

01-29-2002

. Docket No. SMS920010002



EET

1.1607

101961951

To The Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Name: Mainspring, Inc.

Address: One Main Street, 7th Floor,
Cambridge, Massachusetts 02142Additional name(s) of conveying
party(ies) attached? ___ Yes X No2. Name and address of receiving
party(ies):Name: International Business
Machines Corporation
Address: New Orchard Road
Armonk, NY 10504Additional name(s) & addresses
attached? ___ Yes X No

3. Nature of conveyance:

X AssignmentExecution Date(s): November 9, 2001

4. Patent Application number(s)

09/522,162Execution Date(s): July 12, 20005. Name and address of party to
whom correspondence concerning
document should be mailed:Name: Anne Vachon Dougherty
for IBM Corporation
3173 Cedar Road
Yorktown Heights, NY 10598
Tel. No: (914) 962-59106. Total number of applications
involved: 1

7. Total fee (37 CFR 3.41): \$40.00

X Charge to Deposit Account No.:
50-0629

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and
correct and any attached copy is a true copy of original document.

Anne Vachon Dougherty (No. 30,374)
Name of Person Signing

Signature

Date

Total Number of pages including cover sheet,
attachments, and document: 2

DO NOT DETACH THIS PORTION

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents
Box Assignments
Washington, DC 20231

PATENT
REEL: 012501 FRAME: 0121

Assignment of Patent Application

ASSIGNMENT made this 9 day of November between Mainspring, Inc., formerly Mainspring Communications, Inc. ("Assignor"), a corporation of Delaware, with a place of business at One Main Street, 7th Floor, Cambridge, Massachusetts, 02142, and International Business Machines Corporation ("Assignee"), a corporation of New York, with a place of business at New Orchard Road, Armonk, New York 10504;

WHEREAS, the Assignor represents and warrants it is the sole owner of the entire right, title and interest in and to the invention:

COMPUTERIZED KNOWLEDGE BROKERAGE SYSTEM

pursuant to assignment from the original inventors, Mark Verdi and Ruth Habbe, to Assignor, in the Assignment dated July 12, 2001, which was recorded in the United States Patent and Trademark Office on August 22, 2000 at Reel 011049, Frame 0884 (copy attached);

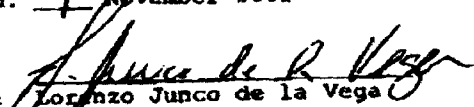
and which is the subject of United States Patent application 09/522,162, filed March 9, 2000, based on Provisional Patent Application 60/124,289 filed March 12, 1999.

Whereas, Assignee, International Business Machines Corporation, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

This Assignment is effective as of the date signed.

ASSIGNOR: Mainspring, Inc.
Dated: 9 November 2001

By: 
Name: Lorenzo Junco de la Vega
Title: Assistant Secretary

On this 9th day of November, 2001 before me personally appeared Lorenzo Junco de la Vega, who declared that he is duly and lawfully authorized to execute this Assignment on behalf of Mainspring, Inc. and acknowledged the foregoing signature to be his own.

SCOTT J. TUNLEY
Notary Public, State of New York
No. 02TU5015582
Qualified in Westchester County
Commission Expires July 26, 2004



ASSIGNMENT

WHEREAS, We, Mark Verdi and Ruth Habbe have invented one or more improvements in:

COMPUTERIZED KNOWLEDGE BROKERAGE SYSTEM

described in an application (or provisional application) for Letters Patent of the United States:

☐ identified by Attorney Docket No. _____, and/or executed by us of even date herewith and about to be filed in the United States Patent Office;

☒ Serial No. 09/522,162 filed in the United States Patent Office on March 9, 2000; and

WHEREAS, Mainspring Communications, Inc. (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Delaware, and having a usual place of business at One Main Street, 7th Floor, Cambridge, MA 02142 desires to acquire an interest therein, in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the inventions described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under international convention, including but not limited to continuations, divisionals, reissues, and reexaminations of said application or such Letters Patent; said inventions, applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said inventions, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all Letters Patent to ASSIGNEE for its own name as assignee of our entire right, title and interest therein.

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including: the execution of applications for patents in foreign countries; the execution of substitution, reissue, divisional or continuation applications; and preliminary or other statements or the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved; and we further hereby authorize ASSIGNEE or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

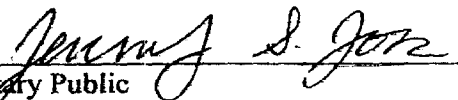
IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor:

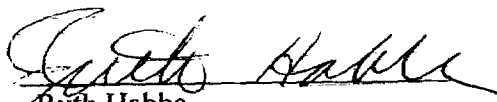

Mark Verdi

Commonwealth of Massachusetts)
County of) ss

Subscribed and sworn to before me, by the above-named this 12 day of
July, 2000.

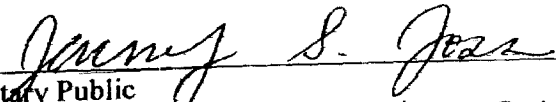

Notary Public
My Commission Expires: October 28, 2005

Inventor:


Ruth Habbe

Commonwealth of Massachusetts)
County of) ss

Subscribed and sworn to before me, by the above-named this 12 day of
July, 2000.


Notary Public
My Commission Expires: October 28, 2005

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State of Delaware
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT
COPY OF THE CERTIFICATE OF AMENDMENT OF "MAINSRING
COMMUNICATIONS, INC.", CHANGING ITS NAME FROM "MAINSRING
COMMUNICATIONS, INC." TO "MAINSRING, INC.", FILED IN THIS
OFFICE ON THE TWENTY-SECOND DAY OF JUNE, A.D. 2000, AT 3:30
O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE
NEW CASTLE COUNTY RECORDER OF DEEDS.



Edward J. Freel
Edward J. Freel, Secretary of State

2609556 8100
001319022

AUTHENTICATION: 0616341
DATE: 06-22-00

JUL 25 '01 15:20

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PAGE.002

PATENT
REEL: 012501 FRAME: 0125

**CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION
OF
MAINSRING COMMUNICATIONS, INC.**

Mainspring Communications, Inc., a corporation organized and existing under and by virtue of the General Corporation Law (the "GCL") of the State of Delaware (the "Corporation"), DOES HEREBY CERTIFY:

FIRST: That the Board of Directors duly adopted a resolution setting forth an amendment to the Fourth Restated Certificate of Incorporation of the Corporation, declaring said amendment to be advisable and directed that the matter be submitted to the stockholders of the Corporation for the approval of said amendment.

SECOND: That in lieu of a meeting and vote of stockholders, consents in writing have been signed by holders of outstanding stock having not less than the minimum number of votes that is necessary to consent to this amendment and prompt written notice will be given in accordance with the provisions of Section 228 and 242 of the DCL.

THIRD: That said amendment amends the Fourth Restated Certificate of Incorporation of the Corporation by amending and restating in its entirety Article FIRST and substituting in lieu thereof the following new Article FIRST:

"FIRST. The name of the corporation is Mainspring, Inc. (the "Corporation")."

FOURTH: That said amendment was duly adopted in accordance with the provisions of Section 242 of the GCL.

NOV 05 2001 14:54 FR IP LAW

07/25/2001 15:15 FAX 0175880775

914 765 4290 TO 99621973

MAINSRING

P.05/11

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0004/004

0003

0003

06/22/00 13:20 FAX 617 249 7100

TESTA, HERWITZ & TRIBE AULT

06/22/00 THU 11:22 FAX

IN WITNESS WHEREOF, Mainspring Communications, Inc. has caused this certificate
to be signed as of the 22nd day of June, 2000.

MAINSRING COMMUNICATIONS, INC.

By:


Mark A. Verdi

Senior Vice President and Chief Financial Officer

THOMAS3458/1.007519-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : November 21, 2001
M. Verdi, et al : Group Art No.: 2756
Serial No. 09/522,162 : Examiner: Not yet assigned
Filed: March 9, 2000 : for IBM Corporation
Anne Vachon Dougherty
Title: COMPUTERIZED KNOW- 3173 Cedar Road
LEDGE BROKERAGE SYSTEM Yorktown Heights, NY 10598

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Assignment and Recordation Form Cover Sheet

Revocation of Powers of Attorney


Acknowledgment Postcard

is being deposited with the United States Postal Service as first
class mail in an envelope addressed to:

Commissioner of Patents
Washington, DC 20231

on November 21, 2001.

Anne Vachon Dougherty


Signature of person mailing

SMS920010002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : November 13, 2001
M. Verdi, et al : Group Art No.: 2756
Serial No. 09/522,162 : Examiner: Not yet assigned
Filed: March 9, 2000 : for IBM Corporation
Anne Vachon Dougherty
Title: COMPUTERIZED KNOW- 3173 Cedar Road
LEDGE BROKERAGE SYSTEM Yorktown Heights, NY 10598

REVOCATION OF POWERS OF ATTORNEY
AND APPOINTMENT OF NEW ATTORNEYS

Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to transfer of ownership in the subject patent application, as evidenced by the attached Assignment, the undersigned officer of International Business Machines Corporation ("Assignee"), hereby revokes the Power of Attorney which was granted by the Declaration and Power of Attorney filed on November 19, 2000 for the above-identified patent application.

The undersigned officer attests to the fact that the entire right, title and interest in the patent application was transferred to Assignee by the Assignment document dated November 9, 2001.

The undersigned officer hereby appoints the following attorney(s) and/or agent(s) to prosecute this application

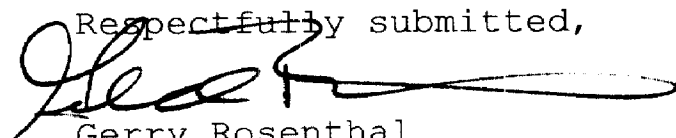
and transact all business in the Patent and Trademark Office connected therewith:

JoAnn Kealy Crockatt (Reg. No. 36,993), Christopher A. Hughes (Reg. 26,914), Edward A. Pennington (Reg. 32,588), John E. Hoel (Reg. 26,279), Joseph C. Redmond, Jr. (Reg. 18,753), Anne Vachon Dougherty (30,374).

Please direct all correspondence to: Anne Vachon Dougherty,
3173 Cedar Road, Yorktown Heights, New York 10598

Direct Telephone Calls to: (name and telephone number):
Anne Vachon Dougherty at (914) 962-5910

Respectfully submitted,



Gerry Rosenthal
Vice President
Intellectual Property & Licensing Services
IBM Corporation
North Castle Drive
Armonk, New York 10504

Enclosures

Assignment of Patent Application

ASSIGNMENT made this 9 day of November between Mainspring, Inc., formerly Mainspring Communications, Inc. ("Assignor"), a corporation of Delaware, with a place of business at One Main Street, 7th Floor, Cambridge, Massachusetts, 02142, and International Business Machines Corporation ("Assignee"), a corporation of New York, with a place of business at New Orchard Road, Armonk, New York 10504;

WHEREAS, the Assignor represents and warrants it is the sole owner of the entire right, title and interest in and to the invention:

COMPUTERIZED KNOWLEDGE BROKERAGE SYSTEM

pursuant to assignment from the original inventors, Mark Verdi and Ruth Habbe, to Assignor, in the Assignment dated July 12, 2001, which was recorded in the United States Patent and Trademark Office on August 22, 2000 at Reel 011049, Frame 0884 (copy attached);

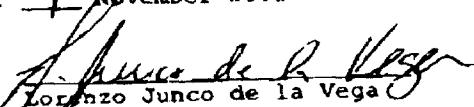
and which is the subject of United States Patent application 09/522,162, filed March 9, 2000, based on Provisional Patent Application 60/124,289 filed March 12, 1999.

Whereas, Assignee, International Business Machines Corporation, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

This Assignment is effective as of the date signed.

ASSIGNOR: Mainspring, Inc.
Dated: 9 November 2001

By: 
Name: Lorenzo Junco de la Vega
Title: Assistant Secretary

On this 9th day of November, 2001 before me personally appeared Lorenzo Junco de la Vega, who declared that he is duly and lawfully authorized to execute this Assignment on behalf of Mainspring, Inc. and acknowledged the foregoing signature to be his own.

SCOTT J. TUNLEY
Notary Public, State of New York
No. 02TU5015582
Qualified in Westchester County
Commission Expires July 26, 2002

