

01-28-2002

Form PTO-1595

(Rev. 6/93)

OMB No. 0651-0011 (exp. 4/94)



JET

U.S. DEPARTMENT OF COMMERCE

Patent And Trademark Office

101963757

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Thomas C. Pochapsky
2. Christine Hofstetter

1.16.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: 1. November 6, 2001 2. September 18, 2001

2. Name and address of receiving party(ies):

Name: Brandeis University

Internal Address: _____

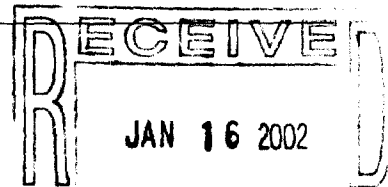
Street Address: 415 South StreetCity: Waltham State: MA Zip 02454-9110Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the filing date of the application is:

A. Patent Application No.(s) 09/902,446

B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Foley, Hoag & Eliot LLPInternal Address: Patent GroupStreet Address: One Post Office SquareCity: Boston State: MA ZIP 02109

6. Total number of applications and patents involved (1)

7. Total Fee (37 CFR 3.14) \$ 40.00☒ Enclosed☐ Authorized to be charged to _____ deposit account

8. Deposit account number:

06-1448, Ref.

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dana M. Gordon, Ph.D., J.D. - 44,719

Name of Person Signing and Reg. No.

01/25/2002 DBYRME 00000407 09902446

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40.00 OP

Total number of pages including cover sheet, attachments, and document: (4)

Signature

11/26/01

Date

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

ASSIGNMENT

We, Thomas C. Pochapsky of Arlington, MA and Christine Hofstetter of Concord, MA, for valuable consideration received from Brandeis University, a corporation of the state of Massachusetts, having its principal place of business at 415 South Street, Waltham, MA 02454-9110, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said

BRANDEIS UNIVERSITY

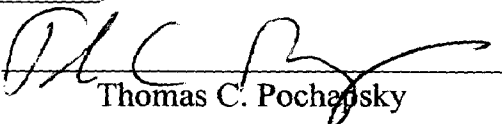
its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in

"Asymmetric Synthetic Methods Based on Phase Transfer Catalysis"


described in application Serial No. 09/902,446 filed on July 10, 2001 in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand this 6th day of November, 2001.

By: 
Thomas C. Pochapsky

Then personally appeared the above-named Thomas C. Pochapsky and acknowledged the foregoing instrument to be his free act and deed, before me, this 6th day of November, 2001.


Witness signature

ANNA BATTISTA
Witness Name (please print)

ASSIGNMENT

We, Thomas C. Pochapsky of Arlington, MA and Christine Hofstetter of Concord, MA, for valuable consideration received from Brandeis University, a corporation of the state of Massachusetts, having its principal place of business at 415 South Street, Waltham, MA 02454-9110, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said

BRANDEIS UNIVERSITY

its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in

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And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand this _____ day of _____, 2001.

By: _____
Thomas C. Pochapsky

Then personally appeared the above-named Thomas C. Pochapsky and acknowledged the foregoing instrument to be his free act and deed, before me, this _____ day of _____, 2001.

Witness signature

Witness Name (please print)

U.S. SERIAL NUMBER: 09/902,446

WITNESS my hand this 18th day of September, 2001.By: Christine Hofstetter
Christine HofstetterThen personally appeared the above-named Christine Hofstetter and acknowledged the foregoing instrument to be his free act and deed, before me, this 18th day of September, 2001.[Signature]
Witness signatureJeffrey R Hampton
Witness Name (please print)