

02-04-2002

Docket No.: 112701-199

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08A/REV03

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101969037

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Eileen C. Fuchs **Kristel Reiffers-Magnani**
Clara L. Garcia-Rodenas **Chandrasekhara R. Mallangi**
Yves Guigoz **Marco Turini**
Peter Leathwood **Helen Gillian**

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Nestec S.A.Address: Avenue Nestle 55CH-1800 Vevey

City: _____ State/Prov.: _____

Country: Switzerland ZIP: _____

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: June 22 and July 2, 16, 17, 27 & 29, 2001

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

09/821, 499March 29, 2001Docket No. 112701-199

Document ID No.:

101857861

JAN 22 2002

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert M. Barrett, Esq.Registration No. 30,142Address: P.O. Box 1135City: ChicagoState/Prov.: IL

Country: _____

ZIP: 60690-1135

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

02-1818

(Attach duplicate copy of this page if paying by deposit account)

02/01/2002 DBYRNE 00000111 09821499

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert M. Barrett (30,142)

Name of Person Signing

Signature

8

Date

December 18, 2001

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
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PATENT
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Eileen C. Fuchs;
Clara L. Garcia-Rodenas
Yves Guigoz
Peter Leathwood
Kristel Reiffers-Magnani
Chandrasekhara R. Mallangi
Marco Turini
Helen Gillian Anantharaman

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **June 22, & July 2, 16, 17, 27 & 29, 2001**

2. Name and address of receiving party(ies):

Name: **Nestec S.A.**

Internal Address: _____

Street Address: **Avenue Nestle 55**

CH-1800 Vevey, Switzerland

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

App No. **09/821,499**

Atty Docket No. **112701-199**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Robert M. Barrett, Esq.**

Internal Address: _____

Street Address: **P.O. Box 1135**

09/26/2001 6TON11 00000173 09821499

01 FC:581 40.00 OP

City: **Chicago** State: **IL** ZIP: **60690**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

02-1818

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert M. Barrett (Reg. No. 30,142)

September 21, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **6**

ASSIGNMENT

FOR and in consideration of One Dollar (\$1.00) to us in hand paid and other valuable considerations, the receipt and sufficiency whereof are hereby acknowledged and confessed, we, Eileen C. Fuchs; Clara L. Garcia-Rodenas; Yves Guigoz; Peter Leathwood; Kristel Reiffers-Magnani; Chandrasekhara R. Mallangi; Marco Turini; and Helen Gillian Anantharaman, residing at 7 Old Stagecoach Road, Gaylordsville, Connecticut 06755; Reisdence Le Frene A, CH-1616 Forel (LAVAUZ) VD; Ch. du Raidillon 3, CH-1066 Epalinges, Switzerland; 14C, Rte. de la Chaniaz, 1807 Blonay, Switzerland; Av. De Tramenaz 20, CH-1814 La Tour-de-Peilz, Switzerland; 2 Briar Lane, New Milford, Connecticut 06776; Ch. du Bois-Murat 20, CH-1066 Epalinges, Switzerland; and 89 Iron Ore Hill Road, Bridgewater, Connecticut 06752, respectively, and citizens of The United States; Spain; Switzerland; the United Kingdom; France; the United States; Switzerland; and the United Kingdom, respectively, hereby sell, assign, transfer and set over unto Nestec S.A., a corporation of Switzerland, having an office and place of business at Avenue Nestle 55, CH-1800 Vevey, Switzerland, the entire right, title and interest in and to our inventions in

"NUTRITIONAL COMPOSITION"

prepared by the firm of Bell, Boyd & Lloyd LLC of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. 112701-199, and in the United States Patent and Trademark Office as U.S. Patent Application Serial No. 09/821,499; and to the United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said inventions in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent; and we hereby authorize and direct the Commissioner of Patents to issue the patent for said inventions, when granted, in accordance with this Assignment and sale.

For the same considerations, we hereby agree that we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning improvements or betterments of the inventions disclosed in said application, and we will cooperate at any time upon request of said assignee or its assigns, at its or their expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; we will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do

everything lawfully possible to aid said assignee, its successors, assigns, and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

IN WITNESS WHEREOF, we have hereunto subscribed our name on:

Date: June 27, 2001 Eileen C. Fuchs
EILEEN C. FUCHS

Before me personally appeared **Eileen C. Fuchs** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.

RN - [Signature]
Witness

Date: July 02, 2001 Clara L. Garcia-Rodenas
CLARA L. GARCIA-RODENAS

Before me personally appeared **Clara L. Garcia-Rodenas** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.

CH. Wettraw
Witness

Date: July 16, 2001 Yves Guigoz
YVES GUIGOZ

Before me personally appeared **Yves Guigoz** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under his seal, for the uses and purposes therein set forth, on the day and year aforesaid.

H. [Signature]
Witness

Date: July 17. 2001 Peter Leathwood
PETER LEATHWOOD

Before me personally appeared **Peter Leathwood** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under his seal, for the uses and purposes therein set forth, on the day and year aforesaid.

Stanley
Witness

Date: _____
KRISTEL REIFFERS-MAGNANI

Before me personally appeared **Kristel Reiffers-Magnani** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.

Witness

Date: 22nd June 2001. Chandrasekhara R. Mallangi
CHANDRASEKHARA R. MALLANGI

Before me personally appeared **Chandrasekhara R. Mallangi** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.

RN
Witness

Date: _____

PETER LEATHWOOD

Before me personally appeared **Peter Leathwood** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under his seal, for the uses and purposes therein set forth, on the day and year aforesaid.

Witness

Date: 29/07/11


KRISTEL REIFFERS-MAGNANI

Before me personally appeared **Kristel Reiffers-Magnani** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.



Witness

Date: _____

CHANDRASEKHARA R. MALLANGI

Before me personally appeared **Chandrasekhara R. Mallangi** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.

Witness

Date: _____

MARCO TURINI

Before me personally appeared **Marco Turini** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under his seal, for the uses and purposes therein set forth, on the day and year aforesaid.

Witness

Date: 22nd June 2001

Helen Gillian Anantharaman

HELEN GILLIAN ANANTHARAMAN

Before me personally appeared **Helen Gillian Anantharaman** known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.

RN [Signature]

Witness

Date: July 27/2001 M. Turini
MARCO TURINI

Before me personally appeared Marco Turini known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under his seal, for the uses and purposes therein set forth, on the day and year aforesaid.

[Signature]
Witness

Date: _____
HELEN GILLIAN ANANTHARAMAN

Before me personally appeared Helen Gillian Anantharaman known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.

Witness