

01-31-2002

ET 852068946 US

Form PTO-1595

RI

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)



101966517

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Janet E. Larson 1-9-02
J. Craig Cohen
Harmanjatinder S. SekhonAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 19, 2001

2. Name and address of receiving party(ies)

Name: Ochsner Clinic Foundation

Internal Address: _____

Street Address: 1514 Jefferson Highway

City: New Orleans State: LA Zip: 70121

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/065,380

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Keith M. Landry

Internal Address: 50th Floor

Street Address: 201 St. Charles Avenue

City: New Orleans State: LA Zip: 70170

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Keith M. Landry

Name of Person Signing

Keith M. Landry

Signature

1/8/01

Date

01/30/2002 DBYRNE

00000112 09065380

Total number of pages including cover sheet, attachments, and documents: 7

01 FC:581

40.00

documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 012514 FRAME: 0894

ASSIGNMENT OF PATENT APPLICATION

This **ASSIGNMENT OF PATENT APPLICATION** (this "Assignment") is made and entered into as of the 17th day of December, 2001, by and between Janet E. Larson and J. Craig Cohen, each an individual residing in Louisiana, and Harmanjatinder S. Sekhon, an individual residing in OREGON (collectively, the "Assignors"), and Ochsner Clinic Foundation, a Louisiana non-profit corporation (the "Assignee").

WITNESSETH:

WHEREAS, Assignors are the joint inventors of a system for treating cystic fibrosis *in utero* in animals (the "Invention") and jointly filed U.S. Patent Application Serial No. 09/065,380 on April 23, 1998 for a "IN UTERO TREATMENT OF CFTR-RELATED DEFICIENCIES" (the "Patent Application"); and

WHEREAS, Assignors desires to transfer and assign to the Assignee, and the Assignee desires to acquire from the Assignors, all right, title and interest in and to the Patent Application and the Invention, upon the terms and conditions set forth herein.

NOW, THEREFORE, in consideration of the premises and the mutual agreements and covenants set forth herein, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignors and the Assignee hereby agree as follows:

1. Assignment. In consideration for the payment by Assignee of \$2,500.00 in costs and fees associated with the filing of the Patent Application, the full payment of which is hereby acknowledged by the Assignors, the Assignors hereby grant, bargain, convey, set over, assign, transfer, abandon and deliver to the Assignee the entire right, title and interest in and to (a) the Patent Application, (b) any and all United States or foreign patents, including convention priority rights, that may be granted based upon the Patent Application, (c) any and all reissues, reexaminations, continuations, continuations-in-part and divisionals, or any legal equivalent thereof, in the United States or any foreign country, based in whole or in part upon the Patent Application, and (d) the Invention, and to all income, royalties and payments due or payable on and after the date of this Assignment with respect to the Patent Application or any United States or foreign patents issuing therefrom, and to all causes of action, in law or in equity, for past, present or future infringement of any United States or foreign patents issuing therefrom.

2. Representations and Warranties. Each Assignor hereby represents and warrants to Assignee that, to such Assignor's knowledge, (a) the subject matter of the Invention is novel, (b) Assignor has the right to transfer its interests in the Patent Application and the Invention to Assignee free and clear of any adverse claims from any other parties, including, without limitation, any claims to the Patent Application or Invention by any present or former employer, and (c) Assignor has not

{N06726073}

previously entered into any assignment, license or agreement that would conflict with this Assignment.

3. Further Assurances. Assignors agree that this Assignment shall be recorded with respect to the Patent Application in the U.S. Patent and Trademark Office and shall execute and deliver such documents and take such action, as the Assignee may request, to evidence and effect the transfer, sale and assignment of the Patent Application. Assignors further agree to assist Assignee in prosecuting the Patent Application and filing any continuations, continuations-in-part, divisionals and reissues based on the Patent Application.

4. Governing Law. This Assignment will be governed by, construed and interpreted in accordance with the laws of the State of Louisiana, regardless of the laws that might otherwise govern under applicable principles of laws thereof.


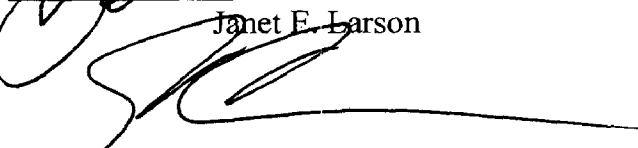
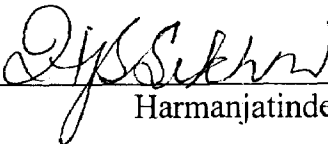
5. Counterparts. This Assignment may be executed in multiple counterparts, each of which shall be deemed an original and all of which taken together shall constitute one and the same document.

IN WITNESS WHEREOF, the Assignors and the Assignee have caused this Assignment to be duly executed as of the date first above written.

WITNESS:

Ellen K. Philbrick
Risa C. Rieffel
Ellen K. Philbrick
Risa C. Rieffel
James D. McHenry
Stephen L. Cohen

ASSIGNORS:


Janet E. Larson

J. Craig Cohen

Harmanjatinder S. Sekhon

ASSIGNEE:

OCHSNER CLINIC FOUNDATION

Risa C. Rieffel
Cristina Wheat

By: 

Name: Patrick J. Quinlan, M.D.

Title: Chief Executive Officer

{N06726073}

ACKNOWLEDGMENT

STATE OF LOUISIANA

PARISH OF ORLEANS

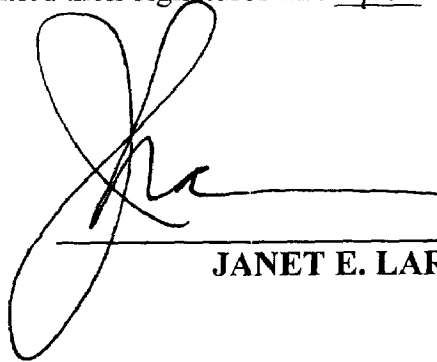
BEFORE ME, the undersigned Notary Public, duly commissioned and qualified for the aforesaid Parish and State, personally came and appeared Janet E. Larson, to me known, who declared and acknowledged to me, Notary, and the undersigned competent witnesses that she signed and delivered the foregoing Assignment of Patent Application as her own free, voluntary and individual act and for the purposes therein provided on the date therein mentioned.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal and the said appearer and the said witnesses have hereunto affixed their signatures this 19th day of September, 2001.

WITNESSES:

Allen L. Phillips

Risa C. Rieffel


JANET E. LARSON


NOTARY PUBLIC

Lisa M. Tompkins
Notary Public
State of Louisiana
Commission is for Life

ACKNOWLEDGMENT

STATE OF LOUISIANA

PARISH OF ORLEANS

BEFORE ME, the undersigned Notary Public, duly commissioned and qualified for the aforesaid Parish and State, personally came and appeared J. Craig Cohen, to me known, who declared and acknowledged to me, Notary, and the undersigned competent witnesses that he signed and delivered the foregoing Assignment of Patent Application as his own free, voluntary and individual act and for the purposes therein provided on the date therein mentioned.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal and the said appearer and the said witnesses have hereunto affixed their signatures this 19th day of September, 2001. *J. Craig Cohen*

WITNESSES:

Ellen L. Phillips

Lisa C. Rieffel

J. Craig Cohen

J. CRAIG COHEN

Lisa M. Tompkins

NOTARY PUBLIC

**Lisa M. Tompkins
Notary Public
State of Louisiana
Commission is for Life**

ACKNOWLEDGMENT

STATE OF OREGON *It is*

COUNTY OF Multnomah

BEFORE ME, the undersigned Notary Public, duly commissioned and qualified for the aforesaid County and State, personally came and appeared Harmanjatinder S. Sekhon, to me known, who declared and acknowledged to me, Notary, and the undersigned competent witnesses that he signed and delivered the foregoing Assignment of Patent Application as his own free, voluntary and individual act and for the purposes therein provided on the date therein mentioned.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal and the said appearer and the said witnesses have hereunto affixed their signatures this 10 day of September, 2001.

WITNESSES:

James D. MacLennan

H S Sekhon
HARMANJATINDER S. SEKHON

Matthew J. Cohen

Tracey A Browning
NOTARY PUBLIC



ACKNOWLEDGMENT

STATE OF LOUISIANA

PARISH OF ORLEANS

BEFORE ME, the undersigned Notary Public, duly commissioned and qualified for the aforesaid Parish and State, personally came and appeared Patrick J. Quinlan, M.D., to me known, who declared and acknowledged to me, Notary, and the undersigned competent witnesses that he is the Chief Executive Officer of Ochsner Clinic Foundation, and that he is authorized to execute and deliver the foregoing Assignment of Patent Application on behalf of Ochsner Clinic Foundation, and that he signed and delivered the foregoing Assignment of Patent Application, as the free and voluntary act and deed of said entity, for and on behalf of said entity and for the objects and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal and the said appearer and the said witnesses have hereunto affixed their signatures this 19th day of ~~September~~, *December* 2001.

WITNESSES:

Lisa C. Rieffel

Cristina R Wheat

By: *[Signature]*

Name: Patrick J. Quinlan, M.D.

Title: Chief Executive Officer

[Signature]
NOTARY PUBLIC

Lisa M. Tompkins
Notary Public
State of Louisiana
Commission is for Life

{N06726073}