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TO THE ASSISTANT COMMISSIONER FOR PATENTS, TRADEMARKS AND DESIGN, U.S. DEPARTMENT OF COMMERCE, Washington, D.C. 20503-0001. ☐ documents or copy thereof.

1. Name of conveying party(ies):

- 1. David P. Katz
- 2. James R. Komorowski
- 3. Danielle Greenberg

2-1-02

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party:

Name: Nutrition 21, Inc.  
Internal Address: Suite 202  
Street Address: 4 Manhattanville Road  
City: Purchase State: New York ZIP: 10577-2197

Additional name(s) of receiving party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
- ☐ Merger
- ☐ Security Agreement
- ☐ Change of Name
- ☐ Other:

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above) 1. January 13, 2002 2. December 21, 2001 3. January 2, 2002

4. Application number(s) or Patent number(s):

☐ Application(s) filed herewith Execution Date(s):

☒ Patent Application No.: 09/957,876  
Filing Date: September 20, 2001

☐ Patent No.:

Issue Date:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ned A. Israelsen  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
Customer No. 20,995  
Internal Address: Sixteenth Floor  
Street Address: 620 Newport Center Drive  
City: Newport Beach State: CA ZIP: 92660  
Attorney's Docket No.: AMBIINC.007A

7. Total fee (37 CFR 1.21(h)): \$40

☒ Enclosed☒ Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and patents involved: One

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Ned A. Israelsen  
Name of Person Signing

Signature

Date

1-17-02

29,655  
Registration No.

Total number of pages including cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to:

02/01/2002 DBYRNE 00000205 09957876  
01 FC:581 40.00 OP

U.S. Patent and Trademark Office  
Attn: Assignment Division  
Crystal Gateway-4  
1213 Jefferson Davis Highway, Suite 320  
Arlington, VA 22202

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PATENT  
REEL: 012519 FRAME: 0006

ASSIGNMENT

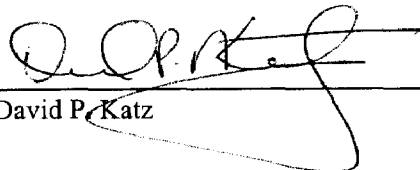
WHEREAS, We, David P. Katz, a United States citizen, residing at 7 Beechwood Court, Dobbs Ferry, NY 10522, James R. Komorowski, a United States citizen, residing at 83 Bunker Hill Drive, Trumbull, CT 06611, and Danielle Greenberg, a United States citizen, residing at 90 Chapel Road, Waccabuc, NY 10597, have invented certain new and useful improvements in a METHODS AND COMPOSITIONS FOR THE TREATMENT OF DIABETES, THE REDUCTION OF BODY FAT, IMPROVEMENT OF INSULIN SENSITIVITY, REDUCTION OF HYPERGLYCEMIA, AND REDUCTION OF HYPERCHOLESTEROLEMIA WITH CHROMIUM COMPLEXES, CONJUGATED FATTY ACIDS, AND/OR CONJUGATED FATTY ALCOHOLS for which we have filed an application for Letters Patent in the United States, Application No. 09/957,876, filed September 20, 2001;

AND WHEREAS, Nutrition 21, Inc. (hereinafter "ASSIGNEE"), a New York Corporation, with its principal place of business at 4 Manhattanville Road, Suite 202, Purchase, NY 10577-2197, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13<sup>th</sup> day of January, 2002

  
David P. Katz

STATE OF NEW YORK }  
COUNTY OF WESTCHESTER } ss.

On January 13, 2002 before me, David P. Katz, personally appeared David P. Katz personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

ELIZABETH M. BARNHARD  
NOTARY PUBLIC, State of New York  
No. 30-4754204  
Qualified in Westchester County  
Certificate Expires 8/31/02  
Commission Expires 8/31/02

  
Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 21<sup>ST</sup> day of DECEMBER, 2001.

James R. Komorowski  
James R. Komorowski

STATE OF New York }  
COUNTY OF Westchester } ss.

On Dec. 21, 2001, before me, ROSALIE J. GOLCZEWSKI, personally appeared James R. Komorowski personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

ROSALIE J. GOLCZEWSKI  
Notary Public, State of New York  
Reg. #: 01GO6015289  
Qualified in Westchester County  
Commission Expires 10/26/2008

Rosalie J. Golczewski  
Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 2 day of January, 2002

Danielle Greenberg  
Danielle Greenberg

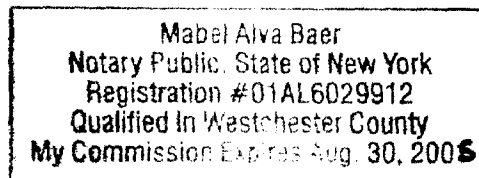
STATE OF New York  
COUNTY OF Westchester ] ss.

On 1/2/02, before me, Danielle Greenberg, personally appeared Danielle Greenberg personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity(ies), and that by her signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Mabel Alva Baer  
Notary Signature



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