

01-28-2002



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PATENT TRADEMARK OFFICE

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PATENTS ONLYHEET U.S. Department of Commerce
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Weidong Xiao

Additional name(s) of conveying party(ies) attached?
☐ yes ☒ no

2. Name and address of receiving party(ies)

Name: The Trustees of the University of Pennsylvania

Internal Address: _____

3. Nature of Conveyance:

1.16.02

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Effective Date: November 27, 2001Street Address: 3700 Market Street, Suite 300City: Philadelphia State: PA Zip: 19104-3147Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/807,802

16

Additional numbers attached: ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathy A. Kodroff, EsquireHowson and Howson

Internal Address: _____

Street Address: Spring House Corporate Center,Box 457City: Spring House State: PA Zip: 19477

6. Total number of applications and Patents involved:.....

1

7. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cathy A. Kodroff

Name of Person Signing

Signature

Date

11/29/01

01/25/2002 DRYME 00000181 03007000 Number of pages, including cover sheet, attachments, and document: 6

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Mail documents to be recorded with required coversheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, DC 20231PATENT
REEL: 012499 FRAME: 0391

ASSIGNMENT

WHEREAS, James M. Wilson and Weidong Xiao, hereinafter referred to as ASSIGNORS, have invented and are the owners of an invention entitled ADENO-ASSOCIATED VIRUS SEROTYPE I NUCLEIC ACID SEQUENCES, VECTORS AND HOST CELLS CONTAINING SAME said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection with said invention, and which has been filed on April 17, 2001 and given Application Number 09/807,802.

WHEREAS, The Trustees of the University of Pennsylvania, a corporation organized and existing under and by virtue of the laws of the Commonwealth of Pennsylvania and engaged in business at 3700 Market Street, Suite 300, Philadelphia, Pennsylvania 19104-3147 hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefor;

NOW, THEREFORE, THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1.00) paid to ASSIGNORS by ASSIGNEE, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS have sold, assigned and set over, and hereby do sell, assign and set over, unto ASSIGNEE, its successors and assigns, the entire right, title and interest for, to and within the United States and all foreign countries, in and to the aforesaid invention and in and to any application for Letters Patent which ASSIGNEE at its expense may cause to be filed in the United States, under an international treaty, or in any foreign country, and in any United States or foreign patent which may be granted on said invention, including any extensions, divisions, reissues, continuations or continuations-in-part.

And ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States to ASSIGNEE, its successors and assigns, as the owner of all right, title and interest therein.

And for said consideration, it is hereby covenanted and agreed that at the request and expense of ASSIGNEE, its successors and assigns, ASSIGNORS will execute any further papers and do such other acts and things as may be necessary and proper to procure Letters Patent for said invention in the United States and in such foreign countries as ASSIGNEE, its successors and assigns may elect, and vest the full title thereto in ASSIGNEE, its successors and assigns.