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Department of Commerce  
Patent and Trademark Office

Attorney Docket No. 107242-00028

Date: November 28, 2001

11/28/01

To the Assistant Commissioner of Patents  
Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies)

Shoji AKIYAMA  
Norihiro KOBAYASHI  
Masaro TAMATSUKA  
Takatoshi NAGOYA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: SHIN-ETSU HANDOTAI CO., LTD.

Address: 4-2, Marunouchi 1-chome, Chiyoda-ku  
Tokyo, JAPAN

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_Execution Date: all on August 9, 2001

## 4. Application number(s) or patent number(s): \_\_\_\_\_

If this document is being filed together with a new application, the execution date of the application is: August 9, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arent Fox Kintner Plotkin &amp; Kahn PLLC

Street Address: 1050 Connecticut Avenue, N.W., Suite 400

Washington, D.C. 20036-5339

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6. Total number of applications and patents involved:  
One

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Included in attached check.☒ Any additional fees are authorized to be charged to deposit account8. Deposit account number: 01-2300  
(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George E. Oram, Jr.

Name of Person Signing  
Reg. No. 27,931  
Signature

November 28, 2001

Date

Total number of pages including cover sheet, attachments, and document: 2

PATENT  
REEL: 012502 FRAME: 0973

Docket No. 107242-00028

## U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

[Insert  
ASSIGNEE's  
Name(s)  
Address(es)]

Shin-Etsu Handotai Co., Ltd.

of 4-2, Marunouchi 1-chome, Chiyoda-ku, Tokyo, Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

MANUFACTURING PROCESS FOR ANNEALED WAFER

[Title of  
Invention]

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

[\*If the assignment  
is being filed  
after the  
filing of the  
application, this  
section must be  
completed]

\*filed on March 26, 2001, Serial No. PCT/JP01/02398

(Arent Fox Kintner Plotkin & Kahn, PLLC, Nikaido, Marmelstein, Murray & Oram Intellectual Property Group is hereby authorized to insert the serial code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

[Signature(s)]

Shoji Akiyama  
(SIGNATURE)

Shoji AKIYAMA

(TYPE NAME)

Aug. 9, 2001

(DATE)

Norihiro Kobayashi  
(SIGNATURE)

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RECORDED: 11/28/2001

PATENT  
REEL: 012502 FRAME: 0974