

01-28-2002



101960330

Docket No.: FIRS-2994

HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

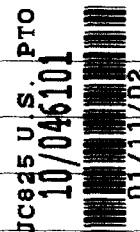
1. Name of conveying party(ies):

Keith A. Raniere

2. Name and address of receiving party(ies):

Name: First Principles, Inc.

Address: 455 New Karner Road



Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Albany

State/Prov.: NY

Country: USA

ZIP: 12205

Execution Date: 1/10/02

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s): 10,046101

If this document is being filed together with a new application, the execution date of the application is: 1/10/02

Patent Application No.

Filing date

B. Patent No.(s)

01/22/2002 SDIRETA1 00000168 10046101

01 FC:581

40.00 DP

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Customer No. 5409

Registration No.

Address:

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6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

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8. Deposit account number:

19-0513

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jack P. Friedman - Reg. No. 44,688

Name of Person Signing

Jack P. Friedman

Signature

1/11/2002

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments

PATENT  
REEL: 012496 FRAME: 0798

## ASSIGNMENT

Whereas, I

(1) Keith A. Raniere

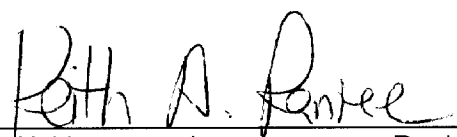
have invented certain improvements in a: ENTRANCE-EXCHANGE STRUCTURE AND METHOD

Dates That Inventors Signed the Declaration and executed, respectively, a United States patent application therefor on  
(1) 1/10 2002.

And whereas, FIRST PRINCIPLES, INC., a corporation of, having a place of business at, 455 New Karner Road, Albany, NY 12205 (hereinafter called "FIRST PRINCIPLES"), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to FIRST PRINCIPLES, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to FIRST PRINCIPLES, its successors and assigns; and I hereby agree that FIRST PRINCIPLES may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by FIRST PRINCIPLES.

(1) at  
on 1/10 / 2002

  
( Keith A. Raniere ) Signature  
First Name Middle Last Name Inventor