



01-31-2002



Atty. Docket No.: 0459-0642P

Page 1 of 2

101966036

ET

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
[LAST NAME (ALL CAPS), First Name]

BOZHEVOLNYI, Sergey

ØSTERGAARD, John Erland

LEÓSSON, Kristján

SKOVGAARD, Peter M. W.

Additional name(s) of conveying party(ies) attached?

☒ YES ☐ NO

2. Name and address of receiving party(ies)

Name: MICRO MANAGED PHOTONS A/S

Internal Address:

Street Address: c/o Forskerparken CAT,  
Frederiksborgvej 399, Postboks 30

City: Roskilde

State:

ZIP:

Country: Denmark

Postal Code: DK-4000

Additional name(s) & address(es) attached? ☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: December 12, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

09/908,649

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP

Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

6. Total No. of applications/patents involved: one (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Enclosed☒ Authorized to be charged to deposit account,  
if no fee attached.

8. Deposit account number: 02-2448

(Attach triplicate copy of this page  
if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true  
copy of the original document.

Joe McKinney Muncy, #32,334

Name of Person Signing/Reg. No.

Signature

January 28, 2002

Date

Total number of pages including cover sheet, attachments, and document: seven (7)

KM/asc

(Rev. 01/18/02)

PATENT  
REEL: 012512 FRAME: 0687

Attachment to  
RECORDATION FORM COVER SHEET

Continuation of Box 1--Name of conveying party(ies):

[LAST NAME (ALL CAPS), First Name]

**HVAM, Jørn Märcher**

Continuation of Box 2--Name and address of receiving party(ies):

Continuation of Box 4--Application number(s) or patent number(s):

ASSIGNMENT

WHEREAS, Sergey Bozhevolnyi, an individual residing at Lemvigvej 72, DK-9220 Aalborg Øst, Denmark, John Erland Østergaard, an individual residing at Højgards Vænge 26, DK-2880 Bagsværd, Denmark, Kristján Leósson, an individual residing at Flensborggade 22, 2. th., DK-1669 Copenhagen V, Denmark, Peter M. W. Skovgaard, an individual residing at Mosevangen 16, DK-3460 Birkerød, Denmark, and Jørn Märcher Hvam, an individual residing at Emiliekildevej 33, DK-2930 Klampenborg, Denmark, are the owners by previous assignment, dated August 6, 2001, of the entire undivided right, title and interest of Sergey BOZHEVOLNYI and John Erland ØSTERGAARD, in and to an invention for new and useful improvements in or relating to SURFACE PLASMON POLARITON BAND GAP STRUCTURES for which an application for Letters Patent in the United States was executed on August 6, 2001 and assigned Application Number 09/908,649, and is the owner of an undivided right, title and interest in and to said application by said previous assignment;

WHEREAS, Micro Managed Photons A/S, a corporation organized and existing under and by virtue of the laws of Denmark, having an office at c/o Forskerparken CAT, Frederiksborgvej 399, Postboks 30, DK-4000 Roskilde, Denmark, is desirous of acquiring the entire right, title and interest in and to the said application and the invention therein described and claimed and any Letters Patent

that may be issued upon said application or for the improvements therein contained;

NOW THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) lawful money of the United States and other valuable considerations, to me in hand paid, the receipt of and sufficiency whereof are hereby acknowledged, the said Sergey Bozhevolnyi, John Erland Østergaard, Kristján Leósson, Peter M. W. Skovgaard, and Jørn Märcher Hvam, have sold, assigned and transferred and do hereby sell, assign and transfer unto the said Micro Managed Photons A/S, its successors and assigns, their entire undivided right, title and interest in and to the said application and the invention therein contained, including all rights of action and damages for past infringement, and including the right to apply for any Letters Patent in the United States of America and in all foreign countries on said invention and including the right to claim the priority of the date of filing in the United States and any Letters Patent that may issue thereon, or therefor, in the United States and foreign countries and all reissues, extensions, renewals, divisions and continuations thereof, to the full end of the term or terms for which said Letters Patent may be issued, to be held and enjoyed by the said Micro Managed Photons A/S, its successors and assigns the same as it would have been held and enjoyed by Sergey Bozhevolnyi, John Erland Østergaard, Kristján Leósson, Peter M. W. Skovgaard, and Jørn Märcher Hvam, if this assignment and sale had not been made.

AND, Sergey Bozhevolnyi, John Erland Østergaard, Kristján Leósson, Peter M. W. Skovgaard, and Jørn Märcher Hvam, hereby authorize and request the Commissioner of Patents and Trademarks to issue all such Letters Patents to the said Micro Managed Photons A/S, in accordance with this instrument of assignment.

Sergey Bozhevolnyi, John Erland Østergaard, Kristján Leósson, Peter M. W. Skovgaard, and Jørn Märcher Hvam, hereby represent and warrant that there are no rights or interests outstanding inconsistent with the rights and interests granted herein and that Sergey Bozhevolnyi, John Erland Østergaard, Kristján Leósson, Peter M. W. Skovgaard, and Jørn Märcher Hvam, will not execute any instrument or grant or transfer any rights or interests inconsistent therewith, and Sergey Bozhevolnyi, John Erland Østergaard, Kristján Leósson, Peter M. W. Skovgaard, and Jørn Märcher Hvam, binds themselves and their heirs, executors, administrators, employees and legal representatives, as the case may be, to execute and deliver to the said Micro Managed Photons A/S, its successors and assigns, any further documents or instruments and do any and all further acts that may be deemed necessary by the said Micro Managed Photons A/S, its successors and assigns to file applications for the said improvements and invention in any country where it may elect to file such applications, and that may be necessary to vest in the said Micro Managed Photons A/S, its successors and assigns, the title herein conveyed, or intended so to be, and to enable such title to be

recorded in the United States and foreign countries where such application or applications may be filed.

AND, Sergey Bozhevolnyi, John Erland Østergaard, Kristján Leósson, Peter M. W. Skovgaard, and Jørn Märcher Hvam, further covenant and agree, in consideration of the premises, that it, its executors and administrators will, at any time upon request, communicate to the said Micro Managed Photons A/S, its successors and assigns, any facts relating to the said invention and improvements and the history thereof, known to it or its successors and assigns, and that it will testify as to the same in any interference or other proceeding when requested to do so by the said Micro Managed Photons A/S, its successors and assigns.

The undersigned hereby grants the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF, Sergey Bozhevolnyi, have hereunto set my hands and seal this 12 day of December 2001.

Sergey Bozhevolnyi,

By: 

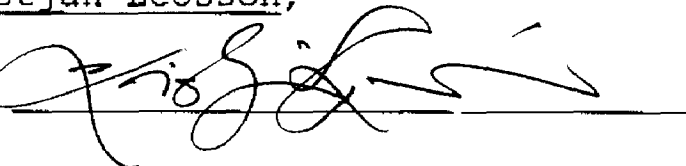
IN TESTIMONY WHEREOF, John Erland Østergaard, have hereunto  
set my hands and seal this 12 day of December  
2001.

John Erland Østergaard,

By: 

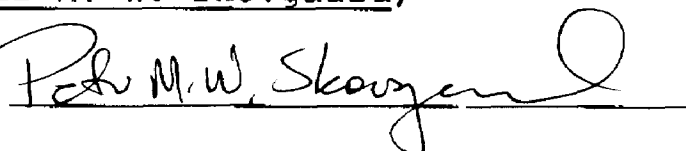
IN TESTIMONY WHEREOF, Kristján Leósson, have hereunto set my  
hands and seal this 12 day of December 2001.

Kristján Leósson,

By: 

IN TESTIMONY WHEREOF, Peter M. W. Skovgaard, have hereunto  
set my hands and seal this 12 day of December  
2001.

Peter M. W. Skovgaard,

By: 

IN TESTIMONY WHEREOF, Jørn Märcher Hvam, have hereunto set  
my hands and seal this 12 day of December  
2001.

Jørn Märcher Hvam,

By: 