

FORM P-1595 (Modified)
(Rev. 03-01)
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P08/REV03

02-07-2002



COVER SHEET

U.S. DEPARTMENT OF COMMERCE

ONLY

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

TRACEY TECHNOLOGIES, L.L.C.
a Texas Limited Liability Company
16720 Hedgcroft, Suite 208
Houston, TX 77060

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: TLC LASER EYE CENTERS, INC.

Internal Address: _____

Street Address: 5280 Solar Drive, Suite 300Mississauga, Ontario, Canada L4W 5M8

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other Security Agreement and Conditional Assignment

Execution Date: _____

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

PCT/US99/23327

US 60/308,301

US 09/634,487

PCT/US01/41622

US 60/284,364

US 09/634,487

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: RADER, FISHMAN & GRAUER PLLCInternal Address: Attn.: John P. Guenther

02/06/2002 GTON11 00000276 180013 PCT/US99/23327

01 FC:581 240.00 CH

Street Address: 39533 Woodward Avenue, Suite 140City: Bloomfield Hills State: MI ZIP: 48304

6. Total number of applications and patents involved:

6

7. Total fee (37 CFR 3.41):.....\$ 240.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

18-0013

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John P. Guenther

Name of Person Signing

Signature

January 16, 200 2

Date

Total number of pages including cover sheet, attachments, and document:

2

SECURITY AGREEMENT AND CONDITIONAL ASSIGNMENT

TLC LASER EYE CENTERS INC., a corporation incorporated under the laws of the Province of Ontario, Canada, and TLC THE LASER CENTER (DELAWARE) INC., a Delaware corporation (collectively called "Secured Creditor"), the address of each of which is 5280 Solar Drive, Suite 300, Mississauga, Ontario, Canada L4W 5M8 and TRACEY TECHNOLOGIES, LLC, a Texas limited liability company ("Debtor"), the address of which is 16720 Hedgecroft, Suite 208 Houston, Texas 77060, have entered into a Patent Security Agreement and Conditional Assignment concerning the foreign and U.S. patent applications listed in the following table and any continuations, continuations-in-part, divisionals, and reissues thereof.

Docket Ref. No.	Application SN
29750.4	PCT/US99/23327
29750.5	US 09/634,487
29750.8	US 60/284,364
29750.9	CA 2,346,704
29750.10	JP 2000-573,248
29750.11	EPC 99951829.3
29750.14	US 60/308,301
29750.15	PCT/US01/41622
29750.16	US 09/634,487

The specific terms of the security agreement and conditional assignment are set forth in a PATENT SECURITY AGREEMENT AND CONDITIONAL ASSIGNMENT entered into by the above-referenced parties on the 19th day of December 2001.

ATTEST/WITNESS:

TRACEY TECHNOLOGIES, LLC,
a Texas limited liability company

By: Joe S. Wakil, M.D.

By: Joe S. Wakil, M.D.

Name: JOE S. WAKIL, M.D.

Title: CHAIRMAN AND CEO

(SEAL)

DEBTOR

ACKNOWLEDGMENT

STATE OF TEXAS TX

COUNTY OF TX

} ss.

On this 19th day of December, 2001, before me personally appeared Joe S. Wakil, M.D., to me personally known, who, being duly sworn, did say that he is the Chairman and CEO of Tracey Technologies, LLC, and that he duly executed the foregoing instrument for and on behalf of Tracey Technologies, LLC, being duly authorized to do so and that said individual acknowledged said instrument to be the free act and deed of said limited liability company.

Juanice L. Oberman
Notary Public

IANICE L. OBERMAN
Notary Public, State of New York
No. 046 2922360

My Commission Expires: March 30, 2003

Qualified in New York County
Commission Expires March 30, 2003

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