

02-07-2002

FORM PTO-1595  
(Rev. 6-93)

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HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101975168

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

## 1. Names of conveying parties:

- 1) YUQIU JIANG
- 2) DAVIN C. DILLON
- 3) JENNIFER L. MITCHAM
- 4) JIANGCHUN XU
- 5) SUSAN L. HARLOCKER
- 6) WILLIAM T. HEPLER

1-24-02

Additional names of conveying parties attached? ☒ Yes ☐ No

## 2. Name and address of receiving party:

Name: CORIXA COPORATION

Internal Address: \_\_\_\_\_

Street Address: 1124 COLUMBIA STREETSUITE 200City: SEATTLEState WAZip: 98104Additional names & addresses attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

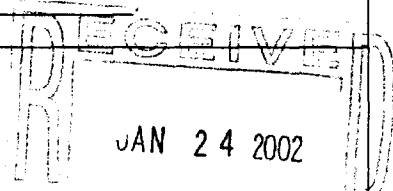
## Execution Dates:

- |                        |                         |
|------------------------|-------------------------|
| 1) <u>MAY 15, 2001</u> | 4) <u>MAY 16, 2001</u>  |
| 2) <u>MAY 16, 2001</u> | 5) <u>MAY 18, 2001</u>  |
| 3) <u>MAY 16, 2001</u> | 6) <u>JUNE 28, 2001</u> |

## 4. Application number(s) or registration number(s):

If this document is being filed together with a new application,  
the filing date of the application is \_\_\_\_\_A. Patent Application No(s).  
09/834,759

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Seed Intellectual Property Law Group PLLCInternal Address: JEFFREY HUNDLEYStreet Address: 701 Fifth Avenue, Suite 6300City: Seattle State: WA ZIP: 98104-7092

## 6. Total number of applications and patents involved.....

17. Total Fee (37 CFR 3.41): .....\$40☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

19-1090

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*JEFFREY HUNDLEY, PH.D.

Name of Person Signing

Signature

11/16/01  
DateTotal number of pages including cover sheet, attachments, and document: 9

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Page 1 of 2

PATENT  
REEL: 012542 FRAME: 0001

**PATENTS ONLY**  
**Continuation**

1. Additional name of conveying party:

ROBERT A. HENDERSON

2. Additional name(s) and addresses of receiving party(ies):

3. Execution date(s)

MAY 16, 2001

4. A. Additional Patent Application No.(s):

B. Additional Patent No.(s):

# ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,

Name	Post Office Address	
Yuqiu Jiang	5001 South 232 <sup>nd</sup> Street, Kent, WA 98032	;
Davin C. Dillon	18112 NW Montreux Drive, Issaquah, WA 98027	;
Jennifer L. Mitcham	16677 NE 88 <sup>th</sup> Street, Redmond, WA 98052	;
Jiangchun Xu	15805 SE 43 <sup>rd</sup> Place, Bellevue, WA 98006	;
Susan L. Harlocker	7522 – 13 <sup>th</sup> Avenue W., Seattle, WA 98117	;
William T. Hepler	12034 – 38 <sup>th</sup> Avenue NE, Seattle, WA 98125	; and
Robert A. Henderson	8904 – 192 <sup>nd</sup> Street SW, Edmonds, WA 98026	,

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

## COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF BREAST CANCER

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/834,759, as filed in the United States Patent and Trademark Office on April 13, 2001 (“this application”) for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/620,405	07/20/00		210121.470C8
09/604,287	06/22/00		210121.470C7
09/590,751	06/08/00		210121.470C6
09/551,621	04/17/00		210121.470C5
09/433,826	11/03/99		210121.470C4
09/389,681	09/02/99		210121.470C3
09/339,338	06/23/99		210121.470C2

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/285,480	04/02/99		210121.470C1
09/222,575	12/28/98		210121.470

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and behalf, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us has this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

Date 5/15/2001 Yuqiu Jiang

State of Washington )  
County of King ) ss.

I certify that I know or have satisfactory evidence that Yuqiu Jiang is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated May 15, 2001  
Signature of Notary Public Deirdre I. Bryan  
Printed Name **DEIRDRE I. BRYAN**  
My appointment expires 9/1/02

Date 5/16/01 Davin C. Dillon  
State of WASHINGTON )  
County of KING ) ss.

I certify that I know or have satisfactory evidence that Davin C. Dillon is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated May 16, 2001  
Signature of Notary Public Deirdre I. Bryan  
Printed Name **DEIRDRE I. BRYAN**  
My appointment expires 9/1/02

5/16/01  
Date \_\_\_\_\_  
State of WASHINGTON )  
County of KING )  
Jennifer L. Mitcham  
SS.

I certify that I know or have satisfactory evidence that Jennifer L. Mitcham is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated May 16, 2001  
Signature of Notary Public Deirdre I. Bryan  
Printed Name **DEIRDRE I. BRYAN**  
My appointment expires 9/1/02

5/16/01  
Date \_\_\_\_\_  
State of Washington )  
County of King )  
Jiangchun Xu  
SS.

I certify that I know or have satisfactory evidence that Jiangchun Xu is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated May 16, 2001  
Signature of Notary Public Deirdre I. Bryan  
Printed Name **DEIRDRE I. BRYAN**  
My appointment expires 9/1/02

Date 5/18/01 Susan L. Harlocker  
Susan L. Harlocker  
State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that Susan L. Harlocker is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated May 18, 2001  
Signature of Notary Public Heidi D. Bryan  
Printed Name \_\_\_\_\_  
My appointment expires 9/1/02

Date \_\_\_\_\_ William T. Hepler  
State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that William T. Hepler is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_  
Signature of Notary Public \_\_\_\_\_  
Printed Name \_\_\_\_\_  
My appointment expires \_\_\_\_\_

Date 5/18/01 Susan L. Harlocker  
Susan L. Harlocker  
State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that Susan L. Harlocker is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated May 18, 2001  
Signature of Heidi D. Bryan  
Notary Public  
Printed Name \_\_\_\_\_  
My appointment expires 9/1/02

Date 6/28/01 William T. Hepler  
William T. Hepler  
State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that William T. Hepler is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_  
Signature of \_\_\_\_\_  
Notary Public  
Printed Name \_\_\_\_\_  
My appointment expires \_\_\_\_\_



5/16/01  
Date \_\_\_\_\_  
State of WASHINGTON )  
County of KING ) ss.  
Seattle

Robert A. Henderson  
Robert A. Henderson

I certify that I know or have satisfactory evidence that Robert A. Henderson is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated May 16, 2001  
Signature of Notary Public Deirdre I. Bryan  
Printed Name **DEIRDRE I. BRYAN**  
My appointment expires 9/1/02

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