

02-04-2002

Attorney Docket: YOR920010471US1 (8728-534)

For: PTO-1595
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101970349

COVER SHEET
ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephane H. Maes

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No2. Name and address of receiving
party(ies):Name: International Business Machines
Corporation
Armonk, New York 10504JCS32 U.S. PTO
10/038987
12/31/01

3. Nature of conveyance:

- ☒
- Assignment
- ☐
- Merger
-
- ☐
- Security Agreement
- ☐
- Change of Name
-
- ☐
- Other _____

Additional name(s) & address(es) attached:

☐ Yes ☒ NoExecution Date: December 27, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date
of the application is: December 27, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: Frank Chau, Esq.Street Address: F. CHAU & ASSOCIATES, LLP1900 Hempstead Turnpike, Suite 501City: East Meadow State: NY Zip: 115546. Total number of applications and
patents involved: 17. Total fee (37 C.F.R. §3.41): \$40.00☐ Enclosed☒ Authorized to be charged to deposit
account

8. Deposit account number:

50-0510/IBM (Yorktown Heights)(Attach duplicate copy of this page if
paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and
correct and any attached copy is a true copy of the original document.

Frank V. DeRosa

Registration No. 43,584

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and documents: 2

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents

Box Assignments

Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to
be recorded, including time for reviewing the document and gathering the data needed, and completing and
reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and
Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of
Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

02/01/2002 GTOM11 00000064 500510 10038987

01 FC:581

40.00 CH

PATENT
REEL: 012523 FRAME: 0420

Assignment

Whereas, I

INVENTOR
AND CITY

(1) **Stephane H. Maes** of Danbury
County of **Fairfield** and State of Connecticut

have invented certain improvements in

TITLE

SYSTEM AND METHOD FOR CONFIRMING ELECTRONIC TRANSACTIONS

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on 12/27, 2001.

Whereas, **INTERNATIONAL BUSINESS MACHINES CORPORATION**, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY AND
DATE

(1) at Danbury
on 12/27

2001.


(**Stephane H. Maes**) INVENTOR

SIGNATURE

FIRST NAME MIDDLE INITIAL LAST NAME

Form PTO-1595
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy there

1. Name of conveying party(ies):

Stephane H. Maes

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No**2. Name and address of receiving
party(ies):**Name: International Business Machines
CorporationArmonk, New York 10504

Additional name(s) & address(es) attached:

☐ Yes ☒ No**3. Nature of conveyance:**☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: December 27, 2001**4. Application number(s) or patent number(s):**If this document is being filed together with a new application, the execution date
of the application is: December 27, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address of party to whom
correspondence concerning document
should be mailed:**Name: Frank Chau, Esq.Street Address: F. CHAU & ASSOCIATES, LLP1900 Hempstead Turnpike, Suite 501City: East Meadow State: NY Zip: 11554**6. Total number of applications and
patents involved: 1****7. Total fee (37 C.F.R. §3.41):** \$40.00☐ Enclosed☒ Authorized to be charged to deposit
account**8. Deposit account number:**50-0510/IBM (Yorktown Heights)(Attach duplicate copy of this page if
paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.To the best of my knowledge and belief, the foregoing information is true and
correct and any attached copy is a true copy of the original document.

Frank V. DeRosa

Registration No. 43,584

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and documents: 2**Do not detach this portion**

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents

Box Assignments

Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to
be recorded, including time for reviewing the document and gathering the data needed, and completing and
reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and
Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of
Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

RECORDED: 12/31/2001

PATENT
REEL: 012523 FRAME: 0422