

02-08-2002

ORDINATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

101978038

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CIBA Vision Corporation

JAN 2 2002

1.22.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 03/29/00

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address:

Street Address: Schwarzwaldallee 215

City: Basel State: Switzerland ZIP: 4058

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

6,099,122

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis Corporation

Patent and Trademark Dept.

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901-1027

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

02/07/2002 DBYRNE 00000135 190134 6099122

01 FC:581

40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jian Zhou

Name of Person Signing
Reg. No. 41,422

Signature

Date

Dec. 19, 2001

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 012539 FRAME: 0525

ASSIGNMENT

CIBA Vision Corporation, a corporation organized and existing under the laws of the State of Delaware, having offices at 11460 Johns Creek Parkway, Duluth, Georgia 30097-1556 for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, does hereby sell, transfer and assign to NOVARTIS AG, Schwarzwaldalle 215, 4058 Basel, Switzerland a Swiss corporation of Basle, Switzerland, its successors, assigns and legal representatives, all its right, title and interest in countries foreign to the United States of America in and to the invention for

PROCESS FOR THE FUNCTIONALISATION OF SURFACES

described in the application for United States Letters Patent therefor,

Serial No. 08/860,130 , filed, 8/18/97

including (i) the authorization to file corresponding applications for patents or similar legal protection and continuations, continuations-in-part, supplementary disclosures, divisions or extensions thereof in the name of NOVARTIS AG in any country foreign to the United States in which an assignee can apply for a patent or similar legal protection, (ii) any and all rights under international treaties, conventions, agreements, charters and laws in respect of the said invention, especially the right to claim priority based on the said application for United States Letters Patent, (iii) any and all Letters Patent or similar legal protection which may be granted therefor in countries foreign to the United States of America, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of said Letters Patent or similar legal protection for all countries foreign to the United States of America when granted, to be held and enjoyed by NOVARTIS AG, as fully and entirely as the same would have been held and enjoyed by CIBA Vision Corporation if this assignment and sale had not been made; and CIBA Vision Corporation hereby further covenants and agrees that CIBA Vision Corporation will at any time upon request execute such additional assignments, confirmatory or

desirable, testify in any legal proceeding, execute all divisional, continuing, reissue and extension applications, make all rightful oaths and declarations, and generally do everything possible to aid the said assignee, its successors, assigns and legal representatives, to obtain and enforce proper patent protection for said invention. And CIBA Vision Corporation does hereby authorize and request that appropriate authorities in countries foreign to the United States of America to issue Letters Patent to NOVARTIS AG.

Signed at

Duluth, GA

this

29th

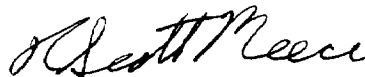
day of

March

, 2000.

CIBA VISION CORPORATION

By



R. Scott Meece
Vice President &
General Counsel