

01-22-2002

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FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

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To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Geoffrey S. Barrall

See 1 in Addendum

1-14-02

2. Name and address of receiving party(ies):

Name: BlueArc Ltd.

Internal Address:

Street Address: Cutbush Court, Unit 3

Lower Early, Reading, United Kingdom RG6 4UW

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Y ☒ No

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: September 3, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/879,798

B. Patent No.(s)

☐ Yes ☒ No

o u b

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elizabeth P. Morano

Internal Address: Bromberg & Sunstein LLP

Street Address: 125 Summer Street

City: Boston State: MA ZIP: 02110-1618

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

(40E)

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth P. Morano

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 012493 FRAME: 0126

Attachment to Form PTO - 1595
Recordation Form Cover Sheet

Additional Conveying Parties

- Trevor Willis
- Simon Benham
- Michael Cooper
- Jonathan Meyer
- Christopher J. Aston
- John Winfield

ASSIGNMENT

ASSIGNORS: Geoffrey S. Barrall
Trevor Willis
Simon Benham
Michael Cooper
Jonathan Meyer
Christopher J. Aston
John Winfield

ASSIGNEE: BlueArc UK Limited
Cutbush Court - Unit 3
Lower Earley, Reading RG6 4UW
United Kingdom

A British Company

INVENTION: Apparatus and Method for Hardware-Implementation or
Acceleration of Operating System Functions

ATTORNEY DOCKET: 2337/103

SERIAL NO: 09/879,798

FILED: June 12, 2001

Assignor is the sole inventor (if only one inventor is listed above) or a joint inventor (if more than one inventor is listed above) of the above invention (the "Invention") described in a United States patent application (the "Application") bearing the above attorney docket number and having as a title the above name for the Invention. The Application has a Patent and Trademark Office filing date and serial number as indicated above, or if no filing date and serial number are shown, has a Declaration executed by Assignor contemporaneously with this Assignment.

For valuable consideration, receipt of which is acknowledged, each Assignor hereby assigns to Assignee (which term shall include Assignee's successors and assigns), all of

Assignor's right, title and interest in the Invention, all improvements therein, the Application and all priority rights arising therefrom, and any patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the following: the Application, any divisional, continuation-in-whole, or substitute United States application which is based on the Application; or any continuation-in-part United States application (including divisions, continuations-in-whole or -in-part, and substitutions thereof or therefor) based in-part on any of the above described applications.

Each Assignor further agrees that such Assignor and Assignor's heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications, execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignor relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee. Each Assignor further agrees that such Assignor will, without further compensation to Assignor during the term of such Assignor's employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee.

IN WITNESS WHEREOF, each Assignor hereby executes this instrument on the date set

forth below.

G. S. Barrall

Geoffrey S. Barrall, Assignor

Date: 7 Aug 2001

Trevor Willis

Trevor Willis, Assignor

Date: 6 Aug 2001

Simon Benham

Simon Benham, Assignor

Date: 3rd September 2001

Michael Cooper

Michael Cooper, Assignor

Date: 7th August 2001

Jonathan Meyer

Jonathan Meyer, Assignor

Date: 7th August 2001

Christopher J. Aston

Christopher J. Aston, Assignor

Date: 7 Aug 2001

John Winfield

John Winfield, Assignor

Date: 6 Aug 2001

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