

01-22-2002



Form PTO-1595

U.S. Department of Commerce

Docket No. SJO9-2000-0196US1

101953818

JET

To the Hon. Commissioner of Patents & Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

William Marvin Dyer, James Howard Eaton and
David Howard Flores Harper

1.7.02

Additional name of conveying party attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**Street Address: **New Orchard Road**City: **Armonk** State: **NY** ZIP: **10504**Additional name(s) and address(es) attached? ☐ Yes ☒ No

10/04/864
10/04/864
01/07/02

3. Nature of conveyance:

☒ AssignmentExecution Date: November 28, 2001, November 29, 2001
and November 29, 2001

4. Application number(s) or patent numbers:

10/041864

If this document is being filed together with a new application, the execution date of the application is: November 28, 2001,
November 29, 2001 and November 29, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address party to whom correspondence concerning
document should be mailed:Name: Robert O. Guillot
Oppenheimer Wolff & Donnelly LLPStreet Address: 1400 Page Mill Road
City: Palo Alto State: California ZIP: 943046. Total number of applications and
patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

☒ Enclosed☒ Any discrepancy or overpayment is authorized to be
charged to deposit account

8. Deposit Account number: 02-3964

(Attach duplicate copy of this page if paying by deposit account)

01/15/2002 SDIWE1A1 00000012 10041864

02 FC:581

40.00 DP

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the
original document.*

Robert O. Guillot

Name of Person Signing

Signature

January 7, 2002

Date

Total number of pages including cover sheet, attachments, and document: 2

SV: 235491 v01 01/04/2002

PATENT
REEL: 012490 FRAME: 0109

ASSIGNMENT

Whereas, I, William Marvin Dyer of San Jose, James Howard Eaton of Morgan Hill, and David Howard Flores Harper of San Jose, County of Santa Clara and State of California have invented certain improvements in **"COMPLIANT WORM GEAR AND WORM GEAR BRACKET"** and executed, respectively, a United States patent application therefor on 11/15, 2001, 11/29, 2001 and 11/29, 2001,

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

at San Jose (CITY)
on 11/28, 2001

William Marvin Dyer
WILLIAM MARVIN DYER

at Morgan Hill (CITY)
on 11/29, 2001

James Howard Eaton
JAMES HOWARD EATON

at San Jose (CITY)
on 11/29, 2001

David Flores Harper
DAVID HOWARD FLORES HARPER