

02-04-2002



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To the Honorable Commiss

101968917

original documents or copy thereof.

## 1. Name of conveying party(ies):

Nathan A. Shapira; Toby D. Goldsmith; and  
Paul E. Keck, Jr.Additional name(s) of conveying parties attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_Execution Date: March 22, 1999; March 228, 1999 and  
March 23, 1999, respectively

## 2. Name and address of receiving party(ies):

University of Cincinnati

Internal Address: Intellectual Property Office  
Room G7 - Wherry Hall  
Mail Location 0829Street Address: Box 670829City: Cincinnati State: Ohio ZIP: 45267-0829Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s)

09/534,778Additional numbers attached? ☐ Yes ☒ No

## B. Patent No.(s)

## 5. Names and address of party to whom correspondence concerning document should be mailed:

Name: Holly D. KozlowskiInternal Address: Dinsmore & ShohlStreet Address: 1900 Chemed Center  
255 East Fifth StreetCity: Cincinnati State: Ohio ZIP: 45202

## 6. Total number of applications and patents involved:

[1]

## 7. Total fee (37 CFR 3.41)...

\$ 40.00☒ Enclosed☒ Please charge any deficiencies or credit any  
overpayment to deposit account☐ Authorization to be charged to deposit account

## 8. Deposit Account Number:

04-1133

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Holly D. Kozlowski, Reg. No. 30,408

Name of Person Signing, Registration Number

Signature

Date

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**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Assignment; Assistant Commissioner for Patents, Washington, DC 20231 on December 20, 2001.

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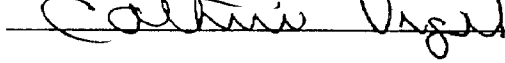
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under 37 CFR 1.10 on the date indicated above and

is addressed to: Assistant Commissioner for Patents

Washington, D.C. 20231



**ASSIGNMENT**

WHEREAS, WE, **Nathan A. Shapira**, a citizen of the United States, residing at 818 Rosewood Drive, Crescent Springs, Kentucky 41017-1332, **Toby D. Goldsmith**, a citizen of the United States, residing at 818 Rosewood Drive, Crescent Springs, Kentucky 41017-1332, and **Paul E. Keck, Jr.**, a citizen of the United States, residing at 8395 Old Stable Road, Cincinnati, Ohio 45243 (hereinafter referred to as "ASSIGNORS"), have invented **Methods of Treating Obsessive-Compulsive Spectrum Disorders**, for which we have executed an application for Letters Patent of the United States, filed herewith; and

WHEREAS, **University of Cincinnati**, a nonprofit organization existing under the laws of the State of Ohio, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire interest therein; and

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, said ASSIGNORS, hereby sell, assign, and transfer and set over, unto ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under said invention, and said United States applications and all nonprovisional applications, divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues, reexaminations and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals, reexaminations and reissues thereof;

ASSIGNORS HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

ASSIGNORS HEREBY grant the firm of DINSMORE & SHOHL the power to insert

in this Assignment any further identification or information which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

ASSIGNORS HEREBY covenant and agree that they have full right to convey the entire interest herein assigned, and that they have not executed, and will not execute, any agreement in conflict herewith.

ASSIGNORS HEREBY further covenant and agree that they will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to them respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, reexamination and foreign applications, make all rightful oaths, and generally do everything possible to aid said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

Dated: 3/22/99

Nathan A. Shapira

Nathan A. Shapira

STATE OF OHIO )  
 ) SS:  
COUNTY OF HAMILTON )

Sworn to and subscribed before me this 22 day of MARCH, 1999.



Stephen R. Albainy-Jenei, Attorney-at-Law  
Notary Public, State of Ohio. RC§147.03.  
My Commission Has No Expiration.

[Signature]

Notary Public

Dated: 3/22/99

[Signature]

Toby D. Goldsmith

STATE OF OHIO )  
 ) SS:  
COUNTY OF HAMILTON )

Sworn to and subscribed before me this 22 day of MARCH, 1999.



Stephen R. Albainy-Jenei, Attorney-at-Law  
Notary Public, State of Ohio. RC§147.03.  
My Commission Has No Expiration.

[Signature]

Notary Public

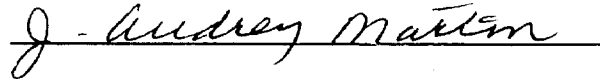
Dated: 3-23-99



Paul E. Keck, Jr.

STATE OF OHIO                                 )  
  ) SS:  
COUNTY OF HAMILTON                         )

Sworn to and subscribed before me this 23 day of MARCH, 1999.



Notary Public

**J. AUDREY MARTIN**  
**Notary Public, State of Ohio**  
**My Commission Expires Dec. 16, 2001**

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