

01-25-2002

Form-PTO-1595

TRANS

RDATION

1-31-92

Atty. Docket: 2105.2335

101970743

To the Commissioner of Patents

original documents or copy thereof.

1. Name of conveying party(ies):

1) Richard F. BELLAS  
2) Jeffrey D. DERBY

Additional name(s) of conveying party(ies) attached?  
☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: PepsiCo, Inc.

Foreign Address: \_\_\_\_\_

Domestic Address: 700 Anderson Hill RoadCity: Purchase State NY ZIP 10577Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 1) January 14, 2002; 2) January 3, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application Number:

09/978,019Filing Date: October 17, 2001

B. Title of Invention:

CARBONATION SYSTEM AND METHODAdditional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper & Scinto30 Rockefeller PlazaNew York, New York 10112-3801Telephone No.: (212) 218-2100Facsimile No.: (212) 218-2200

6. Number of applications and patents involved:

One7. Total fee (37 CFR 3.41): . . \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.*

Mark A. Williamson, Reg. No. 33,628

Name of Person Signing

Mark A. Williamson  
Signature

January 22, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 5

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01/24/2002 LMEILLER 00000348 09978019

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40.00 DP

PATENT  
REEL: 012491 FRAME: 0701

# **ASSIGNMENT**

FOR VALUE RECEIVED, WE

Richard F. BELLAS and  
Jeffrey D. DERBY

citizens of

the United States of America,

residing, respectively, at

103 Northwood Lane, Stamford, CT 06903; and  
844 Ridgewood Drive, Waukesha, WI 53186

hereby sell, assign, transfer and convey unto

PepsiCo, Inc.

a corporation of

North Carolina

having a place of business at

700 Anderson Hill Road, Purchase, NY 10577

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for all countries, in and to certain inventions relating to

## **CARBONATION SYSTEM AND METHOD**

and described in an application for Letters Patent of the United States by us on October 17, 2001 and assigned Application No. 09/978,019

and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof, and all applications for Letters Patent or other grants of protection of proprietary rights including, but not limited to, inventor's certificate, utility model, utility certificate, patent of importation, registration of patent and industrial design registration which may be filed, and which may be granted, upon said inventions in any countries or regions foreign to the United States, and all reissues, renewals and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States, and all officials of countries or regions foreign to the United States having authority so to do, to issue all such Letters Patent or other grants of protection upon said inventions to the Assignee or to such nominees as it may designate.

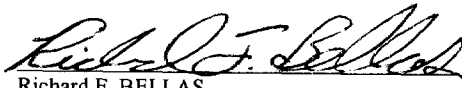
AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for such Letters Patent or other grants of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by a convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full and formal equivalent of any assignment, consent to file or like document which may be required in any country or region for any purpose and more particularly in proof of the right of the said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent or other grants of protection of said inventions in any and all countries and regions.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal this      day of      , 20


 L.S.  
Richard F. BELLAS

STATE OF new York )  
 ) ss.:  
COUNTY OF Westchester )

On this 14<sup>th</sup> day of January, 2002, before me personally appeared Richard F. BELLAS

to me known and known to me to be the individual described in and who executed the foregoing instrument, and who thereupon acknowledged to me that he executed the same for the purposes therein set forth.

DIANE C. LUONGO  
Notary Public, State of New York  
(Seal)  
No. 01LU5055662  
Resides in Westchester County  
Expires February 20, 2002

  
Notary Public  
(Notary Stamp)

IN TESTIMONY WHEREOF, we hereunto set our hand and seal this      day of      , 20

\_\_\_\_\_  
Jeffrey D. DERBY L.S.

STATE OF      )  
 ) ss.:  
COUNTY OF      )

On this      day of      , 20      , before me personally appeared Jeffrey D. DERBY

to me known and known to me to be the individual described in and who executed the foregoing instrument, and who thereupon acknowledged to me that he executed the same for the purposes therein set forth.

(Seal)

\_\_\_\_\_  
Notary Public  
(Notary Stamp)

MAW\nt  
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AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for such Letters Patent or other grants of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by a convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full and formal equivalent of any assignment, consent to file or like document which may be required in any country or region for any purpose and more particularly in proof of the right of the said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

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