



01-28-2002



Docket No. 45535/DRK/O195

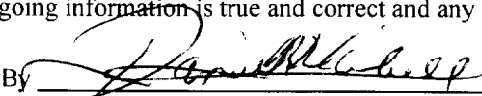
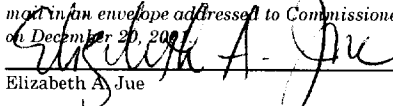
Box Assignment
Commissioner of Patents and Trademarks
Washington, D.C. 20231

101960003

JET

Post Office Box 7068
Pasadena, CA 91109-7068

Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

1. Name of conveying party(ies): James P. McGuire, Jr. Additional name(s) of conveying party(ies) attached: no		2. Name and address of receiving party(ies): Name: OPTICAL RESEARCH ASSOCIATES Street Address: 3280 East Foothill Boulevard, Suite 300 Pasadena, California 91107-3103			
3. Name of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: December 3, 2001		Additional name(s) & address(es) attached? no			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: . <table border="0"> <tr> <td style="width: 50%;"> A. Patent Application No.(s) <u>09/955,741</u> </td> <td style="width: 50%;"> B. Patent No.(s) </td> </tr> </table>				A. Patent Application No.(s) <u>09/955,741</u>	B. Patent No.(s)
A. Patent Application No.(s) <u>09/955,741</u>	B. Patent No.(s)				
Additional numbers attached? no					
5. Please return the recorded document and address all correspondence to: CHRISTIE, PARKER & HALE, LLP P.O. Box 7068 Pasadena, CA 91109-7068 Attention: Daniel R. Kimbell		6. Total number of applications and patents involved..... 1			
10. <input type="checkbox"/> Explanatory letter is enclosed.		7. <input checked="" type="checkbox"/> Total fee enclosed (37 CFR 3.41): \$ 40.00 8. <input checked="" type="checkbox"/> Any deficiency or overpayment of fees should be charged or credited to Deposit Account No. 03-1728, except for payment of issue fees required under 37 CFR § 1.18. Please show our docket number with any credit or charge to our Deposit Account.			
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Date: December 20, 2001 By:  Name: Daniel R. Kimbell 626/795-9900					
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on December 20, 2001.  Elizabeth A. Jue					

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Total number of pages including cover sheet,
attachments, and document:

4

-DRK/eaj

PATENT
REEL: 012497 FRAME: 0227

ASSIGNMENT

WHEREAS, I, JAMES P. MCGUIRE, JR., residing at 1720 Kaweah Drive, Pasadena, California 91105, have invented certain new and useful improvements disclosed in an application for United States Letters Patent entitled **PROGRAMMABLE OPTICAL ADD/DROP MULTIPLEXER**, filed **September 18, 2001**, Application No. 09/955,741 and with claim to the priority of United States Provisional Application No. 60/280,660, filed March 30, 2001.

AND WHEREAS OPTICAL RESEARCH ASSOCIATES, a California corporation, having a place of business at 3280 East Foothill Boulevard, Suite 300, Pasadena, California 91107-3103 (hereafter, together with any successors, legal representatives or assigns thereof, called "ASSIGNEE") wants to acquire the entire right, title and interest in and to said improvements and application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE the entire right, title and interest in and to said improvements, and said application and all divisions and continuations thereof, and all United States Letters Patents which may be granted thereon and all reissues, reexaminations and extensions thereof, and all priority rights under all available International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms in every participating country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries, and all patents (including all continuations, divisions, extensions, renewals, substitutes, and reissues thereof) granted for said improvements in any foreign countries; and I hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to ASSIGNEE in accordance with the terms of this Assignment;

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to ASSIGNEE any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for said improvements in all countries.

ASSIGNMENT

Docket No. 45535/DRK/O195

Sole

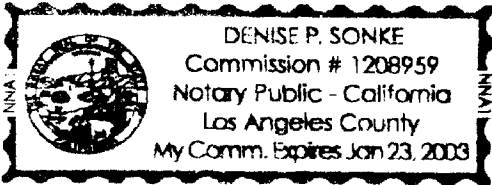
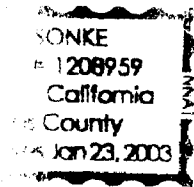
IN TESTIMONY WHEREOF, I hereunto set my hand this 03 day of December, 2001.



James P. McGuire, Jr.

DRK/eaj

EAJ PAS397802.1*-11/26/01 11:28 AM



Denise P. Sonke

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Los Angeles

ss.

On Dec 3, 2001, before me,

Date

Denise P. Sonke
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

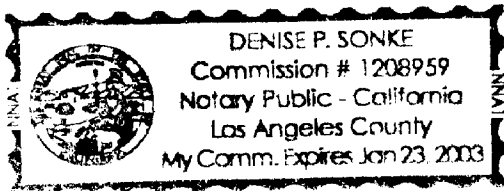
personally appeared

[Signature]
Name(s) of Signer(s)

☒ personally known to me

☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Denise P. Sonke
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here