

01-23-2002



IN THE

101956420

TRADEMARK OFFICE

In Re the Application of:

RAO et al.

Serial No.: 09/922,604

Filed: August 2, 2001

Atty. File No.: 4172-36

For: "METHOD OF SYNTHESIZING
DIKETOPIPERAZINES"

BOX ASSIGNMENTS
Commissioner of Patents
Washington, D.C. 20231

Group Art Unit:

Examiner:

RECORDATION OF ASSIGNMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES POSTAL
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ADDRESSED TO THE ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, DC 20231 ON 11/12/01
SHERIDAN ROSS P.C.

BY:

[Signature]

Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):

Nagaraja K.R. Rao
Stephen Turner

2. Name and address of receiving party(ies):

DMI BioSciences, Inc.
3601 S. Clarkson St., #420
Englewood, Colorado 80110-3948

3. Nature of Conveyance:

<input checked="" type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other: _____		

Execution Date: 10/12/01

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

01/22/2002 GTDN11 00000194 09922604

01 FC:581

40.00 0P

PATENT
REEL: 012476 FRAME: 0279

A. Patent Application No.(s): 09/922,604

B. Patent No.(s): None.

5. Name and address of party to whom correspondence concerning documents should be mailed:

Wannell M. Crook
Sheridan Ross P.C.
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141

6. Total number of applications and patents involved: 1.

7. Total fee (37 CFR 3.41).....\$40.00.

☒ Enclosed

☒ Any deficiency in payment of recording fee is authorized to be charged to Deposit Account.

☐ Authorized to be charged to deposit account.

8. Deposit account number: 19-1970.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 3

Respectfully submitted,

SHERIDAN ROSS P.C.

By: Wannell M. Crook

Wannell M. Crook
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Date: Nov. 12, 2001


ASSIGNMENT

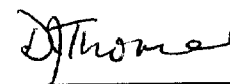
WHEREAS, we, Nagaraja K.R. Rao of 17, Dryburgh Avenue, Birchgrove, Cardiff, CF 14 4QN, Wales, United Kingdom, and Stephen Turner of 24, Ashbourne, Great Hollands, Bracknell, Berkshire, RG12 8SG, United Kingdom, have invented a certain new and useful invention entitled "METHOD OF SYNTHESIZING DIKETOPIPERAZINES", for which an application for Letters Patent of the United States has been prepared and filed on August 2, 2001, receiving Serial No. 09/922,604, and further identified as Attorney File No. 4172-36;


NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, DMI BioSciences, Inc., a corporation having a place of business at 3601 S. Clarkson St., #420, Englewood, Colorado 80110-3948, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than DMI BioSciences, Inc. and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.


The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to DMI BioSciences, Inc., its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 12th October 2001 By: 
Nagaraja K.R. Rao

WITNESS: 
(Mr Dylan J. Thomas)

Date: 12/10/01 By: 
Stephen Turner

WITNESS: 
(Mr Dylan J. Thomas)

RECORDED: 01/10/2002

PATENT
REEL: 012476 FRAME: 0281