

02-06-2002

R SHEET

To the Honorable Commission:



the attached original documents or copy thereof.

101974175

1. Name of conveying party(ies):

Peter BETZ

2. Name and address of receiving party(ies):

Name: BASF Coatings Aktiengesellschaft

Internal Address: Patent Department

Street Address: Glasuritstrasse 1

48165 Muenster-Hiltrup, GERMANY

Additional numbers attached?

☒

Yes

☐

No

3. Nature of conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

☐

Execution Date: 30.10.01. Day/month/year

Additional numbers attached?

☐

Yes

☒

No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5513

Application No.09/914,937

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Michael F. Morgan

Internal Address: BASF Corporation

Street Address: 26701 Telegraph Road

City: Southfield State: Michigan Zip: 48034-2442

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

8. Deposit account number: 23-3425

Enclosed

Authorized to be charged to deposit account

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

02/05/2002 DBYRNE 00000058 233425 09914937

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40.00 CH

Michael F. Morgan, 42,906

Name of Person Signature

Michael F. Morgan

Signature

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as First Class Mail addressed to the Commissioner for Patents, Washington, DC 20231 on November 8, 2001.

Date: November 8, 2001

-8-

Total number of pages comprising cover sheet:

PATENT

REEL: 012538 FRAME: 0698

SECOND PAGE OF RECORDATION FORM COVER SHEET
Patent only

Additional Name(s) of Conveying Party(ies)

2. Wilfried STÜBBE
3. Horst HINTZE-BRÜNING
4. Heinz-Peter RINK
- 5.
- 6.
- 7.

ASSIGNMENT

WHEREAS, I (WE), Peter BETZ, Wilfried STÜBBE, Horst HINTZE-BRÜNING, Heinz-Peter RINK residing at Am Roggenkamp 172, D-48165 Münster, Federal Republic of Germany; Niendamm 6, D-48268 Greven, Federal Republic of Germany; Thomas-Mann-Weg 9, D-48165 Münster, Federal Republic of Germany; Lohofenerweg 44, D-48153 Münster, Federal Republic of Germany (declarant(s)) declare that I (WE) have invented certain improvements in: SOL-GEL COATING FOR SINGLE-LAYER OR MULTI-LAYER VARNISHES hereinafter the invention or inventions, described in U.S. Patent Application Serial No. 09/914,937, filed on September 5, 2001 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Coatings Aktiengesellschaft, a corporation of the Federal Republic of Germany having a place of business at, Glasuritstr. 1, 48165 Münster, Federal Republic of Germany (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer

Docket: IN-5513

and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Title: SOL-GEL COATING FOR SINGLE-LAYER OR MULTI-LAYER VARNISHES

Docket: IN-5513

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 30.10'01

Signed: RL Betz
Typed Name: Peter BETZ

Witnessed: Birgit Gohmann

Name Birgit Gohmann
Address Glasuritstr.1
48165 Münster
Germany

Witnessed: Monika Hollad

Name Monika Hollad
Address Glasuritstr.1
48165 Münster
Germany

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Dated: 30.10.01

Signed: Wilfried Stübbe
Typed Name: Wilfried STÜBBE

Witnessed: Birgit Gohmann

Name Birgit Gohmann
Address Glasuritstr. 1
48165 Münster
Germany

Witnessed: Monika Hollad


Name Monika Hollad
Address Glasuritstr. 1
48165 Münster
Germany

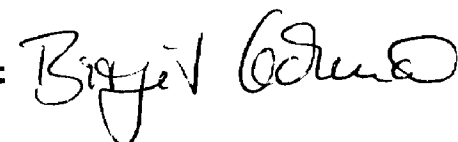
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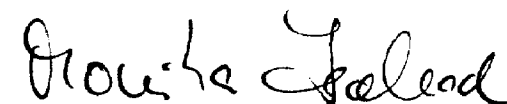
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Dated: 30.10.01

Signed: 
Typed Name: Horst HINTZE-BRÜNING

Witnessed: 

Name Birgit Gohmann
Address Glasuritstr. 1
48165 Münster
Germany

Witnessed: 

Name Monika Hollad
Address Glasuritstr. 1
48165 Münster
Germany

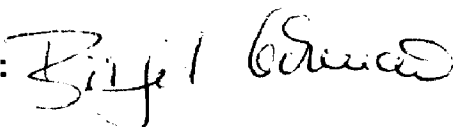
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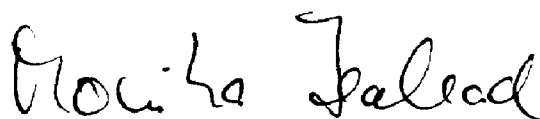
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Dated: 30.10.01

Signed: 
Typed Name: Heinz-Peter RINK

Witnessed: 

Name Birgit Gohmann
Address Glasuritstr.1
48165 Münster
Germany

Witnessed: 

Name Monika Hollad
Address Glasuritstr.1
48165 Münster
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