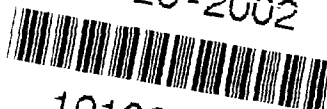


01-25-2002



101960650

RECORDATION FOR
PATENTS ONLY

IEET

U.S. Department of Commerce
Patent and Trademark Office
PATENT

9-92,484,128

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New 1-18-02
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☒ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year
6/22/2000

Name (line 1) AESCHLIMAN, Daniel P.

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) U. S. Department of Energy

Name (line 2)

Address (line 1) 1000 Independence Avenue, S.W.

Address (line 2)

Address (line 3) Washington

D.C.

20585-0162

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

01/24/2002 DBYRNE 00000115 012752 09516600
01 FC:581 40.00 CH

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 012490 FRAME: 0074

Correspondent Name and Address

Area Code and Telephone Number

202-586-2810

Name Betty A. Winchester

Address (line 1) MS 6F-067 (FORSTL), GC-62

Address (line 2) United States Department of Energy

Address (line 3) 1000 Independence Avenue, S. W.

Address (line 4) Washington, D. C. 20585-0162

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/516600

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

01-2752

Authorization to charge additional fees:

Yes



No



Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Betty A. Winchester

Name of Person Signing



Signature

Date

09/516,600

SD-5423.1/S-92.484
September 13, 1999

ASSIGNMENT

WHEREAS, Jeffry J. Sniegowski, Murray S. Rodgers, Paul J. McWhorter of Albuquerque, New Mexico; and Daniel P. Aeschliman of ~~Cedar Crest, New Mexico~~ ^{SAGE, IRAHO} has(have) made an invention relating to Gas-Driven Microturbine in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-92,484 duly executed by said inventors..

WHEREAS, I/We, in consideration of my/our employment by Sandia Corporation, have agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all my/our rights to my/our inventions, discoveries, or improvements made or conceived in the course of my/our employment; and

WHEREAS, the Government of the United States desires to acquire, pursuant to Part II, Section I, Clause 57 of Contract DE-AC04-94DP85000, the entire right, title, and interest in and to said invention and in and to any patents therefor wherever they may be granted, as well as reissues or extensions of said patents:

NOW, THEREFORE, for and in consideration of the premises, I/we, by these presents, do sell, assign, and transfer unto the said Government of the United States, as represented by the United States Department of Energy and/or its assigns, my/our entire right, title, and interest in and to said invention and in and to all patents therefor wherever they may be granted, as well as reissues or extensions of said patents, the same to be held and enjoyed by the said Government of the United States to the full end of the term or terms for which said patents are or may be granted, reissued or extended, as fully and entirely as the same would have been held or enjoyed by us had this assignment not been made.

I/We covenant with the Government of the United States that, except for the rights, if any, of Sandia Corporation, my/our interest in the rights and property herein conveyed is free and clear of any encumbrance and that I/we have full right to convey the same as herein expressed. I/We agree, at the request of the Government, to make, execute, and deliver to the Government of the United States or to the United States Department of Energy any and all papers, documents, affidavits, applications, statements, or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of us by the United States Department of Energy in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved, except that any expense arising from such assistance will be paid by the Government of the United States, by proper arrangement

SANDPOINT, IDAHO

Signed at Albuquerque, New Mexico.

Daniel P. Aeschliman

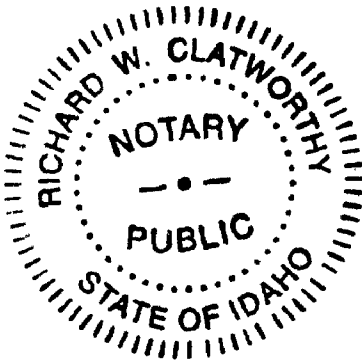
Daniel P. Aeschliman

Date: 6/22/00STATE OF IDAHO)
) SS:
COUNTY OF BONNER)

On the dates indicated above personally appeared before me, Daniel P. Aeschliman to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

[Signature]
Notary Public

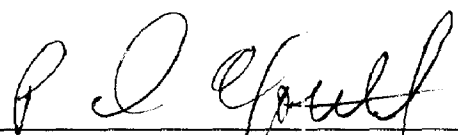
Approved and consented to this 22nd day of JUNE, 2000.



SANDIA CORPORATION

[Signature]
Timothy D. Stanley
Chief Patent Counsel
Patent and Licensing Office, MS 0161

UNITED STATES DEPARTMENT OF ENERGY

By 
Assistant General Counsel for Technology Transfer
and Intellectual Property

WITNESS:

