FORM PTO-1595 (Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

RECC

02-05-2002

QA0233NP

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) Francis Beaulieu, Carl Ouellet, Makonen Name: Bristol-Myers Squibb Company Belema, Yuping Qiu, Xuejie Yang & Fred C. Zusi Internal Address: Additional name(s) of conveying party(ies) attached?

Yes No Nature of conveyance: Merger Street Address: Lawrenceville-Princeton Road ☐ Security Agreement ☐ Change of Name Other City: Princeton State: NJ ZIP: 08543-4000 Execution Date: November 20, 2001 & December 11, Additional name(s) & address(es) attached? ☐ Yes ⊠ No 2001 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is A. Patent Application No.(s) Patent No.(s) 09/965,977 Additional numbers attached? \boxtimes 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Stephen B. Davis Name: 7. Total fee (37 CFR 3.41) 40 Internal Address: Bristol-Myers Squibb Company Enclosed Patent Department Authorized to be charged to deposit account and any other additional fees required. Street Address: P.O. Box 4000 Deposit account number: Princeton State: NJ ZIP: 08543-4000 19-3880 (in the name of Bristol-Myers Squibb Company) (Attach duplicate copy of this page if paying by deposit account) 04/2002 ANIMEDI 00000142 193880 DO NOT USE THIS SPACE 40.00 CH Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Anastasia P. Winslow Name of Person Signing Reg. No. 40,875 ☐ Certificate of mailing on reverse side Total number of pages including cover sheet, attachments, and document:

ASSIGNMENT

We,

Francis Beaulieu residing at 370 Leotable Dubuc

Laprairie, Quebec J5R 5M4

Canada

Carl Ouellet residing at 555 De La Verendrye

Boucherville, Quebec J4B 2Y3

Canada

Makonen Belema residing at 345 Willow Street

New Haven. Connecticut 06511

United States of America

Yuping Qiu residing at 397 Conestoga Street

Windsor, Connecticut 06095 United States of America

Xuejie Yang residing at 1189 Washington Street, D22

Middletown, Connecticut 06457

United States of America

Fred C. Zusi residing at 20 Nolan Road

Hamden, Connecticut 06514 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

AMINE-BASED TETRACYCLIC COMPOUNDS AND PHARMACEUTICAL COMPOSITIONS COMPRISING SAME

invented by us and described in the non-provisional United States patent application

Application No. 09/965,977, filed September 27, 2001,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known tous respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 11th day of Recorder, 2001 by

Francis Beaulieu

Signed this 11th day of December, 2001 by

Carl Quellet

Signed this 20 day of November , 2001 by

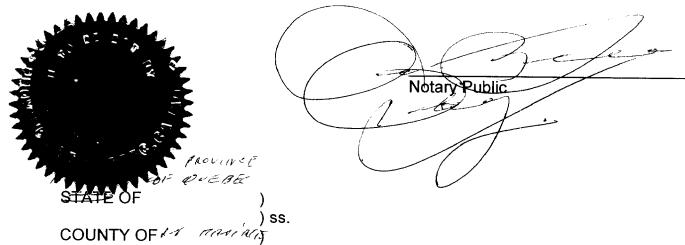
Makonen Belema

Signed this Zothday of November, 2001 by

Yuning Qiu

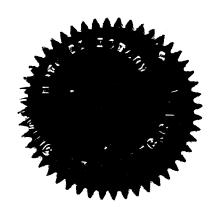
Signed this 20 day of 10	evember , 20 <u>01</u> by	Gary Mr. 7-2
		Xuejie Yang
Signed this 20 day of 1	Vicinger, 2001 by	Fred Cillia
		Fred C. Zusi \mathcal{O}
PROVINCE OF QUEIJEC		
STATE OF)		
STATE OF) COUNTY OF LA MARIE	SS.	

On the May of December, 2001, before me came Francis Beaulieu, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.



On the May of Lieuweeu, 2001, before me came Carl Ouellet, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and

acknowledged that he executed it.



Notary Public

STATE OF COUNTRY (MIT)) SS COUNTY OF NEW HARDEN

On the day of Makener, 2001, before me came Makener Belema, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

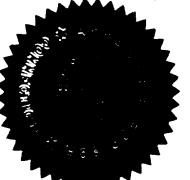
NE OF CONNECTIONS

Motary Public Gedlach

ANNAMARIE BODISCH Notary Public My Commission Expires March 31, 2005

) ss. COUNTY OF NEW HANGAN

On the day of Alice War, 2001, before me came Yuping Qui, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.



Managerie Gadisch
Notary Public

ANNAMARIE BODISCH
Notary Public
My Commission Expires March 31, 2005

STATE OF COLUNE CTI CLAST
) ss.
COUNTY OF NEW HAVEM

On the Wanted, 2001, before me came Xuejie Yang, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.



Motary Public

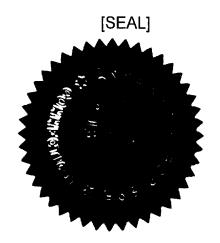
ANNAMARIE BODISCH
Notary Public
My Commission Expires March 31, 2005

STATE OF COUNTY OF ICHE HALLER

On the H day of Noverthe 2001, before me came Fred C. Zusi, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

ANNAMARIE BODISCH
Notary Public
My Commission Expires March 31, 2005



RECORDED: 01/24/2002