

01-24-2002



EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of

101958488

attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Zhenan Bao
2. David John Bishop
3. Robert Albert Boie
4. Dustin W Carr
5. Edwin Arthur Chandross
6. Peter Kian-Hoon Ho

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date(s): 1-5-8/23/01; 2-10/22/01;
3-8/30/01 4-8/24/01

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/864125

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Room 3J-219

01/23/2002 6TOM11 00000306 122325 09864125

01 FC:581 40.00 CH

Street Address: 101 Crawfords Corner Road

City: Holmdel State: NJ ZIP: 07733-3030

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen M. Gurey

Name of Person Signing

Stephen M. Gurey
Signature

Nov-20, 2001

Date

Total number of pages including cover sheet, attachments, and document: 8

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

Case Name/No.: Bao 22-55-18-3-95-1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on 11/22/01

Stephen M. Gurey
Signature

PATENT
REEL: 012482 FRAME: 0035

ASSIGNMENT AND AGREEMENT

For value received, we, Zhenan Bao, of Jersey City in the County of Hudson and the State of New Jersey, and David John Bishop, of Summit in the County of Union and the State of New Jersey, and Robert Albert Boie, of Bridport in the County of Addison and the State of Vermont, and Dustin W Carr, of Pittstown in the County of Hunterdon and the State of New Jersey, and Edwin Arthur Chandross, of Murray Hill in the County of Union and the State of New Jersey, and Peter Kian-Hoon Ho, of North Plainfield in the County of Somerset and the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Optically Controlled Switches** described in an application for Letters Patent of the United States, Serial No. 09/864125, filed May 23, 2001, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.



Zhenan Bao

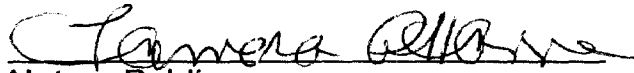
Date: 8-23-01

United States of America)

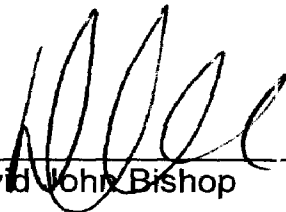
State of New Jersey) ss.:

County of Union)

On this 23rd day of August, 2001, before me personally came, Zhenan Bao to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

TAMORA ANNE HANNA
Notary Public of New Jersey
Registered in Hunterdon County
My Commission Expires March 25, 2002



David John Bishop

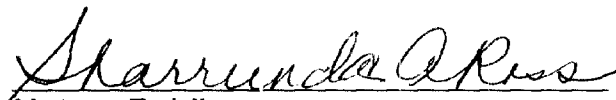
Date: 10-22-01

United States of America)

State of New Jersey) ss.:

County of Essex)

On this 22nd day of October, 2001, before me personally came, David John Bishop to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

**SWORN TO AND SUBSCRIBED
BEFORE ME ON THIS DATE**

OCT 22 2001

**SHARRUNDA A. ROSS
Notary Public of New Jersey
Commission Expires 8/1/2006**


Robert Albert Boie

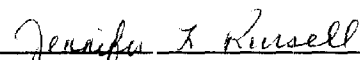
Date: 8/30/01

United States of America)

State of Vermont) ss.:

County of Addison)

On this 30th day of August, 20 01, before me personally came, Robert Albert Boie to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public 2/10/03



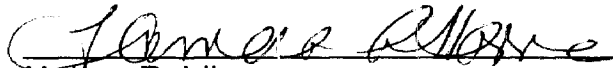
Dustin W Carr

Date: 8-24-2001

United States of America)

State of New Jersey) ss.:County of UNION)

On this 24th day of August, 2001, before me personally came, Dustin W Carr to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

TAMORA ANNE HANNA
Notary Public of New Jersey
Registered in Hunterdon County
My Commission Expires March 25, 2002

Edwin Arthur Chandross
Edwin Arthur Chandross

Date: 23 August 2001

United States of America)

State of New Jersey) ss.:

County of Union)

On this 23rd day of August, 2001, before me personally came, Edwin Arthur Chandross to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Tamara Anne Hanna
Notary Public

TAMORA ANNE HANNA
Notary Public of New Jersey
Registered in Hunterdon County
My Commission Expires March 25, 2002



Peter Kian-Hoon Ho

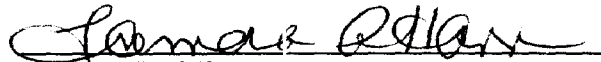
Date: 8-23-01

United States of America)

State of New Jersey) ss.:

County of Union)

On this 23rd day of August, 2001, before me personally came, Peter Kian-Hoon Ho to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

JAMORA ANNE HANNA
Notary Public of New Jersey
Registered in Hunterdon County
My Commission Expires March 25, 2002

Lucent Technologies Inc.
Docket Administrator (Room 3C-512)
Lucent Technologies Inc.
101 Crawfords Corner Road
Holmdel, NJ 07733-3030