

Name of Person Signing

Lindsay S. Adams
Signature

October 31 2001

Date

4

Total number of pages including cover sheet, attachments, and document:

PATENT
REEL: 012474 FRAME: 0134

A S S I G N M E N T

WHEREAS, WE, STEPHEN P. MASSIA and JULIE TRUDEL, citizens of the United States and Canada, respectively, all residing at 11460 East Decatur, Apache Junction, Arizona 85220 and 395 Ano Nuevo Avenue, Apt. 510, Sunnyvale, California 94085, respectively, have made patent application Serial No. 09/801,373 for Letters Patent of the United States entitled "POLYSACCHARIDE-BASED HYDROGELS AND PRE-GEL BLENDS FOR THE SAME" as set forth and described in application for Letters Patent of the United States, filed March 7, 2001; and

WHEREAS, Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, a corporation organized and existing under the laws of the State of Arizona, and having an office for the transaction of business at Arizona State University, Tempe, AZ 85287-6006, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said provisional application for Letters Patent therefor, any non-provisional patent application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said STEPHEN P. MASSIA and JULIE TRUDEL for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, and other valuable considerations unto us moving from said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, at or before the ensembling and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns, the entire right, title and interest in and to the said invention for which we are about to make application Serial No. 09/801,373 for Letters Patent of the United States entitled "POLYSACCHARIDE-BASED HYDROGELS AND PRE-GEL BLENDS FOR THE SAME" as set forth and described in application for Letters Patent of the United States, filed March 7, 2001, and any and all improvements thereon, and in and to said application, any non-provisional patent application, and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said STEPHEN P. MASSIA and JULIE TRUDEL do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said Arizona Board of Regents, a body corporate,

NY02:325880.1

IN WITNESS WHEREOF, WE, the said STEPHEN P. MASSIA and JULIE TRUDEL have hereunto set our hands and seals on the date below written.

STATE OF ARIZONA)
)
) : ss.:
COUNTY OF *Maricopa*)

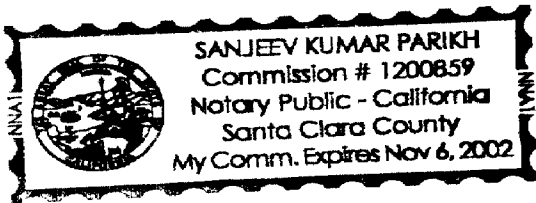
Notary Public

Julie Trudel
JULIE TRUDEL

California
STATE OF ~~ARIZONA~~)
COUNTY OF *Santa Clara*) : SS.:

BE IT KNOWN, that on this 30 day of June, 2001, before me personally came JULIE TRUDEL to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

Sanjeev
Notary Public



NY02:325880.1

RECORDED: 01/14/2002

PATENT
REEL: 012474 FRAME: 0137