

01-24-2002

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Name (line 1) Eliezer Masliah

Name (line 2)

Execution Date
Month Day Year
11 5 01

Second Party

Name (line 1) Edward Rockenstein

Name (line 2)

Execution Date
Month Day Year
11 5 01

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) The Regents of the University of California

Name (line 2)

Address (line 1) 300 Lakeside Drive, 22nd Floor

Address (line 2)

Address (line 3) Oakland

CA

94612

City

State/Country

Zip Code

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Mail documents to be recorded with required cover sheet(s) information to:
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Correspondent Name and Address

Telephone Number (619)238-0999

Name (line 1) Colleen J. McKiernan

Address (line 1) Brown, Martin, Haller & McClain, LLP

Address (line 2) 1660 Union Street

Address (line 3) San Diego, CA 92101

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments.

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/933,640

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

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Enter PCT application number only if a U.S. Application Number has not been assigned.

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Number of Properties

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Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

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(Enter for payment by deposit account or if additional fees can be charged to the account.)

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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Colleen J. McKiernan

Name of Person Signing

Signature

Date

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CONTINUATION
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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Margaret E. Mallory

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

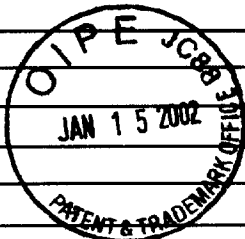
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Month Day Year

Execution Date
Month Day Year



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Application Number(s) or Patent Number(s)

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Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

U.C. Case No. SD2001-071

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Eliezer Masliah 2. Edward Rockenstein
3. Margaret E. Mallory 4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located 300 Lakeside Drive, 22nd Floor, Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

DEVELOPMENT OF A TRANSGENIC MODEL FOR INTERVENTION IN NEURODEGENERATIVE DISEASE

and which is found in

- (a) ____ U.S. provisional application filed herewith and listing the above named persons as inventors.
(b) ____ U.S. patent application executed on _____, entitled as above and listing the above named persons as inventors.
(c) X U.S. application serial no. 09/933,640, filed on August 20, 2001
(d) ____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained from said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any release, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

5th day of November, 2001
5th day of November, 2001
5th day of November, 2001
____ day of _____, 2001

[Signature]
[Signature]
M. Mallory