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OVER SHEET

U.S. DEPARTMENT OF COMMERCE

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



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1.23.02

Patent and Trademark Office

To the Honorable Comr.

101966118

rd the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Rodrigo Rodriguez-Kabana

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Auburn University

Street Address: 309 Samford Hall  
Auburn University, Alabama 36849-5176Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: December 6, 2001

JAN 23 2002

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No.(s)  
09/974,288

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Steven L. Schmid  
Womble Carlyle Sandridge & Rice, PLLC  
P.O. Box 7037  
Atlanta, GA 30357-00376. Total number of applications/patents involved: ☐ 1 ☒ 2

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit Account Number:

09-0528  
(Attach duplicate copy of this page if paying by Deposit Account)

01/30/2002 LNUELLER 00000050 09974288

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Steven L. Schmid, #39,358

Name of Person Signing

Signature

Date

Docket No. A0291130.1

Total number of pages including cover sheet, attachments, and document: ☐ 1 ☒ 3Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

#288400

PATENT  
REEL: 012514 FRAME: 0099

## ASSIGNMENT

WHEREAS, I, Rodrigo Rodriguez-Kabana residing at 1026 E. Samford Avenue, Auburn, Alabama 36830, have invented certain inventions and improvements disclosed in an application for Letters Patents entitled **AN AZIDE METHOD AND COMPOSITION FOR CONTROLLING DELETERIOUS ORGANISMS**, which application was filed in the United States Patent and Trademark Office on October 9, 2001 and assigned Serial No. 09/974,288; and

WHEREAS, Auburn University, a non-profit organization of the State of Alabama, and having a place of business at 309 Samford Hall, Auburn University, Alabama 36849-5176, is desirous of acquiring all rights in the same;

NOW THEREFORE, for and in consideration of Five Dollars (\$5.00) or other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I assign and transfer unto **AUBURN UNIVERSITY** my entire right, title, and interest in and to said application, in and to any divisions, continuations, and reissues thereof, in and to any patents to issue therefrom and in and to all inventions and improvements disclosed and described in said application, preparatory to obtaining Letters Patent of the United States therefor; and I hereby request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States resulting from said application, or from a division, continuation, or reissue thereof, to **AUBURN UNIVERSITY**, as assignee, for its interest, and for the sole use and behalf of **AUBURN UNIVERSITY** and its assigns and legal representatives.

For the same consideration, I do sell, assign, and transfer to **AUBURN UNIVERSITY** all of my right, title and interest in and to any foreign application or applications corresponding to said U.S. application, in whole or in part, in countries other than the United States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority rights arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issued in the name of **AUBURN UNIVERSITY** or its designee insofar as permitted by applicable law.

AND, for the same consideration, I agree to sign all lawful papers, execute all divisional, continuing, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid **AUBURN UNIVERSITY**, its successors, assigns, and nominees, to

obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

6/Dec/01  
Date

R. Rodríguez-Kábana  
Rodrigo Rodríguez-Kábana

STATE OF ALABAMA )  
COUNTY OF Lee ) SS

On this 6th day of December, 2001, before me, a notary public, came Rodrigo Rodríguez-Kabana, known to me to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Debra A. Enebak  
NOTARY PUBLIC Debra A. Enebak

(SEAL)

My Commission Expires:

NOTARY PUBLIC STATE OF ALABAMA AT LARGE  
MY COMMISSION EXPIRES: Apr 5, 2005  
~~BONDED THRU NOTARY PUBLIC UNDERWRITER~~