

01-23-2002



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Conveyance Type



Assignment



Security Agreement



License



Change of Name



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Other

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Conveying Party(ies)



Mark if additional names of conveying parties attached

Name (line 1)

Joshua B. Paul

Execution Date
Month Day Year
10 10 2001

Name (line 2)

Second Party

Name (line 1)

James J. Scherer

Execution Date
Month Day Year
10 11 2001

Name (line 2)

Receiving Party



Mark if additional names of receiving parties attached

Name (line 1)

LOS GATOS RESEARCH, INC.

Name (line 2)

a California corporation

Address (line 1)

67 East Evelyn Avenue, Suite 3

Address (line 2)

Address (line 3)

Mountain View

California

94041

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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PATENT
REEL: 012481 FRAME: 0373

Correspondent Name and Address

Area Code and Telephone Number (408) 297-9733

Name Thomas Schneck

Address (line 1) Law Offices of Thomas Schneck

Address (line 2) P.O. Box 2-E

Address (line 3) San Jose, CA 95109-0005

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

9

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/976,549

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

19-0590

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Thomas Schneck

Name of Person Signing

Signature

October 23, 2001

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Anthony O'Keefe

Execution Date
Month Day Year

10 11 2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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State/Country

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

A S S I G N M E N T

WHEREAS, the undersigned, JOSHUA B. PAUL, having an address of 65 Naples Road #1, Brookline, MA 02446; JAMES J. SCHERER, having an address of 40 Burgoyne Court, San Mateo, County of San Mateo, State of California 94402; and ANTHONY O'KEEFE, having an address of 21093 Red Fir Court, Cupertino, County of Santa Clara, State of California 95014 (hereinafter termed Assignors) have invented certain new and useful improvements in an invention entitled ABSORPTION SPECTROSCOPY INSTRUMENT WITH OFF-AXIS LIGHT INSERTION INTO CAVITY; the undersigned Assignors hereby authorize and request that the serial number and filing date of said patent application be entered herein by the attorney in charge of the application, as soon as such information is known:

Serial No. 09/976,549

Filed: October 12, 2001

WHEREAS, LOS GATOS RESEARCH, INC., a California corporation, having an address of 67 East Evelyn Avenue, Suite 3, Mountain View, California 94041 (hereinafter termed Assignee) is desirous of acquiring the entire right, title and interest in and to said application and said invention and improvements thereon, and in and to Letters Patent thereon when granted in the United States and foreign countries;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by said Assignors from said Assignee, the receipt and sufficiency of which in full are hereby acknowledged by said Assignors:

1. Said Assignors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest in and to said application and said invention and in and to any and all improvements on said invention heretofore or hereafter made or acquired by said Assignors; and in and to any and all Letters Patent on said invention and/or said improvements that may be granted in the United States or any foreign country, including each and every Letters Patent granted on any application which is a division, continuation, substitution, renewal, or continuation-in-part of any of said application specifically identified herein, and in and to each and every reissue or extension of said Letters Patent.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee whereby said Assignee may enjoy to the fullest extent the right, title and interest herein conveyed. Such cooperation shall include (a) prompt execution of all papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee to perfect in it the right, title and

interest herein conveyed; (b) prompt execution of all petitions, oaths, specifications or other papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee for prosecuting said application, for filing and prosecuting divisional, continuation, substitution, renewal, continuation-in-part, or additional applications in the United States and/or foreign countries covering said invention and/or said improvements, for filing and prosecuting applications for reissuance of Letters Patent included herein, or for interference proceedings involving said invention and/or said improvements; (c) prompt assistance and cooperation in the prosecution of interference proceedings involving said invention and/or said improvements and in the adjudication of said Letters Patent, particularly by the disclosure of facts and the production of evidence relating to said invention and/or said improvements, provided the expenses which may be incurred by said Assignors in lending such assistance and cooperation shall be paid by the Assignee.

3. The terms, covenants and conditions of this assignment shall inure to the benefit of said Assignee, its successors, assigns and/or other legal representatives, and shall be binding upon said Assignors, their heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered into any assignment, contract or understanding in conflict herewith.

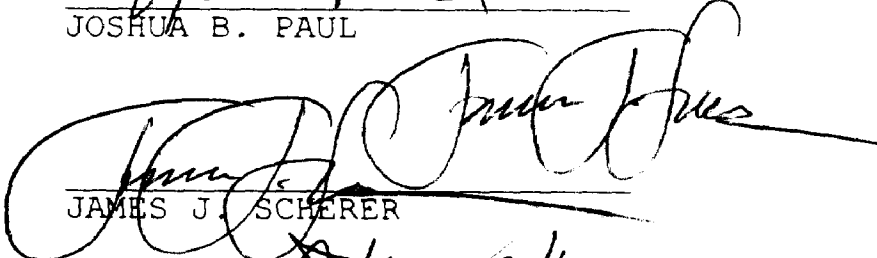
IN WITNESS WHEREOF, these said Assignors have executed and delivered this instrument on the dates shown below.

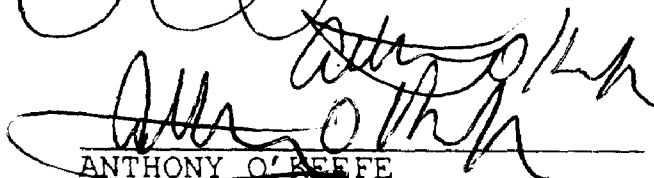
October 10, 2001
DATE

OCTOBER 11, 2001
OCTOBER 10, 2001
DATE

Oct. 11, 2001
October 8, 2001
DATE


JOSHUA B. PAUL


JAMES J. SCHERER


ANTHONY O'REEFE

County of Middlesex)
) s.s.
State of Massachusetts)

On 10-10-01, before me, Joshua Paul,
personally appeared JOSHUA B. PAUL, personally known to me (or
proved to me on the basis of satisfactory evidence) to be the
person whose name is subscribed to the within instrument and
acknowledged to me that he executed the same in his authorized
capacity, and that by his signature on the instrument the person,
or the entity upon behalf of which the person acted, executed the
instrument.

WITNESS my hand and official seal.

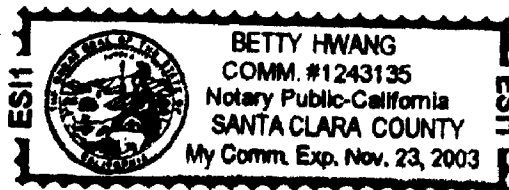
Signature *Joshua Paul* (Seal)

County of Santa Clara)
) s.s.
State of California)

On 10/11/2001, before me, Betty Hwang,
personally appeared JAMES J. SCHERER, personally known to me (or
proved to me on the basis of satisfactory evidence) to be the
person whose name is subscribed to the within instrument and
acknowledged to me that he executed the same in his authorized
capacity, and that by his signature on the instrument the person,
or the entity upon behalf of which the person acted, executed the
instrument.

WITNESS my hand and official seal

Signature *Betty Hwang* Seal)



County of Santa Clara)
) s.s.
State of California)

On 10/11/2001, before me, Betty Hwang,
personally appeared ANTHONY O'KEEFE, personally known to me (or
proved to me on the basis of satisfactory evidence) to be the
person whose name is subscribed to the within instrument and
acknowledged to me that he executed the same in his authorized
capacity, and that by his signature on the instrument the person,
or the entity upon behalf of which the person acted, executed the
instrument.

WITNESS my hand and official seal.

Signature  (Seal)

