

02-04-2002

FORM PTO-1595

(Rev. 6-93)

OMB N. 0651-0011 (exp. 4/94)



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office01/14/02  
10/04/210  
01/14/02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 01/14/02  
Florence Eschbach, Eric J. Lee, Peter B.P. Phipps,  
Amanda Baer, Edward Hin Pong Lee and  
Francisco Martin

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: International Business Machines Corp.

Internal Address: \_\_\_\_\_

Street Address: New Orchard RoadCity: Armonk State: NY Zip: 10504Country: USAAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other - \_\_\_\_\_Execution Date: 2/27/01, 11/1/01, 11/2/01,  
11/22/01 and 1/9/02

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom  
correspondence concerning document should be  
mailed:Name: Walter W. DuftInternal Address: Same as belowStreet Address: 10255 Main Street, Suite 10City: Clarence State: New York Zip: 140316. Total number of applications and patents  
involved: 17. Total fee (37 C.F.R. 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit  
account

8. Deposit account number:

09-0466(Attached duplicate copy of this page if paying by deposit  
account)

02/04/2002 00000031 090466 10047210

01 PG-581 40.00 CH

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached  
copy is a true copy  
of the original document.*Walter W. Duft

Name of Person Signing

Signature

January 14, 2002

Date

Total number of pages including cover sheet, attachments, and document: 7Mail documents to be recorded with required cover sheet information to:  
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS WASHINGTON, D.C. 20231

REEL: 012523 FRAME: 0629

Whereas, we

INVENTOR  
AND CITY

(1) Florence Eschbach  
County of San Mateo  
(2) Eric James Lee  
County of Santa Clara  
(3) Peter Beverley Powell Phipps  
County of Santa Clara  
(4) Amanda Baer  
County of Santa Clara  
(5) Edward Hin Pong Lee  
County of Santa Clara  
and  
(6) Francisco Martin  
County of Santa Clara

of Portolla Valley,  
and State of California,  
of San Jose,  
and State of California,  
of Saratoga,  
and State of California,  
of Campbell,  
and State of California,  
of San Jose,  
and State of California,  
of San Jose,  
and State of California,

have invented certain improvements in

**SIMULTANEOUS PLANARIZATION OF POLE PIECE AND COIL MATERIALS FOR WRITE HEAD APPLICATIONS**

DATES THAT and executed, respectively, a United States patent application therefor on  
INVENTORS

SIGNED THE (1) \_\_\_\_\_, 2001, (2) \_\_\_\_\_, 2001,  
APPLICATION (3) 11/1/01, 2001, (4) 1/01, 2001,  
(5) 11/02/01, 2001, (6) 11/01, 2001,

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY (1) at \_\_\_\_\_ SIGNATURE  
INVENTOR  
DATE on \_\_\_\_\_, 2001,

CITY and (2) at \_\_\_\_\_ SIGNATURE  
INVENTOR

DATE on \_\_\_\_\_, 2001,

CITY and (3) at San Jose Peter Beverley Powell Phipps SIGNATURE

DATE on 11/01/01, 2001, INVENTOR

CITY and (4) at San Jose Amundson, Inc. SIGNATURE

DATE on 1/1, 2001, INVENTOR

CITY and (5) at San Jose Edward H. Lay Lee SIGNATURE

DATE on 11/02/01, 2001, INVENTOR

CITY and (6) at San Jose [Signature] SIGNATURE

DATE on 11/01, 2001, INVENTOR

Whereas, we

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AND CITY

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County of Santa Clara  
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County of Santa Clara  
(4) Amanda Baer  
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INVENTORS

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APPLICATION

(1) 11/22/ 01, 2001, (2) \_\_\_\_\_, 2001,  
(3) \_\_\_\_\_, 2001, (4) \_\_\_\_\_, 2001,  
(5) \_\_\_\_\_, 2001, (6) \_\_\_\_\_, 2001,

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Signed and sealed

CITY

(1) at Portolla Valley

Florence Eschbach

SIGNATURE  
INVENTOR

DATE

on November 22nd, 2001,

CITY and (2) at \_\_\_\_\_ SIGNATURE  
DATE on \_\_\_\_\_, 2001, INVENTOR

CITY and (3) at \_\_\_\_\_ SIGNATURE  
DATE on \_\_\_\_\_, 2001, INVENTOR

CITY and (4) at \_\_\_\_\_ SIGNATURE  
DATE on \_\_\_\_\_, 2001, INVENTOR

CITY and (5) at \_\_\_\_\_ SIGNATURE  
DATE on \_\_\_\_\_, 2001, INVENTOR

CITY and (6) at \_\_\_\_\_ SIGNATURE  
DATE on \_\_\_\_\_, 2001, INVENTOR

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INVENTORS  
SIGNED THE (1) \_\_\_\_\_, 2001, (2) Feb. 27, 2001,  
APPLICATION (3) \_\_\_\_\_, 2001, (4) \_\_\_\_\_, 2001,  
(5) \_\_\_\_\_, 2001, (6) \_\_\_\_\_, 2001,

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Signed and sealed

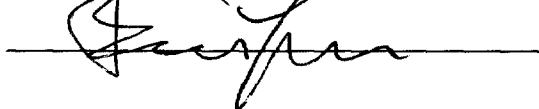
CITY

(1) at \_\_\_\_\_

SIGNATURE  
INVENTOR

DATE

on \_\_\_\_\_, 2001,

CITY and (2) at San Jose CA  SIGNATURE  
DATE on Feb. 27, 2001, INVENTOR

CITY and (3) at \_\_\_\_\_ SIGNATURE  
DATE on \_\_\_\_\_, 2001, INVENTOR

CITY and (4) at \_\_\_\_\_ SIGNATURE  
DATE on \_\_\_\_\_, 2001, INVENTOR

CITY and (5) at \_\_\_\_\_ SIGNATURE  
DATE on \_\_\_\_\_, 2001, INVENTOR

CITY and (6) at \_\_\_\_\_ SIGNATURE  
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