02-06-2002

101974930

JAN 22 2002

PATENT

ON FORM COVER SHEET. ATENTS ONLY

TO: Commissioner of	of Patents and Trademarks: Please re	cord the attached origi	inal document(s) or copy(ies).
Submission Type		Conveyance Type	
⊠ New	1.88 02		Security Agreement
Resubmissi	ion (Non-Recordation)	☐ License	Change of Name
Document ID#:		☐ Merger	Other
Correction of	of PTO Error		S. Government
Reel #:	Frame #:		by U.S. Government Agencies)
Corrective [(by diet deveniment (general)
Reel #:	Frame #:	Donouture	mtol Ello
		☐ Departme	
Conveying Party(ies)	k if additional names o	f conveying parties attached
			Execution Date Month Day Year
Name	MT Technology, Inc. (formerly known a	as Mosaic	11/07/2001
•	Technologies, Inc.) through MMC/GAT	ΓX Partnership No. 1	
	and TransAmerica Technology Finance	e Corporation	
Name			
Name			
Name			1 1
Name			/ /
Receiving Party		Mark if additional par	mes of receiving parties attached
11000111119		, mark ii additional nai	nes of receiving parties attached
Name (line 1)	EXACT Sciences Corporation		☐ If document to be recorded
			is an assignment and the
Name (line 2)			receiving party is not
			domiciled in the United
Address (line 1)	63 Great Road		States, an appointment of a
			domestic representative is
Address (line 2)			attached. (Designation must
			be a separate document from
Address (line 3)	<u> </u>	01754	Assignment)
D	City State/Country	Zip Code	
·	entative Name and Address	Enter for the first Re	ceiving Party only.
Name			
Address (line 1)			
Address (line 2)			
Address (line 3)			
Address (line 4)			
	TOD OFFICE	HEE ONLY	
f	FOR OFFICE	USE UNLY	
	\		
2/05/2002 07044 - 00000	150 6251660		
	158 6251660		
1 FC:581	40.00 OP		

Mail documents to be recorded with required cover sheet(s) information to:

Box Assignment, Commissioner of Patents and Trademarks, Washington, D.C. 20231
PATENT

		Page 2	PATENT
Correspondent I	Name and Address		
	Area Code a	nd Telephone Number 617-248	-7000
Name	Patent Administrator		
Address (line 1)	Testa, Hurwitz & Thibeaul	t, LLP	
Address (line 2)	High Street Tower		
Address (line 3)	125 High Street		
Address (line 4)	Boston, MA 02110		
Pages Enter the	e total number of pages o	f the attached conveyance docur	ment
includin	g any attachments.		16
Application Num Enter either the F	ber(s) or Patent Num Patent Application Number or to	ber(s) he Patent Number (DO NOT ENTER B	Mark if additional numbers attached BOTH numbers for the same property).
Patent A	Application Number(s	P 6,251,660	atent Number(s)
Patent Cooperat Enter PCT applie only if a U.S. Ap has not been as	cation number PCT Plication Number	PCT PCT	PCT PCT
Number of Prope	erties Enter	r the total number of properties in	nvolved. # 1
Fee Amount	Fee Am	ount for Properties Listed (37 CF	FR 3.41): \$ 40.00
Method of Paym Deposit Accoun (Enter for payment by	t	Deposit A ees can be charged to the account) Deposit Account	Account
		Authorization to charge addition	onal fees: Yes No
Statement and S To the best of attached copy as indicated h	my knowledge and beld is a true copy of the or	ief, the foregoing information	
Patrick R.H. Waller Reg. No. 41,418	Person Signing	Pat AH Wall	December 6 , 2001

2212983_1

Exhibit 1.4(a)

ASSIGNMENT OF PATENTS

WHEREAS, MT Technology, Inc., a Delaware corporation (f/k/a Mosaic Technologies,

Inc.) having a principal business address at 303 Bear Hill Road, Waltham, Massachusetts

("MTT"), is the owner of the entire right, title and interest in and to a certain patents and patent

applications identified in attached Schedule 1.1(a) (collectively, the "Patents and Patent

Applications");

WHEREAS, MTT is indebted to MMC/GATX Partnership No. 1, a California general

partnership, and TransAmerica Technology Finance Corporation (f/k/a TransAmerica Business

Credit Corporation), a Delaware corporation (collectively, "Lenders"), which hold a security

interest in substantially all of MTT's property, including the Patents and Patent Applications;

WHEREAS, MTT is currently in default of its obligations to the Lenders pursuant to the

terms of a Loan and Security Agreement between the Lenders and MTT dated as of July 31,

2000;

WHEREAS, the Lenders are entitled to exercise their remedies under the Loan

Agreement and applicable law, including a foreclosure sale of all or part of the Lenders'

collateral, with the proceeds of such sale to be applied to the indebtedness of MTT to the

Lenders;

WHEREAS, the Lenders wish to sell the Patents and Patent Applications; and

WHEREAS, EXACT Sciences Corporation, a Delaware corporation having a principal place of business at 63 Great Road, Maynard, Massachusetts ("EXACT"), desires to acquire the entire right, title and interest in and to the Patents and Patent Applications;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the Lenders, through this foreclosure sale acknowledged and agreed to by MTT, do sell, assign, transfer and set over unto EXACT, its successors and assigns, its entire right, title and interest in and to the Patents and Patent Applications and any patents of the United States and all foreign countries which have been or shall be granted on any of the Patents or Patent Applications (collectively, "Other Patents"), or on any non-provisionals, divisionals, continuations, continuations-in-part, reissues, extensions, re-examinations or other applications based in whole thereon (collectively, "Patent Continuations") or claiming the benefit thereof; the same to be held and enjoyed by EXACT for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, to the end of the term or terms for which said patents are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by MTT, if this assignment and sale had not been made; together with all claims for damages by reason of past infringement of said patents, with the right to sue for such damages, and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives.

AND, to the extent the Lenders cannot, by virtue of said foreclosure sale, sell, assign, transfer or set over unto EXACT said Patents, Patent Applications, Other Patents, or Patent Continuations, MTT does hereby sell, assign, transfer and set over unto EXACT the same Patents, Patent Applications, Other Patents, and Patent Continuations, to be held and enjoyed by EXACT for its own use and enjoyment and for the use and enjoyment of its successors, assigns,

or other legal representatives, to the end of the term or terms for which they may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by MTT, if this assignment and sale had not been made; together with all claims for damages by reason of past infringement of said patents, with the right to sue for such damages, and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives.

AND, MTT agrees for itself and its successors and assigns, with EXACT and its successors and assigns, but at the expense and charge of EXACT, hereafter to execute all applications, amended specifications, deeds or other instruments, to provide all necessary assistance in prosecution (which shall include any appeal or interference procedures), and to do all acts necessary or proper, and to otherwise cooperate with EXACT in order to secure the transfer to EXACT and its successors and assigns of said Patents in the United States and all other countries, with specifications and claims to vest and confirm in EXACT and its successors and assigns, the legal title to all such patents and to otherwise give full effect to and perfect the rights of EXACT under this assignment.

AND, the Lenders and MTT do hereby authorize and request competent authorities to issue any Letters Patent as shall be granted upon said patent applications to EXACT and its successors and assigns. MTT hereby covenants that the Lenders have the full right to convey the entire interest herein assigned, and that neither it nor the Lenders have executed, and will not execute, any agreements inconsistent herewith.

IN WITNESS WHEREOF, the Lenders have caused this instrument to be executed	by
their duly authorized officers this day of November, 2001.	
LENDERS: MMC/GATX PARTNERSHIP NO. 1	
By: GATX Financial Corporation (f/k/a GATX Capital Corporation), as general partner	
Name: Robert D. Pomeroy, Jr. Title: Senier Vice President	
TRANSAMERICA TECHNOLOGY FINANCE CORPORATION	
By: Name: Title:	

IN WITNESS WHEREOF, the Lenders have caused this instrument to be executed by
their duly authorized officers this day of November, 2001.
LENDERS: MMC/GATX PARTNERSHIP NO. 1
By: GATX Financial Corporation (f/k/a GATX Capital Corporation), as general partner
Ву:
Name: Title:
TRANSAMERICA TECHNOLOGY FINANCE CORPORATION By: All
Name: Allen M. Sailer

Vice President

Title:

ACKNOWLEDGED AND AGREED:

MT TECHNOLOGY, INC.

State of This sachusetts

County of Middlesel

On this (1) day of November, 2001 before me appeared 5 hiles, to me personally known who, being duly sworn, did depose and say that he is the Direct of MT Technology, Inc., the corporation named in and which executed the foregoing instrument; and that said instrument was signed on behalf of said corporation; and said ______ acknowledged said instrument to be the free and authorized act and deed of said corporation.

Wotary Public
My Commission Expires: 9/23/2005

Pending or Issued Patents and Patent Applications:

Patent/Application Number	Country	Product	Status
08/971,845	United States	Hybrigel	Filed August 8, 1997
98922318.5	Europe	Hybrigel	Filed May 15, 1998
730491	Australia	Hybrigel	Granted June 21, 2001 (74894/98, filed on May 15, 1998)
2,289,925	Canada	Hybrigel	Filed May 15, 1998
10549576	Japan	Hybrigel	Filed May 15, 1998
Number not yet assigned (European Phase Filing of PCT/US00/04939)	Europe	Hybrigel	Filed February 25, 2000
Number not yet assigned (Australian Phase Filing of PCT/US00/04939)	Australia	Hybrigel	Filed February 25, 2000
Number not yet assigned (Canadian Phase Filing of PCT/US00/04939)	Canada	Hybrigel	Filed February 25, 2000
2000601207	Japan	Hybrigel	Filed February 25, 2000
09/939,275	United States	Hybrigel	Filed August 24, 2001; continuation of 09/259,467
6,251,660	United States	Blood Sample Testing Apparatus and Methods of Use Thereof	Issued June 26, 2001 (09/200,126, filed on November 25, 1998)
98960365.9 (European Phase Filing of PCT/US98/24918)	Europe	Blood Sample Testing Apparatus and Methods of Use Thereof	Filed November 25, 1998
2311501	Canada	Blood Sample Testing	Filed November 25, 1998

		Apparatus and Methods of Use Thereof	
	1 m		
09/206,173	United States	Slotted gels for user- customizable Hybrigel Applications	Filed December 4, 1998
99909853.6	Europe	Reversible Affinity Electrophoresis	Filed March 3, 1999
2322975	Canada	Reversible Affinity Electrophoresis	Filed March 3, 1999
2000534862	Japan	Reversible Affinity Electrophoresis	Filed March 3, 1999
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			
6,214,187	United States	Gradient Affinity Electrophoresis	Issued April 10, 2001 (09/336,228, filed on June 18, 1999)
09/707,119	United States	Gradient Affinity Electrophoresis	Filed November 6, 2000; continuation of 09/336,228 and US Patent No. 6,214,187
99930343.1	Europe	Gradient Affinity Electrophoresis	Filed June 18, 1999
2331767	Canada	Gradient Affinity Electrophoresis	Filed June 18, 1999
2000554885	Japan	Gradient Affinity Electrophoresis	Filed June 18, 1999
$A_{2}, a_{3}, b_{4} \in \{a^{3}\}$			
Number not yet assigned (European Phase Filing of PCT/US00/08773)	Europe	Method for Detection of Microorganisms	Filed November 2, 2001 (effective filing date: March 31, 2000)
Number not yet assigned (Australian Phase filing of PCT/US00/08773)	Australia	Method for Detection of Microorganisms	Filed November 2, 2001 (effective filing date: March 31, 2000)

Number not yet assigned (Canadian Phase Filing of	Canada	Method for Detection of Microorganisms	Filed March 31, 2000
Number not yet assigned (Japanese Phase Filing of PCT/US00/08773)	Japan	Method for Detection of Microorganisms	Filed March 31, 2000
09/968,282 (United States Phase Filing of PCT/US00/08773)	United States	Method for Detection of Microorganisms	Filed October 1, 2001
Number not yet assigned (European Phase Filing of PCT/US00/08529)	Europe	Method Using Adapter Probes	Filed November 2, 2001 (effective filing date: March 31, 2000)
Number not yet assigned (Australian Phase Filing of PCT/US00/08529)	Australia	Method Using Adapter Probes	Filed November 2, 2001 (effective filing date: March 31, 2000)
Number not yet assigned (Canadian Phase Filing of PCT/US00/08529)	Canada	Method Using Adapter Probes	Filed March 31, 2000
Number not yet assigned (Japanese Phase Filing of PCT/US00/08529)	Japan	Method Using Adapter Probes	Filed March 31, 2000
09/968,084 (United States Phase Filing of PCT/US00/08529)	United States	Method Using Adapter Probes	Filed October 1, 2001
09/513,381	United States	Devices Comprising Immobilized Capture Probes	Filed February 25, 2000
00915860.1 (European Phase Filing of PCT/US00/04771)	Europe	Devices Comprising Immobilized Capture Probes	Filed February 25, 2000

			<u> </u>
Number not yet assigned (Australian Phase Filing of PCT/US00/04771)	Australia	Devices Comprising Immobilized Capture Probes	Filed February 25, 2000
Number not yet assigned (Canadian Phase Filing of PCT/US00/04771)	Canada	Devices Comprising Immobilized Capture Probes	Filed February 25, 2000
Number not yet assigned (Japanese Phase Filing of PCT/US00/04771)	Japan	Devices Comprising Immobilized Capture Probes	Filed February 25, 2000
09/766,880	United States	Methods/Assay Devices Optimized for Rapid Probe Diagnostics	Filed January 19, 2001
PCT/US01/01963	International Filing	Methods/Assay Devices Optimized for Rapid Probe Diagnostics	Filed January 19, 2001
09/336,609	United States	Hybridization Assays and Probes Utilizing Signal Recognition Particle RNA Targets	Filed June 18, 1999
99928796.4 (European Phase Filing of PCT/US99/13799)	Europe	Hybridization Assays and Probes Utilizing Signal Recognition Particle RNA Targets	Filed June 18, 1999
2330732 (Canadian Phase Filing of	Canada	Hybridization Assays and Probes Utilizing Signal	Filed June 18, 1999
PCT/US99/13799)		Recognition Particle RNA Targets	
2000554886 (Japanese Phase Filing of PCT/US99/13799)	Japan		Filed June 18, 1999
2000554886 (Japanese Phase Filing of	Japan	RNA Targets Hybridization Assays and Probes Utilizing Signal Recognition Particle	Filed June 18, 1999

	Assay for Detection of Nucleic Acid Sequences	filed on October 4, 1999)
<u> </u>		L

Expired, Inactive, Lapsed, or Otherwise Abandoned Patents and Patent Applications

Patent/Application	Country	Product	Status
Number			
60/046,708	United States	Hybrigel	Filed May 16, 1997
PCT/US98/09952	International	Hybrigel	Filed May 15, 1998
WO98/51823	Filing		
PCT/US00/04939	International	Hybrigel	Filed February 25, 2000;
WO00/50644	Filing		continuation-in-part of 60/046,708
			and 08/971,845
09/259,467	United States	Hybrigel	Filed February 26, 1999;
			continuation-in-part of 60/046,708
			and 08/971,845
60/066,508	United States	Blood Sample Testing	Filed November 25, 1997
		Apparatus and Methods	
		of Use Thereof	
PCT/US98/24918	International	Blood Sample Testing	Filed November 25, 1998
	Filing	Apparatus and Methods	

WO99/26724		of Use Thereof	
1597599 (MTT abandoned)	Australia	Blood Sample Testing Apparatus and Methods	Filed November 25, 1998
(Australian Phase		of Use Thereof	
Filing of			
PCT/US98/24918)			·
(MTT abandoned)	Japan	Blood Sample Testing	Filed November 25, 1998
(Japanese Phase		Apparatus and Methods	
Filing of		of Use Thereof	
PCT/US98/24918)			
60/067,556	United States	Slotted gels for user-	Filed December 5, 1997
		customizable Hybrigel	
		Applications	
PCT/US98/25780	International	Slotted gels for user-	Filed December 4, 1998
WO99/30145	Filing	customizable Hybrigel	
W 079/30143		Applications	
60/076,614	United States	Reversible Affinity	Filed March 3, 1998
		Electrophoresis	
09/261,363	United States	Reversible Affinity	Filed March 3, 1999

		Electrophoresis	
PCT/US99/04849	International	Reversible Affinity	Filed March 3, 1999
WO99/45374	Filing	Electrophoresis	
2896399 (MTT	Australia	Reversible Affinity	Filed March 3, 1999
abandoned)		Electrophoresis	
(Australian Phase			
Filing of			
PCT/US99/04849)			
60/089,788	United States	Gradient Affinity	Filed June 18, 1998
		Electrophoresis	
PCT/US99/13649	International	Gradient Affinity	Filed June 18, 1999
WO99/66078	Filing	Electrophoresis	
4689899 (MTT	Australia	Gradient Affinity	Filed June 18, 1999
abandoned)		Electrophoresis	
(Australian Phase			
Filing of			
PCT/US99/13649)			
	6.15		
09/286,091	United States	Method for Detection of	Filed April 2, 1999; continuation-

		Microorganisms	in-part of "Basic Hybrigel
			Applications"
PCT/US00/08773	International	Method for Detection of	Filed March 31, 2000
WO00/60120	Filing	Microorganisms	
09/285,380	United States	Method Using Adapter	Filed April 2, 1999
		Probes	
PCT/US00/08529	International	Method Using Adapter	Filed March 31, 2000
WO00/60118	Filing	Probes	
	and the second s		
60/121,836	United States	Devices Comprising	Filed February 26, 1999
		Immobilized Capture	
		Probes	
PCT/US00/04771	International	Devices Comprising	Filed February 25, 2000
WO00/50870	Filing	Immobilized Capture	
		Probes	
60/176,839	United States	Methods/Assay Devices	Filed January 19, 2000
		Optimized for Rapid	

PATENT
RECORDED: 01/22/2002 REEL: 012530 FRAME: 0613