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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Carlos F. Barbas, III
Roger Beerli
Ulrich Schopfer

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: _____

2. Name and address of receiving party(ies)

Name: THE SCRIPPS RESEARCH INSTITUTE

Internal Address: 10550 North Torrey Pines

Road, La Jolla, California 92037

Street Address: 10550 North Torrey Pines
Road

City: La Jolla State: CA Zip: 92037

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/908,153

B. Patent No.(s)

JAN 1 2002

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas E. Northrup

Internal Address: Office of Patent Counsel

10550 North Torrey Pines Road, TPC-8

La Jolla, California 92037

Street Address: 10550 North Torrey Pines
Road, TPC-8

City: La Jolla State: CA Zip: 92037

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

19-0962

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas E. Northrup

Name of Person Signing

Thomas E. Northrup

Signature

11/07/01

Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01/28/2002 LMJELLER 00000084 09908153

01 FC:581

40.00 DP

PATENT
REEL: 012499 FRAME: 0791

In Consideration of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in REGULATION OF GENE EXPRESSION USING SINGLE-CHAIN, MONOMERIC, LIGAND DEPENDENT POLYPEPTIDE SWITCHES and in the application for Letters Patent of the United States therefor, and in any reissue or extension of any letters Patent that may be granted upon said application are hereby assigned by the undersigned to THE SCRIPPS RESEARCH INSTITUTE, A California Corporation, and the heirs, successors, legal representatives and assigns of THE SCRIPPS RESEARCH INSTITUTE (herein collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

For said considerations it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional or continuing application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for said considerations it is further agreed by the undersigned, upon request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becomes involved in Interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, on such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this Assignment and sale had not been made. And for said considerations the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee. The attorneys of record in said application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and serial number thereof in the places provided therefor.

Carlos F. Barbas, III (Seal)

Roger Beerli (Seal)

Ulrich Schopfer 27 Sept 2001 (Seal)
Ulrich Schopfer

State of _____)
County of _____) ss

On _____ before me,
_____, personally appeared _____

personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

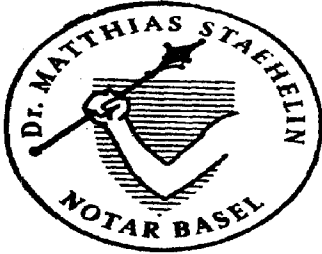
WITNESS my hand and official seal.

Notary Public
My Commission Expires: _____

Attestation

I, the undersigned notary public of the Canton of Basel-City, Switzerland, certify herewith the authenticity of the signature of **Dr. Ulrich Schopfer**, German citizen, residing in Lörrach, Germany.

BASEL, this 1st (first) of October 2001 (twothousandandone).



Dr. M. Staehelin

Dr. M. Staehelin
Notar

Leg. Prot.: 1203/2001

In Consideration of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in REGULATION OF GENE EXPRESSION USING SINGLE-CHAIN, MONOMERIC, LIGAND DEPENDENT POLYPEPTIDE SWITCHES and in the application for Letters Patent of the United States therefor, and in any reissue or extension of any Letters Patent that may be granted upon said application are hereby assigned by the undersigned to THE SCRIPPS RESEARCH INSTITUTE, A California Corporation, and the heirs, successors, legal representatives and assigns of THE SCRIPPS RESEARCH INSTITUTE (herein collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

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 Carlos F. Barbas, III (Seal)

 Roger Beerli (Seal)

 Ulrich Schopfer (Seal)

State of _____)
) ss
 County of _____)

On _____ before me, _____
 _____, personally appeared _____,

personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

 Notary Public
 My Commission Expires: _____

Official Certification

Seen for authentication of the reverse side signature, affixed in our presence by

Mr. Roger Renzo Beerli, born 08.10.1965, citizen of Zürich, residing at Loowiesenstr. 15,
8106 Adlikon / Switzerland,
who has identified himself by Identity Card.

Schlieren, 25.09.2001

B No. 1156
Fee: Fr. 20.--



NOTARIAT SCHLIEREN

F. Fülle
Alfred Fülleemann, Deputy Notary public

In Consideration of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in REGULATION OF GENE EXPRESSION USING SINGLE-CHAIN, MONOMERIC, LIGAND DEPENDENT POLYPEPTIDE SWITCHES and in the application for Letters Patent of the United States therefor, and in any reissue or extension of any Letters Patent that may be granted upon said application are hereby assigned by the undersigned to THE SCRIPPS RESEARCH INSTITUTE, A California Corporation, and the heirs, successors, legal representatives and assigns of THE SCRIPPS RESEARCH INSTITUTE (herein collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

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Carlos F. Barbas, III (Seal)
Carlos F. Barbas, III

Roger Beerli (Seal)
Roger Beerli

Ulrich Schopfer (Seal)
Ulrich Schopfer

State of California)
County of San Diego) ss

On September 26, 2001 before me, Nancy L. Barker, Notary Public, personally appeared Carlos F. Barbas

personally known to me - ~~OR - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.~~

WITNESS my hand and official seal.



Nancy L. Barker
Notary Public
My Commission Expires: 8/18/05