

01-29-2002



101775-37204 (Previously 052042-32034)

## Recordation Form Cover Sheet

101963324

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of the conveying party (ies):

Rich Industries, Inc.

Additional name(s) of conveying parties attached?

☐ Yes ☒ No

1.22.02

## 2. Name and address of receiving party(ies):

Name: Richard L. Chang

Internal Address :

Street Address : 107 Konner Avenue

City : Pine Brook State : New Jersey

## Nature of Conveyance:

☒ Assignments ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: December 4, 2001

Additional name(s) attached? ☒ Yes ☐ No

## 4. Application or Registration Number(s)

If this application is being filed with a new application, the execution date of the new application is \_\_\_\_.

A. Patent Application Numbers

08/837,085

B. Patent Registration Numbers

6,063,814

Additional Numbers attached ? ☐ Yes ☒ No

## 5. Name and Address of party to whom correspondence concerning document should be mailed:

Name: Intellectual Property  
 Docket Administrator

Firm: Gibbons, Del Deo Dolan,  
 Griffinger & Vecchione

Address: One Riverfront Plaza  
 City: Newark State: N.J. Zip: 07102-5497

## 6. Total Number of applications and registrations involved:

1

## 7. Total Fee:

☐ Enclosed☒ Authorized to be charged to Deposit Account

## 8. Deposit Account Number:

03-3839

(attach duplicate copy of this page if paying by deposit account)

## 9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Name: Diane L. Ferrone

Registration No. 36,135

Signature: *Diane L. Ferrone*

Date: December 17, 2001

01/28/2002 LMUELLER 00000139 08837085

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#302207 v1  
 101775-37204

PATENT

REEL: 12500 FRAME: 0979

Name and address of additional receiving party(ies):

Name : Zheng Tao Han

Internal Address :

Street Address : 4 Dongming Road  
Zheng Zhou, Henan  
China

## ASSIGNMENT AND AGREEMENT

For value received, we, **Rich Industries, Inc.**, a corporation of the State of New Jersey having an office at 107 Konner Avenue, Pine Brook, New Jersey, hereby sell, assign and transfer to **Richard L. Chang**, of 107 Konner Avenue, Pine Brook, New Jersey 07058 and **Zheng Tao Han**, of 4 Dongming Road, Zheng Zhou, Henan, China, and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **PHORBAL ESTERS AS ANTI-NEOPLASTIC AND WHITE BLOOD CELL ELEVATING AGENTS** described in Serial No. 08/837,085, filed on April 14, 1997, now U.S. Patent 6,063,814, issued on May 16, 2000, and all of the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to **Richard L. Chang and Zheng Tao Han** the entire right, title and interest in and to all applications and patents to said inventions for all countries foreign to the United States which include Canadian Application No. 2,286,557, European Application No. 98915525.4 and Japanese Patent Application No. 10-541516, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

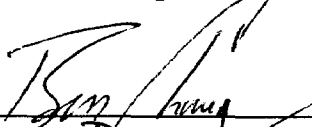
We authorize **Richard L. Chang and Zheng Tao Han** to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of **Richard L. Chang and Zheng Tao Han** to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to **Richard L. Chang and Zheng Tao Han** in the United States and to **Richard L. Chang and Zheng Tao Han** in all countries foreign to the United States, or to such nominees as they may designate.

We agree that, when requested, we shall, without charge to **Richard L. Chang and Zheng Tao Han** but at its expense, sign all papers, take all rightful oaths,

and do all acts which may be necessary, desirable or convenient in connection with said applications, patents or other forms of protection.

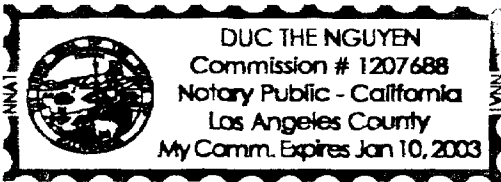
  
Name: Ben Chang  
Title: President  
Rich Industries Inc.

United States of America )

State of California ) ss.:

County of Los Angeles )

On this 04 day of December, 2001, before me personally came Ben Y Chang, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.





Notary Public