

01-29-2002



Docket No.: 101215-70

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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101970965

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter Nusser; Johannes Muller; Hans-Erhard Peters;
Norbert Buske; Werner Neumann; Kurt Graichen; and
Conrad Kauffeldt

2. Name and address of receiving party(ies):

Name: Berlin Heart AG

Address: Wiesenweg 10,

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Berlin State/Prov.: _____

Country: Germany ZIP: D-12247

Execution Date: Oct. 25, 2001

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/959,044

October 16, 2001

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce S.Londa

Registration No. 33,531

Address: Norris, McLaughlin & Marcus P.A.

220 East 42nd Street, 30th Floor

01/29/2002 AP-HR-D1 00000071 141263 09959044

01 FEB 01 40.00 01

City: New York

State/Prov.: NY

Country: USA

ZIP: 10017

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

14-1263

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce S.Londa

Name of Person Signing

Signature

Nov. 14, 2001

Date

3

Total number of pages including cover sheet, attachments, and

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

Inventor(s)
full names

Peter Nüsser; Johannes Müller; Hans-Erhard Peters; Norbert Buske;
Werner Neumann; Kurt Graichen; and Conrad Kauffeldt

hereby sell, assign and transfer to

Assignee
Name and
Address

Berlin Heart AG
Wiesenweg 10, D-12247 Berlin, Germany

(hereinafter called the Assignee) the entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled

Title of
Invention

Device for the Axial Transport of Fluid Media

which application was

Complete
either
(a), (b) or (c)

- a) executed by the undersigned on the ____ day of _____, 19 ____
- b) filed on the ____ day of _____, 2001, Serial No. ____
- c) filed in the form of an International Application which designated the United States of America under number PCT/EP00/03562 on the 19th day of April 2000

including any and all United States Letters Patents which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals or continuations thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property.

It is hereby authorized and requested that the Commissioner of Patents issue any and all of said Letters Patents, when granted, to said Assignee.

Further, it is agreed that, when requested, without charge to but at the expense of Assignee, the undersigned will execute all divisional, continuing, substitute, renewal, and reissue patent applications; execute all rightful other papers; and generally do everything

possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection.

Date

Signed at _____ this

25 day of October 19 2001

Inventor(s)

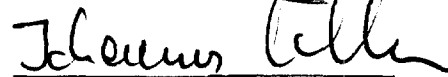
full

Signature(s)

INVENTOR(S):

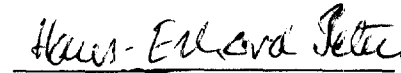
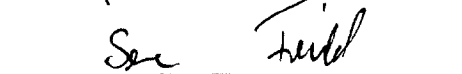
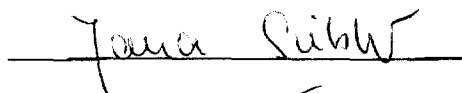


Peter Nüsser




Johannes Müller

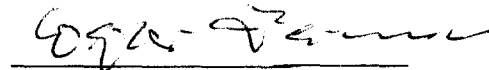
WITNESSES:



Hans-Erhard Peters



Norbert Buske



Werner Neumann



Kurt Graichen



Conrad Kauffeldt

Witnesses'

full

Signatures