

01-30-2002



SHEET

101965273

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Warren H. Brown; Henry Mack, Jr.; Stephen G. Miggels;  
Robert Schramm; and David Stowers**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **12/19/01; 12/21/01; 12/21/01; 12/19/01 and  
12/21/01**

2. Name and address of receiving party(ies):

Name: **Avaya Technology Corp.**

Street Address: **211 Mount Airy Road**

City: **Basking Ridge** State: **New Jersey** Zip: **07920**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No(s)

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator**

Internal Address: **Room 1L-202**

Street Address: **101 Crawfords Corner Road**

**P. O. Box 629**

City: **Holmdel** State: **New Jersey** Zip: **07733-3030**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) \$ **\$40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **501602**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Thomas J. Bean, Reg. No. 44,528**

Name of Person Signing

701001-B-01-US (Brown)

Signature

1/3/02  
Date

Total number of pages including cover sheet, attachments, and document: **7**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D. C. 20231

01/29/2002 6TOM11 00000097 501602 29153298

01 FC:581 40.00 CH

jc996 U.S. PTO  
29/153298  
01/04/02

## ASSIGNMENT AND AGREEMENT

For value received, we, Robert Schramm, of Lakewood in the County of Ocean and the State of New Jersey, Warren H. Brown, of Morganville in the County of Monmouth and State of New Jersey, Stephen G. Miggels of Wyckoff in the County of Bergen and State of New Jersey, Henry Mack Jr. of Levittown in the County of Bucks and the State of New Jersey and David Stowers of Morristown in the County of Morris and the State of New Jersey, hereby sell, assign and transfer to Avaya Technology Corp., a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey, 07920, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Engen Telephone** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Avaya Technology Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Avaya Technology Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Avaya Technology Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Avaya Technology Corp. in the United States and in all countries foreign to the United States, or to such nominees as Avaya Technology Corp. may designate.

We agree that, when requested, we shall, without charge to Avaya Technology Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Robert Schramm  
Robert Schramm

Date: 12/19/2001

United States of America )

State of New Jersey ) ss.:

County of Monmouth

On this 19<sup>th</sup> day of December, 20 01, before me personally came, Robert Schramm, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Linda K. Krichman  
Notary Public

LINDA K. KRICHMAN  
Notary Public of New Jersey, USA  
Registered in Monmouth County  
My Commission Expires October 28 2004

Warren H. Brown  
Warren H. Brown

Date: 12-19-01

United States of America )

State of New Jersey ) ss.:

County of Monmouth )

On this 19 day of December, 2001, before me personally came, Warren H. Brown, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Linda K. Krichman

LINDA K. KRICHMAN  
Notary Public of New Jersey, USA  
Registered in Monmouth County  
My Commission Expires October 28, 2004


  
\_\_\_\_\_  
Stephen G. MiggelsDate: 12.21.2001

United States of America )

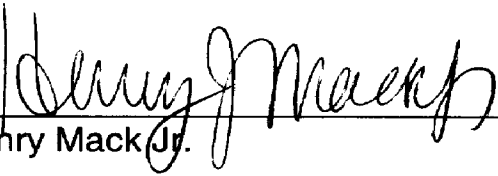
State of New Jersey ) ss.:

County of Morris )

On this 21 day of December, 2001, before me personally came, Stephen G. Miggels, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

PAUL D. FRITZ  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 5/13/2004

  
Henry Mack Jr.

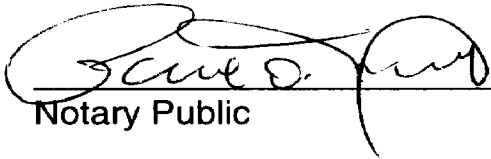
Date: 12/21/01

United States of America )

State of New Jersey ) ss.:

County of Morris )

On this 21 day of December, 2001, before me personally came, Henry Mack Jr., to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

PAUL D. FRITZ  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 5/13/2004

  
David Stowers

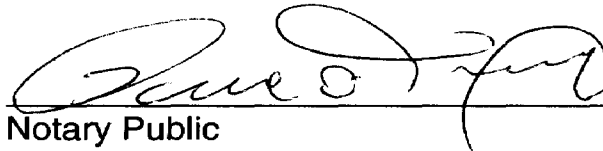
Date: 12/21/01

United States of America )

State of New Jersey ) ss.:

County of Morris )

On this 21 day of December, 2001, before me personally came, David Stowers, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

Avaya Inc.  
101 Crawfords Corner Road  
Room 1L-202  
P.O. Box 629  
Holmdel, New Jersey 07733  
U.S.A.

PAUL D. FRITZ  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 5/13/2004