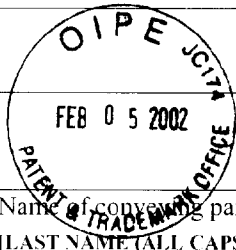


02-08-2002



Atty. Docket No.: 2185-0233P

Page 1 of 1


 101977312
 RECREATION FORM COVER SHEET

2.500

 To the Honorable Commissioner of Patents and Trademarks:
 Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

[LAST NAME (ALL CAPS), First Name]

SUMITOMO CHEMICAL COMPANY, LIMITED

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies)

Name: SUMIKA FINE CHEMICALS COMPANY, LTD.

Internal Address:

Street Address: 1-21, Utajima 4-chome, Nishiyodogawa-ku, Osaka 555

City: State: ZIP:

Country: Japan Postal Code:

Additional name(s) & address(es) attached? ☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: January 23, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

B. Patent No.(s).

6,156,940Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH & BIRCH, LLP

Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

6. Total No. of applications/patents involved: One (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Enclosed☒ Authorized to be charged to deposit account, if no fee attached.

8. Deposit account number: 02-2448

(Attach triplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Raymond C. Stewart, #21,066

Name of Person Signing/Reg. No.

Signature

February 5, 2002

Date

Total number of pages including cover sheet, attachments, and document: Four (4)

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(Rev. 01/18/02)

 PATENT
 REEL: 012539 FRAME: 0479

ASSIGNMENT

WHEREAS, the inventors, Kenji SAITO, Norio KOMETANI, Azusa FUJIWARA, Yukio YONEYOSHI, and Gohfu SUZUKAMO all of Osaka, Japan, by an Assignment dated December 10, 1995, recorded at Reel 7928, Frame 0929, have assigned their full and exclusive right in and to the invention set forth in U.S. Patent No. 6,156,940, issued December 5, 2000 entitled PROCESS FOR PRODUCING OPTICALLY ACTIVE CARBINOLS, to:

Sumika Fine Chemicals Company, Ltd., 1-21, Utajima 4-chome, Nishiyodogawa-ku, Osaka 555, Japan and Sumitomo Chemical Company, Limited, 5-33, Kitahama, 4-chome, Chuo-ku, Osaka 541, Japan, jointly, each taking an undivided one-half interest in the above mentioned patent; and

WHEREAS, Sumika Fine Chemicals Company, Ltd., desires to acquire the interest of Sumitomo Chemical Company, Limited in said mention and patent, and Sumitomo Chemical Company, Limited is willing to assign its interest therein to Sumika Fine Chemicals Company, Ltd.;

NOW, THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) lawful money of the United States and other valuable considerations, to me in hand paid, the receipt of and sufficiency whereof are hereby acknowledged, and said Sumitomo Chemical Company, Limited has sold, assigned and transferred and does hereby sell, assign and transfer unto the said Sumika Fine Chemicals Company, Ltd., its successors and assigns, its entire undivided one-half right, title and interest in and to the said

Letters Patent(s) and the invention(s) therein contained, including the right to sue for past infringement, to be held and enjoyed by the said Sumika Fine Chemicals Company, Ltd., its successors and assigns the same as it would have been held and enjoyed by Sumitomo Chemical Company, Ltd., if this assignment and sale had not been made.

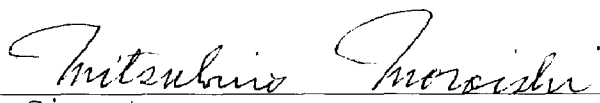
Sumitomo Chemical Company, Limited, hereby represents and warrants that there are no rights or interests outstanding inconsistent with the rights and interest granted herein and that Sumitomo Chemical Company, Limited will not execute any instrument or grant or transfer any rights or interests inconsistent therewith, and Sumitomo Chemical Company, Limited binds itself and its heirs, executors, administrators, employees and legal representatives, as the case may be, to execute and deliver to the said Sumika Fine Chemicals Company, Ltd., its successors and assigns, any further documents or instruments and do any and all further acts that may be deemed necessary by the said Sumika Fine Chemicals Company, Ltd., its successors and assigns to file applications for improvements and inventions in any country where it may elect to file such applications, and that may be necessary to vest in the said Sumika Fine Chemicals Company, Ltd., its successors and assigns, the title herein conveyed, or intended so to be, and to enable such title to be recorded in the United States and foreign countries where such application or applications may be filed.

AND, Sumitomo Chemical Company, Limited further covenants and

agrees, in consideration of the premises, that it, its executors and administrators will, at any time upon request, communicate to the said Sumika Fine Chemicals Company, Ltd., its successors and assigns, any facts relating to the said invention and improvements and the history thereof, known to it or its successors and assigns, and that it will testify as to the same in any interference or other proceeding when requested to do so by the said Sumika Fine Chemicals Company, Ltd., its successors and assigns.

The undersigned hereby grants the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF, Sumitomo Chemical Company, Limited have hereunto set my hands and seal this 23rd day of January 2002.



Signature

MITSUHIRO MOROISHI
REPRESENTATIVE DIRECTOR

Typed name of representative of Sumitomo
Chemical Company, Limited

Title of representative of Sumitomo
Chemical Company, Limited