

FORM PTO-1619A

Expires 06/30/99  
OMB 0651-0027

04-02-2002

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

102031826

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- ☒ New
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Reel #  Frame #
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## Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) BERWIND PHARMACEUTICAL SERVICES, Inc.

Execution Date  
Month Day Year  
11/01/2001

Name (line 2)

Second Party

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

## Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) BPSI HOLDINGS, Inc.

Name (line 2)

☐ If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is attached.  
(Designation must be a  
separate document from  
Assignment.)

Address (line 1) 1105 North Market Street, Suite 1300

Address (line 2) P.O. Box 8985

Address (line 3) Wilmington

Delaware

19899-8985

City

State/Country

Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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FORM PTO-1619B

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U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

## Correspondent Name and Address

Area Code and Telephone Number

973-621-0660

Name Sapna Gadhia

Address (line 1) Roberts &amp; Mercanti, L.L.P.

Address (line 2) EDC II

Address (line 3) 105 Lock Street, Suite 203

Address (line 4) Newark, NJ 07103

## Pages

Enter the total number of pages of the attached conveyance document  
including any attachments.

# 2

## Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

08/778,944

6,183,808 B1

09/661,655

If this document is being filed together with a new Patent Application, enter the date the patent application was  
signed by the first named executing inventor.

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

## Number of Properties

Enter the total number of properties involved.

# 3

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

120.00

Method of Payment:

Enclosed ☐Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 50-0217

Authorization to charge additional fees:

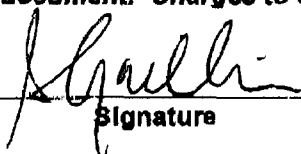
Yes ☐No ☒

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.

Sapna Gadhia

Name of Person Signing



Signature

11/05/2001

Date

Docket No. 525.1002, et seq.

**ASSIGNMENT**

WHEREAS, **BERWIND PHARMACEUTICAL SERVICES, INC.**, a corporation duly organized under the laws of the state of Delaware and having an address at 1936 Westpoint Pike, P.O. Box 24, West Point, Pennsylvania 19486-0024 (hereinafter referred to as "ASSIGNOR") is the owner of record of certain rights in the inventions entitled **FILM COATINGS AND FILM COATING COMPOSITIONS BASED ON DEXTRIN** for which the following United States Patent Applications were filed:

United States Patent Application Serial No. 08/778,944, filed on January 6, 1997;

United States Patent Application Serial No. 09/002,462, filed on January 2, 1998, now U.S. Patent No. 6,183,808 B1 which issued on February 6, 2001; and

United States Patent Application Serial No. 09/661,655, filed on September 14, 2000 (hereinafter referred to as the "INVENTIONS"), and WHEREAS, **BPSI HOLDINGS, INC.**, a corporation duly organized under the laws of the State of Delaware and having an address at 1105 North Market Street, Suite 1300, P. O. Box 8985, Wilmington, Delaware 19899-8985 (hereinafter referred to as "ASSIGNEE") is desirous of obtaining all rights and full title to THE INVENTIONS.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNOR, has sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, their successors, legal representatives and assigns, any and all rights that are held by said ASSIGNOR in the United States and the United Kingdom, including the entire right, title and interest in, to and under the said INVENTIONS, and the said United States patent(s) and/or patent application(s), all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said INVENTIONS in any additional country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application(s) under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial

Docket No. 525.1002, et seq.

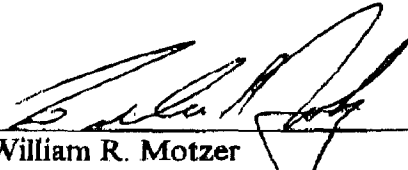
property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for said INVENTIONS in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND ASSIGNOR HEREBY authorizes and requests the Director of the United States Patent and Trademark Office, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, their successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that ASSIGNOR has full right to convey the entire interest herein assigned, and that ASSIGNOR has not executed, and will not execute, any agreement in conflict herewith.

AND ASSIGNOR HEREBY further covenants and agrees that ASSIGNOR will communicate to the said ASSIGNEE, their successors, legal representatives and assigns, any facts known to ASSIGNOR respecting said INVENTIONS, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, their successors, legal representatives and assigns, to obtain and enforce proper protection for said INVENTIONS in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my signature.

  
\_\_\_\_\_  
William R. Motzer  
Vice President & Chief Financial Officer  
Berwind Pharmaceutical Services, Inc.

November 1, 2001  
Date

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