

01-28-2002

2 SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commission,

101962506

attached original documents or copy thereof.

1. Name of conveying party(ies):

Yuming Gao
Xu ChenAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Brown University Research Foundation

Internal Address: _____

Street Address: 42 Charlesfield StreetRoom 301City: Providence State: RI ZIP: 02912Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution date: December 28, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application date is: _____

A. Patent Application No. 60/338,151

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sam Rosen, Esquire

Internal Address: _____

LEONARD BLOOM & ASSOCIATES, LLCStreet Address: 502 Washington AvenueSuite 220City: Towson State: MD ZIP: 212046. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

02-2839

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sam Rosen

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments
Arlington, VA 22202PATENT
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ASSIGNMENT FOR PROVISIONAL PATENT APPLICATION

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, We,

ARUN K. MEHTA
ROBERT H. HURT
YUMING GAO
XU CHEN
ERIC M. SUUBERG

do hereby sell and assign to

BROWN UNIVERSITY RESEARCH FOUNDATION

incorporated under the laws of Rhode Island, U.S.A., having its principal place of business at 42 Charlesfield Street, Room 301, Providence, Rhode Island 02912, (hereinafter referred to as "ASSIGNEE") its successors, assigns, nominees, or other legal representatives, the entire right, title and interest in and to the IMPROVEMENTS IN:

DRY AND SEMI-DRY METHODS FOR REMOVAL
OF AMMONIA FROM FLY ASH

invented by us and set forth in the Provisional Patent Application Serial No. (to be assigned), and identified by Attorney Docket 21372-PPA, and all original and reissued patents granted therefrom, and all divisions and continuations thereof, including the subject matter of any and all claims which may be obtained in every such patent, and the right to apply for and obtain patents, Utility Model Registrations and Inventor's Certificates in countries foreign to the United States, and in and to any Letters Patent, Utility Model Registration or Inventor's Certificate which may be granted thereon in such foreign countries, including all priority rights under the International Convention associated therewith for each country of the Union, and authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue the said Letters Patent, Utility Model Registration or Inventor's Certificate to the said "ASSIGNEE," its successors, assigns, nominees or other legal representatives, as assignee of the entire interest, and we covenant that we have full right to convey the entire interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith, and agree that we will communicate to said "ASSIGNEE," its successors, assigns, nominees or other legal representatives all facts known to us respecting said invention, whenever requested, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and do all lawful acts requisite for the application for such divisional, continuing or reissue applications, or the procuring thereof, and that if and when said "ASSIGNEE," its successors, assigns, nominees or

other legal representatives desire to file a disclaimer relating thereto, we will, upon request, sign all lawful papers requisite for the filing of such disclaimer, and we further covenant and agree that we will, at any time upon request, do everything legally possible to aid said "ASSIGNEE," its successors, assigns, nominees or other legal representatives, either in its or their own name, to apply for, obtain and enforce patent, Utility Model and/or Inventor's Certificate protection for said improvements in all countries, all without further consideration but at the expense of said "ASSIGNEE," its successors, assigns, nominees, or other legal representatives.

Executed at _____ this _____
day of _____, 2001.

ARUN K. MEHTA

State of)
) SS:
County of)

Before me personally appeared said ARUN K. MEHTA, who acknowledged the foregoing instrument to be his own free act and deed this _____ day of _____, 2001.

NOTARY PUBLIC

(SEAL)

My commission expires _____

* * * * *

day of _____, 2001

ROBERT H. HURT

State of)
) SS:
County of)

Before me personally appeared said ROBERT H. HURT, who acknowledged the foregoing instrument to be his own free act and deed this _____ day of _____, 2001.

NOTARY PUBLIC

(SEAL)

My commission expires _____

* * * * *

Executed at 120 Waterman St. Providence RI this 28th

day of December, 2001.

Yuming Gao
YUMING GAO

State of Rhode Island)
County of Providence) SS:

Before me personally appeared said YUMING GAO, who acknowledged the foregoing instrument to be his own free act and deed this 28th day of December, 2001.

Alissa Maria Stuckey
NOTARY PUBLIC

(SEAL)

My commission expires _____

**Alissa Maria Stuckey, Notary Public
State of Rhode Island and Providence Plantations
My Commission Expires: 2/28/2005**

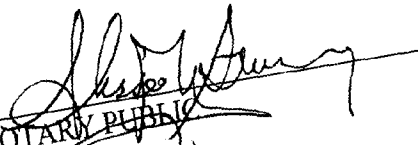
* * * * *

Executed at 120 Waterman St Providence RI this 28th
day of December, 2001.


XU CHEN

State of Rhode Island)
County of Providence) SS:

Before me personally appeared said XU CHEN, who acknowledged the foregoing
instrument to be his own free act and deed this 28th day of December, 2001.


NOTARY PUBLIC

(SEAL)

My commission expires _____
Alissa Maria Stuckey, Notary Public
State of Rhode Island and Providence Plantations
My Commission Expires: 2/28/2005

* * * * *

Executed at _____ this _____

day of _____, 2001.

ERIC SUUBERG

State of)
) SS:
County of)

Before me personally appeared said ERIC SUUBERG, who acknowledged the foregoing
instrument to be his own free act and deed this _____ day of _____, 2001.

NOTARY PUBLIC

(SEAL)

My commission expires _____

SR/jjr

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