

02-07-2002



3R SHEET

RE

Docket No. 99/044NUT

To the Honorable Commission

101976664

the attached original documents or copy thereof.

1. Name of conveying party:  
 Martin JAGER  
 Bernd HABER  
 Benno KUNZ  
 Stephanie STRAETER  
 Jenny WEISSBRODT  
 Hartmut BOLLINGER  
 Hans-Georg BRENDLE  
 George BACHE  
 Additional names of conveying parties attached? ( ) Yes (X) No

1.2400

2. Name and address of receiving party:  
 Nutrinova Nutrition Specialties & Food  
 Ingredients GmbH  
 Brueningstrasse 50, D-65929  
 Frankfurt am Main  
 Federal Republic of Germany

3. Nature of conveyance:  
 ( ) Assignment ( ) Merger  
 ( ) Security Agreement ( ) Change of Name  
 (X) Other  
 Recordation to correct the 4<sup>th</sup> and 6<sup>th</sup> assignor name  
 previously recorded on Reel 011599 and Frame No. 0477  
 Correct spelling of names are: Stephanie Straeter and  
 Hartmut Bollinger

02-07-2002

4. Application number:  
 If this document is being filed together with a new application, the execution date of the application is:  
 A. Patent Application No. 09/747,850 filed 12/21/00 B. Patent No.(s)  
 Additional numbers attached? ( ) Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:  
 ProPat LLC  
 2912 Crosby Road  
 Charlotte, NC 28211-2815  
 Attention: Klaus Schweitzer

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)....\$40.00  
 (x) Enclosed  
 ( ) Authorized to be charged to deposit account

8. Deposit account number:

9. Statement and signature.  
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Klaus Schweitzer  
 Name of Person Signing

K. Schweitzer  
 Signature

Nov 30, 2001  
 Date

Total number of pages including cover sheet, attachments, and document: 15

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

CARR

07-301-2001



101793621

Docket No. 99/044NUT

To the Honorable Commissioner of Pat

IT

hed original documents or copy thereof.

1. Name of conveying party:

Martin JAGER  
Bernd HABER  
Benno KUNZ  
Stephanie STRAETER  
Jenny WEISSBRODT  
Hartmut BOLLINGER  
Hans-Georg BRENDLE  
George BACHE

07/23/01

2. Name and address of receiving party:

Nutrinova Nutrition Specialties & Food  
Ingredients GmbH  
Brueningstrasse 50, D-65929  
Frankfurt am Main  
Federal Republic of Germany

Additional names of conveying parties attached? ( ) Yes (X) No

3. Nature of conveyance:

(X) Assignment ( ) Merger  
( ) Security Agreement ( ) Change of Name  
( ) Other

Execution Date(s): 1/31/01; 1/31/01;  
1/08/01; 1/08/01; 1/08/01;  
1/19/01; 1/19/01; 1/19/01

4. Application number:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. 09/747,850 filed 12/21/00

B. Patent No.(s)

Additional numbers attached? ( ) Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

ProPat LLC  
2912 Crosby Road  
Charlotte, NC 28211-2815

Attention: Klaus Schweitzer

6. Total number of applications and patents involved: 1

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( ) Authorized to be charged to deposit account

8. Deposit account number:

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Klaus Schweitzer  
Name of Person Signing

K. Schweitzer  
Signature

July 18, 2001  
Date

Total number of pages including cover sheet, attachments, and document: 12

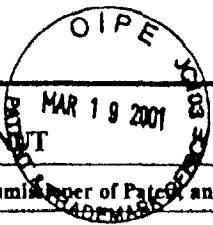
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Box Assignments  
Washington, D.C. 20231

07/30/2001 LMBELLER 00000050 09747850

01 FC:581

40.00 DP



06-12-2001



101721622

Docket No. 99/044 NPT To the Honorable Commissioner of Patents and Trademarks	
1. Name of conveying party: Martin Jager Bernd Haber Benno Kunz Stephanie Strätter Jenny Weissbrodt Harmut Bollinger Hans-Georg Brendle George Bache  Additional names of conveying parties attached? ( ) Yes (X) No	2. Name and address of receiving party:  Nutrinova Nutrition Specialties & Food Ingredients GmbH Brueningstrasse 50 D-65929 Frankfurt am Main Federal Republic of Germany
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other  Execution Date(s): January 31, 2001, January 8, 2001, January 19, 2001	
4. Application number: If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No.(s) 09/747,850                      B. Patent No.(s)  Additional numbers attached? ( ) Yes (X) No	
5. Name and address of party to whom correspondence concerning document should be mailed:  ProPat LLC 6230 Fairview Road, Suite 400 Charlotte, NC 28210  Attention: Klaus Schweitzer	6. Total number of applications and patents involved: <u>1</u>  7. Total fee (37 CFR 3.41)....\$40.00 <input checked="" type="checkbox"/> Enclosed Credit Card Payment Form <input type="checkbox"/> Authorized to be charged to deposit account  8. Deposit account number:
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Klaus Schweitzer <u>K. Schweitzer</u> Name of Person Signing                      Signature  Date: <u>3/16/01</u>	
Total number of pages including cover sheet, attachments, and document: 3	
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231	

3.14.01

03/21/2001 NYGUF1 00000031 09747850  
49.70 00

PATENT REEL: 011599 FRAME: 0477

PATENT REEL: 012542 FRAME: 0915

ASSIGNMENT

Docket No. 99/044 NUT

Serial No.

Filed:

WHEREAS, UNDERSIGNED

Martin Jager

Eernd Haber

Benno Kunz

Stephanie ~~Sträter~~

Jenny Weissbrodt

~~Harmut Bollinger~~

Hans-Georg Brendle

Georg Bache

*Straeter US 11-30-01*

*U.S. Hartmut  
11-30-01*

citizen(s) of the Federal Republic of Germany residing at

Am Bannzaun 11, D-67294 Gauersheim, Federal Republic of Germany

Steubenstrasse 6, D-55126 Mainz, Federal Republic of Germany

Lueftelberger Strasse 7, D-53307 Meckenheim, Federal Republic of Germany

Hasbacher Strasse 105 D-51503 Roesrath-Hasbach, Federal Republic of Germany

Auf dem Buehl 19, D-51674 Wiehl, Federal Republic of Germany

Buchenstrasse 10, D-73491 Neuler, Federal Republic of Germany

Rostocker Strasse 3, D-73479 Ellwangen, Federal Republic of Germany

Talstrasse 6, D-74424 Buehlertann, Federal Republic of Germany

has invented certain new and useful improvements (INVENTION) disclosed, shown, and described in an APPLICATION for Letters Patent of the United States entitled

**ENCAPSULATED MULTIFUNCTIONAL BIOLOGICALLY ACTIVE  
FOOD COMPONENT PROCESS FOR ITS PRODUCTION AND ITS USE**

Docket No. 99/044 NUT, said APPLICATION being executed concurrently herewith, and

WHEREAS, by virtue of a pre-existing obligation of assignment, the UNDERSIGNED has (have) been under a continuous obligation since prior to the invention of the subject matter of the said APPLICATION to assign the said APPLICATION to NUTRINOVA NUTRITION SPECIALTIES & FOOD INGREDIENTS GmbH and J. RETTENMALER & SÖHNE GmbH & CO.

WHEREAS, NUTRINOVA NUTRITION SPECIALTIES & FOOD INGREDIENTS GmbH, a corporation organized and existing under the laws of the Federal Republic of Germany established at and having an office at Brueningstrasse 50, D-65929 Frankfurt am Main, Federal Republic of Germany and J. RETTENMALER & SÖHNE GmbH & CO, a corporation organized and existing under the laws of the Federal Republic of Germany established at and having an office at Faserstoff-Werke, Holzmuehle 1 D-73494 Rosenberg, Federal Republic of Germany (ASSIGNEES) are desirous of acquiring the entire right, title and interest in and to the

**PATENT**  
**REEL: 011599 FRAME: 0478**

**PATENT**  
**REEL: 012542 FRAME: 0916**

INVENTION, in this and any foreign country, and in and to any Letters Patent of the United States or any foreign country which may be granted embracing the INVENTION.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, UNDERSIGNED by these presents, sells, assigns and transfers unto ASSIGNEES, its legal representatives, successors, and assigns, the entire right, title and interest for the United States and all foreign countries, in and to the INVENTION, and in and to the said APPLICATION, and in and to any applications for Letters Patent which have been or may be filed in any foreign country on said INVENTION, and in and to any and all priority rights generated by the filing of said APPLICATION in the United States or any foreign country, which may be granted covering the INVENTION, including any continuations, substitutions, divisions, reissues, or renewals thereof, said Letters Patent whether of this country or any foreign country to be held and enjoyed by ASSIGNEES for its interest, for its own use and behalf, and for its legal representatives, successors, and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by UNDERSIGNED had this assignment and sale not been made.

THE UNDERSIGNED covenant(s) not to have executed and will not execute any agreement in conflict with this assignment.

IN WITNESS WHEREOF this assignment has been executed by UNDERSIGNED on the date opposite his name.

Date January 31, 2001

 (LS)  
Martin Jager

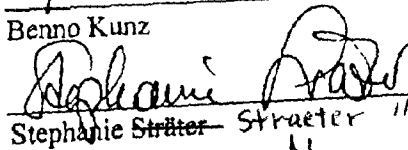
Date January 31, 2001

 (LS)  
Bernd Haber

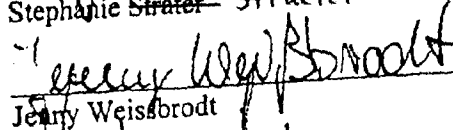
Date January 8, 2001

 (LS)  
Benno Kunz


Date January 8, 2001

 (LS)  
Stephanie Sträter Sträter 11-30-01 U.S.


Date January 8, 2001

 (LS)  
Jerry Weissbrodt

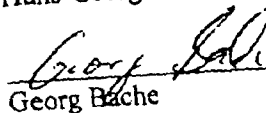
Date January 19, 2001

 (LS)  
Hartmut Bollinger

Date January 19, 2001

 (LS)  
Hans-Georg Brehde

Date January 19, 2001

 (LS)  
Georg Bache

Federal Republic of Germany

On Jan 31, 2001, before us as a witness in and for the country aforesaid, appeared

Martin Jager

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the foregoing instrument, and acknowledged that he (they) executed said instrument as his (their) free and voluntary act, and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

*Bernd Haber*

Witness 1

*Gert-Wolfhard von Rymon Lipinski*

Witness 2

Gert-Wolfhard von Rymon Lipinski

Federal Republic of Germany

On Jan 31, 2001, before us as a witness in and for the country aforesaid, appeared

Bernd Haber

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the foregoing instrument, and acknowledged that he (they) executed said instrument as his (their) free and voluntary act, and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

*Bernd Haber*

Witness 1

*Gert-Wolfhard von Rymon Lipinski*

Witness 2

Gert-Wolfhard von Rymon Lipinski

Federal Republic of Germany

On Jan 8, 2001, before us as a witness in and for the country aforesaid, appeared

Benno Kunz

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the foregoing instrument, and acknowledged that he (they) executed said instrument as his (their) free and voluntary act, and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Stephanie Sträter  
Witness 1 Stephanie Sträter

Jenny Weißbrodt  
Witness 2 Jenny Weißbrodt

Federal Republic of Germany

On Jan 8, 2001, before us as a witness in and for the country aforesaid, appeared

Stephanie ~~Sträter~~ <sup>U.S.</sup> Straeter 11-30-01

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the foregoing instrument, and acknowledged that he (they) executed said instrument as his (their) free and voluntary act, and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Benno Kunz  
Witness 1 Benno Kunz

Jenny Weißbrodt  
Witness 2 Jenny Weißbrodt

Federal Republic of Germany

On Jan 8, 2001, before us as a witness in and for the country aforesaid, appeared

Jenny Weissbrodt

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the foregoing instrument, and acknowledged that he (they) executed said instrument as his (their) free and voluntary act, and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

*Benno Kurz*

Witness 1 Benno Kurz

*Stephanie Sträter*

Witness 2 Stephanie Sträter

Federal Republic of Germany

On Jan 19, 2001, before us as a witness in and for the country aforesaid, appeared

Hartmut Bollinger

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the foregoing instrument, and acknowledged that he (they) executed said instrument as his (their) free and voluntary act, and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

*Bernhard Noll*

Witness 1 Bernhard Noll

*Hans-Georg Brendle*

Witness 2 Hans-Georg Brendle



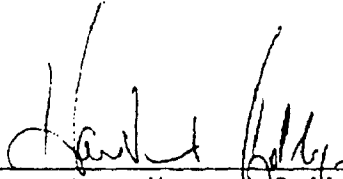
Federal Republic of Germany

On Jan 19, 2001, before us as a witness in and for the country aforesaid, appeared

Hans-Georg Brendle

to me personally known to be the same person(s) whose name(s) is (are) subscribed to the foregoing instrument, and acknowledged that he (they) executed said instrument as his (their) free and voluntary act, and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

  
\_\_\_\_\_  
Witness 1 Hartmut Bollinger

  
\_\_\_\_\_  
Witness 2 Georg Bache

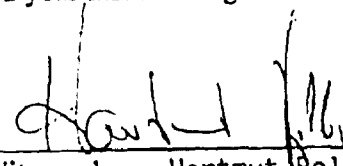
Federal Republic of Germany

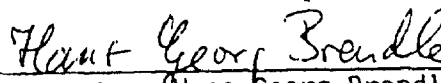
On Jan 19, 2001, before us as a witness in and for the country aforesaid, appeared

Georg Bache

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WITNESS my hand and seal the day and year last above given.

  
\_\_\_\_\_  
Witness 1 Hartmut Bollinger

  
\_\_\_\_\_  
Witness 2 Hans-Georg Brendle

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:	)	
Applicant: Martin Jager, <i>et al.</i>	)	
Application No.: 09/747,850	)	Examiner: Unknown
Date Filed: 12/21/2000	)	Group Art Unit: 1761
Title: Encapsulated multifunctional biologically	)	
Active food component, process for its production	)	
And its use	)	

## FIRST CLASS MAILING CERTIFICATE

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as First Class Mail addressed to the Assistant Commissioner for Patents, Washington, DC 20231, under provisions of 36 CFR 1.8

*Clare Wygand*

*July 18, 2001*

REQUEST FOR CORRECTED RECORDATION OF ASSIGNMENT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

July 18, 2001

Dear Sir:

We have received a NOTICE OF RECORDATION OF ASSIGNMENT for the above-identified Patent Application. Please make the following corrections to the Assignors:  
**Correct Assignors: Stephanie STRAETER; Hartmut BOLLINGER** Enclosed are a new Recordation Cover Sheet with the correct spelling of the inventors and a copy of the NOTICE OF RECORDATION OF ASSIGNMENT mailed May 24, 2001.

Respectfully submitted,

*K. Schweitzer*

Klaus Schweitzer  
(see attached Limited Recognition Form)  
ProPat L.L.C.  
2912 Crosby Road  
Charlotte, North Carolina 28211  
Telephone (704) 365-4881

Attorney's Docket No. 99/044NUT

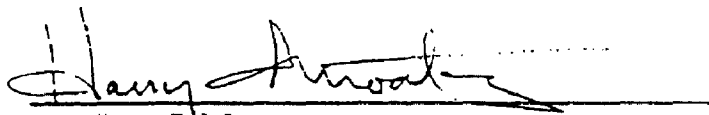
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Klaus Schweitzer is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of ProPat, L.L.C. to prepare and prosecute U.S. patent applications wherein the applicant is the client of ProPat, L.L.C., and the attorney of record in the applications is Mr. Gregory Clements (Reg. No. 30713). This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Klaus Schweitzer ceases to lawfully reside in the United States, (ii) Klaus Schweitzer's employment with ProPat, L.L.C. ceases or is terminated, or (iii) Klaus Schweitzer ceases to remain or reside in the United States on an E2 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: November 30, 2001**

  
\_\_\_\_\_  
Harry I. Moatz  
Director of Enrollment and Discipline