

01-28-2002

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Pat

101961637

hed original documents or copy thereof.

1. Name of conveying party(ies):

L. Andy Woodruff
Andrei V. Bordunov
Christopher A. Pohl

1/16/02

Additional name(s) of conveying party(ies) attached?

Yes ☐ No ☒

2. Name and address of receiving party(ies):

DIONEX CORPORATION

1228 Titan Way

Sunnyvale, CA 94085

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: November 26, 2001

Additional name(s) & address(es) attached?

Yes ☐ No ☒

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of this application is:

A. Patent Application Number(s)

09/949,010

B. Patent Number(s)

Additional numbers attached?

Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed

David J. Brezner, Esq.
FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP
4 Embarcadero Center
Suite 3400
San Francisco, CA 94111

6. Total Number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41)

\$ 40

☒ Enclosed☐ Deposit Account☒ Authorization to charge additional fees to Deposit Account

8. Deposit account number:

06-1300 (A-70550/DJB)

(Attach duplicate copy of this page if paying by deposit account)

01/28/2002 TDIAZ1 00000163 09949010

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

David J. Brezner

Reg. No. 24,774

David J. Brezner

December 7, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and documents:

4

COMMISSIONER OF PATENTS & TRADEMARKS, BOX ASSIGNMENTS
WASHINGTON, D.C. 20231PATENT
REEL: 012498 FRAME: 0371

ASSIGNMENT

WHEREAS, the undersigned,

(1) L Andy Woodruff, (2) Andrei V. Bordunov,

(3) Christopher A. Pohl,

(hereinafter termed "Inventors"), residents of:

(1) San Jose, (2) Campbell,

(3) Union City,

respectively; counties of:

(1) Santa Clara, (2) Santa Clara,

(3) Alameda,

respectively; states of:

(1) California, (2) California

(3) California

respectively, have invented certain new and useful improvements in

ION EXCHANGE CRYPTANDS COVALENTLY BOUND TO SUBSTRATES

for which an application for a United States Patent was filed on September 7, 2001,

having Application Number 09/949,010.

and

WHEREAS,

DIONEX CORPORATION, a corporation of the State of Delaware,

having a place of business at 1228 Titan Way, Sunnyvale, California 94085

(hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

I. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

PATENT

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2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee as of the dates below written adjacent to each of their respective signatures.

Signature of Inventor (1):

L. Andy Woodruff
L. Andy Woodruff

Date (1): 11/26/01, 2001

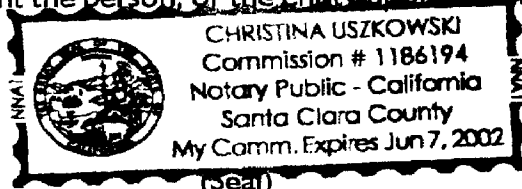
County of SANTA CLARA)
) ss.
State of CALIFORNIA)

On this 26th day of NOVEMBER, in the year 2001, before me, CHRISTINA USZKOWSKI, Notary Public of the State of California, personally appeared L. Andy Woodruff, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature

Christina Uszkowski



Signature of Inventor (2):

Andrei V. Bordunov

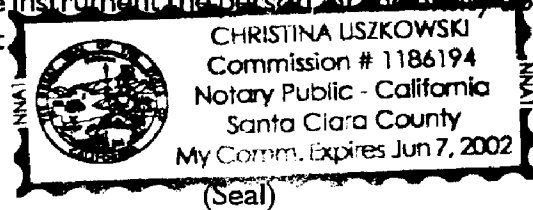
Dated (2): 11/26/01, 2001

County of SANTA CLARA)
) ss.
State of CALIFORNIA)

On this 26th day of NOVEMBER, in the year 2001, before me, Christina Uszkowski,
Notary Public of the State of California, personally appeared Andrei V. Bordunov, personally known
to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is
subscribed to the within instrument, and acknowledged that he/she executed the same in his/her
authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon
behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Christina Uszkowski



Signature of Inventor (3):

Christopher A. Pohl

Dated (3): 11/26/01, 2001

County of SANTA CLARA)
) ss.
State of CALIFORNIA)

On this 26th day of NOVEMBER, in the year 2001, before me, Christina Uszkowski,
Notary Public of the State of California, personally appeared Christopher A. Pohl, personally known
to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is
subscribed to the within instrument, and acknowledged that he/she executed the same in his/her
authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon
behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Christina Uszkowski

