

01-25-2002

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

F



SHEET

To the Honorable Commissioner

101959066

attached original documents or copy thereof.

1. Name of conveying party(ies):

Koichi TAKAMURA

2. Name and address of receiving party(ies):

Name: BASF Corporation
Internal Address: Patent Department
Street Address: 26701 Telegraph Road
Southfield, Michigan 48034-2442

1-15-02

Additional numbers attached?

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

☐

Execution Date: 11/01/2001

Additional numbers attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Attorney's Docket No. IN-9511

Application No.: 09/965,076

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Mary E. Golota
Internal Address: BASF Corporation
Street Address: 26701 Telegraph Road
City: Southfield State: Michigan Zip: 48034-2442

5a. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Anne Gerry Sabourin
Internal Address: BASF Corporation
Street Address: 26701 Telegraph Road
City: Southfield State: Michigan Zip: 48034-2442

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 23-3425

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as First Class Mail addressed to the Commissioner for Patents, Washington, D.C. 20231 on November 7, 2001.

Marjorie Ellis

Marjorie Ellis

Name of Person Signature

Signature

Date

-7-

01/24/2002 6TOM11 00000053 233425 09965076

Total number of pages comprising cover sheet:

01 FC:581 40.00 CH

PATENT
REEL: 012492 FRAME: 0526

SECOND PAGE OF RECORDATION FORM COVER SHEET
Patent only

Additional Name(s) of Conveying Party(ies)

- 2.** Babak GOLZAR
- 3.** Vanessa GOLZAR

ASSIGNMENT

WHEREAS, I (WE), Koichi TAKAMURA, Babak GOLZAR, and Vanessa M. ADAMS residing at 12205 Spring Fancy Lane, Charlotte, North Carolina 28277-8100, United States of America; 9800 Meringue Place, Charlotte, North Carolina 28270 United States of America; 12603 Tucker Crossing Lane, Charlotte, North Carolina 28273 United States of America (declarant(s)) declare that I (WE) have invented certain improvements in: METHOD OF ADDING WATER INSOLUBLE ORGANIC CHEMICALS TO STYRENE-BUTADIENE RUBBER LATEX DISPERSIONS AND RESULTING STYRENE-BUTADIENE RUBBER LATEX DISPERSIONS hereinafter the invention or inventions, described in U.S. Patent Application Serial No. 09/790,273 filed on February 21, 2001 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Corporation, a corporation of the State of Delaware having a place of business at 3000 Continental Drive - North, Mount Olive, New Jersey 07828-1234 (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer

Docket: IN-9511

and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Title: METHOD OF ADDING WATER INSOLUBLE ORGANIC
CHEMICALS TO STYRENE-BUTADIENE RUBBER LATEX
DISPERSIONS AND RESULTING STYRENE-BUTADIENE RUBBER
LATEX DISPERSIONS


Docket: IN-9511

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 11-01-2001

Signed: 
Typed Name: Koichi TAKAMURA

Witnessed:

Name 
Address 338 Oak Drive
Rock Hill, SC 29730

Witnessed:


Name Janet L. Powell
Address 1635 Quaker Dr
Rock Hill, SC 29730

Title: METHOD OF ADDING WATER INSOLUBLE ORGANIC
CHEMICALS TO STYRENE-BUTADIENE RUBBER LATEX
DISPERSIONS AND RESULTING STYRENE-BUTADIENE RUBBER
LATEX DISPERSIONS

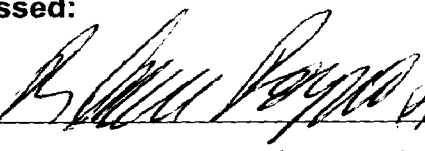
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Dated: Nov 11/01

Signed: 
Typed Name: Babak GOLZAR

Witnessed:

Name 
Address 525 W. 7th St. Apt. 2209
Charlotte, NC 28202

Witnessed:

Name Cathy Wilms
Address 10817 Community Circle
Charlotte, NC 28277

Title: METHOD OF ADDING WATER INSOLUBLE ORGANIC
CHEMICALS TO STYRENE-BUTADIENE RUBBER LATEX
DISPERSIONS AND RESULTING STYRENE-BUTADIENE RUBBER
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Dated: NOVEMBER 01, 2001

Signed: Vanessa M. Adams
Typed Name: Vanessa M. ADAM

Witnessed:

Name Angela D. Johnson
Address 215 B Taddville Rd.
Charlotte, NC 28214

Witnessed:

Name Philip Robbins
Address 6834 Trimble Way
Charlotte, NC 28269

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
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Koichi TAKAMURA

Name: BASF Corporation
Internal Address: Patent Department
Street Address: 26701 Telegraph Road
Southfield, Michigan 48034-2442

Additional numbers attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other ☐

Execution Date: 11/01/2001

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4. Application number(s) or patent number(s):
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If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
Attorney's Docket No. IN-9511
Application No.: 09/965,076

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of Party to whom correspondence concerning document should be mailed:	5a. Name and address of Party to whom correspondence concerning document should be mailed:
Name: <u>Mary E. Golota</u> Internal Address: <u>BASF Corporation</u> Street Address: <u>26701 Telegraph Road</u> City: <u>Southfield</u> State: <u>Michigan</u> Zip: <u>48034-2442</u>	Name: <u>Anne Gerry Sabourin</u> Internal Address: <u>BASF Corporation</u> Street Address: <u>26701 Telegraph Road</u> City: <u>Southfield</u> State: <u>Michigan</u> Zip: <u>48034-2442</u>

6. Total number of applications and patents involved: One
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7. Total fee (37 CFR 3.41):.....\$40.00	8. Deposit account number: 23-3425
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Enclosed

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Marjorie Ellis



Name of Person Signature

Signature

Date

-7-

Total number of pages comprising cover sheet:

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as First Class Mail addressed to the Commissioner for Patents, Washington, D.C. 20231 on November 7, 2001.

SECOND PAGE OF RECORDATION FORM COVER SHEET
Patent only

Additional Name(s) of Conveying Party(ies)

2. Babak GOLZAR
3. Vanessa GOLZAR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Koichi TAKAMURA
Babak GOLZAR
Vanessa GOLZAR

US Serial No. 09/965,076

Group Art Unit: Not Assigned

Filed: November 7, 2001

Examiner: Not Assigned

For: METHOD OF ADDING WATER INSOLUBLE ORGANIC CHEMICALS TO
STYRENE-BUTADIENE RUBBER LATEX DISPERSIONS AND RESULTING STYRENE-
BUTADIENE RUBBER LATEX DISPERSIONS

Patent*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents
Washington, D.C. 20.231STATEMENT UNDER 37 C.F.R. § 3.73(b)-
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTIONCERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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for Patents, Washington, D.C. 20231

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

☒ with sufficient postage as first class mail.☐ as "Express Mail Post Office to Addressee"
Mailing Label No: ET332278615US (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.Date: November 7, 2001

Signature

Marjorie Ellis

(type or print name of person certifying)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

BASF CORPORATION

2. _____
Name of assignee
CORPORATION

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON(s) AUTHORIZED TO SIGN

3. Anne Gerry Sabourin, 33,772
(type name of person authorized to sign on behalf of assignee)

Managing Counsel

Title of person authorized to sign

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel _____, Frame _____.

2. ☒ An assignment (document) separately being submitted for recordal herewith.

AND/OR

B.

1. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____

Name of inventor(s)

To: _____

Recorded in PTO:

Reel _____ Frame _____

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No.: 33,772


SIGNATURE OF ATTORNEY

Anne Gerry Sabourin
Type or print name of attorney

Tel. No.: (248) 948-2021

BASF Corporation
26701 Telegraph Road
P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922