

## 01-24-2002 101961398 PATENTS UNLI

U.S. DEPARTMENT OF COMMERCE

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.			
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):		
Kenneth J. Shea and Bradley R. Hart $1-16-02$	The Regents of The University of California 1111 Franklin Street, 5 <sup>th</sup> Fl. Oakland, CA 94607-5200		
Additional name(s) of conveying party(ies) attached? □ Yes  No	A California corporation.		
3. Nature of conveyance:			
■ Assignment			
□ Security Agreement □ Change of Name			
□ Other			
Execution Date: November 28, 2001			
4. Application number(s) or patent number(s): 09/910,451			
If this document is being filed together with a new application, the filing	date of the application is:		
A. Patent Application No.(s):	B. Patent No.(s):		
[Application No.]			
Additional numbers attached? □ Yes ⊠ No			
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1		
Robert D. Buyan Stout, Uxa, Buyan & Mullins, LLP 4 Venture, Suite 300 Irvine, CA 92618	7. Total Fee (37 CFR 3.41)\$40  © Enclosed (Check No. 2438)		
$\sim$	Authorized to be charged to deposit account if fee is insufficient.		
1/22/2002 NMOHAMM1 00000101 09910451	8. Deposit account number: 50-0878		
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9. Statement and signature.	Attorney Docket No. UCIVN-011A		
To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.			
Robert D. Buyan  Name of Person Signing  Signa	December 3, 2001 Date		
	Total number of pages including cover sheet, attachments and document: 4]		

PATENT REEL: 012487 FRAME: 0416

## **ASSIGNMENT**

U.C. Case No. <u>2000-476-1</u>			
For good and valuable consideration, the rec	eipt of which is hereby	acknowledged, ASS	SIGNOR(S),
1. Kenneth J. Shea	2. Bradley R. Har	t	•
hereby sells, assigns and transfers to ASSIGN having its statewide administrative offices lot the successors, assigns and legal representational its territorial possessions and in all foreign invention entitled:	ocated 1111 Franklin S ves of the ASSIGNER	Street, Twelfth Floor, all of its right, title a	Oakland, CA 94607-5200, and and interest for the United States
TITLE			
and which is found in			
(a) U.S. provisional application file	d herewith and listing	the above named per	sons as inventors
(b) U.S. patent application filed here	ewith and listing the al	oove named persons a	as inventors
(c) X U.S. application serial no.	09/910,451	, filed on	July 20, 2001
(d) U.S. Patent No.:	, is	ssued	,
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;			
ASSIGNOR(S) hereby covenants that no as entered into which would conflict with this as		ment or encumbranc	te has been or will be made or
ASSIGNOR(S) further covenants that ASSIG and documents relating to said invention and to ASSIGNOR and will testify as to the sa promptly execute and deliver to ASSIGNE required to apply for, obtain, maintain, issue equivalents thereof which may be necessary authorized and requested by the execution on number of said application when officially known and the said invention and the sai	I said Letters Patent ar me in any interferenc E or its legal represer or enforce said applic or desirable to carry of this assignment to	nd legal equivalents a e, litigation, or proce ntative any and all p ation, said invention out the purposes the	is may be known and accessible eeding relating thereto and will apers, instruments or affidavits and said Letters Patent and said ereof. An attorney of record is
AND the <u>ASSIGNOR(S)</u> requests the Com United States and any reissue or extension the			
executed this	Si	gnature of Inventor(s)	
28 day of <i>Nov</i> ., 2001 27 day of <i>Nov</i> ., 2001		\$///	<del></del>
27 day of <i>Nov.</i> , 2001		Denl Har	<u> </u>

PATENT REEL: 012487 FRAME: 0417

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ALL-PURPOSE NOTA	ARIAL ACKNOWLEDGMENT
State of <u>California</u>	
County of Orange ALAMEDA	<del>}</del>
On <u>NOV 27, 2001</u> before me (Date)	(Name, Title of Officer)
personally appeared Bradley R. Ha	urt
☐ personally known to me - OR -	
proved to me on the basis of satisf subscribed to the within instrument a same in his/her authorized capacity,	factory evidence to be the person whose name is and acknowledged to me that he/she executed the and that by his/her signature on the instrument the which the person acted, executed the instrument.
SHEERAY LACYER FREAD CONTRINSICAL LIBISES TO ALL CALIFORNIA AND CONTRINSICAL CALIFORNIA CONTRINSICAL CONTRINS	WITNESS my hand and official seal.  Ahmay Y Pugn Steach
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SIGNER IS REPRESENTING:	

PATENT

REEL: 012487 FRAME: 0418



State of <u>California</u>		
County of Orange		
On / (Date) before me, \(\sigma\)	Janu L. Frontis, Motary Pallic (Name, Title of Officer)	
personally appeared Kenneth J. Shea		
□ personally known to me - OR - □ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.		
JANAI L. FUENTES Commission # 1294137 Notary Public - California	WITNESS my hand and official seal.	
Orange County My Comm. Expires Mar 15, 2005	Jenai X. Juentis	
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