

Patent

Docket No. 010AUS

Box Assignment

Commissioner of Patents and Trademarks

Washington, D.C 20231

02-06-2002



COVER SH

101974564

OCUMENT

IDENTIFICATION OF APPLICATION

(37 CFR 3.21 and 37 CFR 3.31(a)(4))

1-26-02

jc872 U.S. PTO  
10/057270  
01/26/02

1- The patent application (filed herewith ) to which the assignment refers is identified as follows:

Provisional Application 60/264,403 and all applications claiming priority therefrom

a. Date of Filing: 26 January 2001

b. Name of Each Inventor:

Dr. George E. Fox, Dr. Richard C. Willson III, Mr. Zhengdong Zhang

c. Title of Invention:

Methods, ~~Databases and Algorithms for Identifying Nucleotides~~

Determining Genetic Affinity

d. Application Serial No. \_\_\_\_\_ Filed Herewith

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Cover Sheet For Assignment Accompanying Issuance Fee Transmittal is being deposited with the United States Postal Service on this date with any document referred to, in an envelope as Express Mail Post Office to Addressee Mailing Letter Number ET601012868US addressed to Assistant Commissioner of Patents and Trademarks, Washington, D.C 20231.

Richard C. Willson, Jr.  
(type or print name of person mailing Paper)  
(Signature of person mailing paper)

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2- The party(ies) conveying this interest is (are):

Dr. George E. Fox, Dr. Richard C. Willson III, Mr. Zhengdong Zhang

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Technology Licensing Co. LLC, 3205 Harvest Moon Ste 200, Palm Harbor FL 34683-2127

DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED (37 CFR 3@31(a)(3))

4. The accompanying document intends to accomplish:

☒ an assignment

☐ a security agreement

02/01/2002 HDENESS: 00000006 10057270

01 FC:581

40.00 DP

USPTO Customer No. 26830; Docket 010AUS Assignment+Cover

PATENT  
REEL: 012544 FRAME: 0500

- ☐ a license
- ☐ a merger
- ☐ a change of name
- ☐ a change of address
- ☐ other

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE  
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to: **USPTO Customer No. 26830**

Richard C. Willson, Jr.  
USPTO Reg. 22080  
Technology Licensing Co. LLC  
3205 Harvest Moon Dr.  
Palm Harbor FL 34683-2127  
727 781 0089

**DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))**

6. The attached assignment (document) was executed on **January\_\_\_, 2002**

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

The attached document:

☒ is in the English language

☐ is not in the English language and a verified English translation signed by  
the individual making the translation is attached

**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

*NOTE-- -Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) With a 2.5 cm-one-inch) margin on all sides.-37 CFR 3@24,*

7. Submitted herewith is:

☐ the original document

☒ a true copy of the original document

*NOTE If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement of C.F.R.- § 3.24] by providing b &w copy of original document using only one side of each page on the correct size paper. Notice of June 24. 1997 1140 O.G.63-76, at 67.*

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER**

**SHEET AND THE FEE (37 CFR 3.31 (a)(6))**

USPTO Customer No. 26830; Docket 010AUS Assignment+Cover

**PATENT  
REEL: 012544 FRAME: 0501**

8. A. This cover sheet identifies one provisional application, plus any applications which claim its priority.

B. The fee for recordal (37 CFR 1-21(h)) is \$40.00.

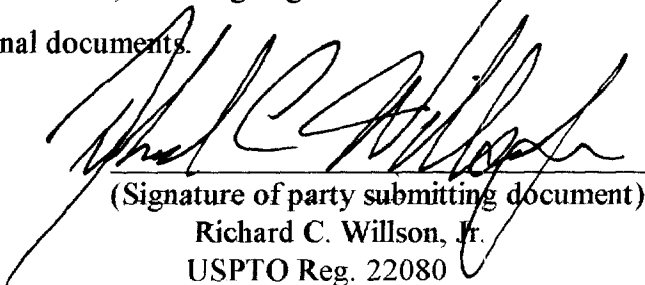
☐ Attached is a check for \$40.00.

☒ Please charge Deposit Account 20-0336 \$40.00 or any required fee. A duplicate of this cover sheet is attached.

**STATEMENT (37 CFR 3.31(a)(9)) AND SIGNATURE**

(37 CFR 3.31 (a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original documents.



(Signature of party submitting document)  
Richard C. Willson, Jr.  
USPTO Reg. 22080  
USPTO Reg.. 22080  
3205 Harvest Moon Dr. Ste 200  
Palm Harbor FL 34683-2127  
Phone 727 781 0089

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

10. The total number of pages being submitted, including cover sheet (4), attachment(s), and document(s)(1) are: **5**, plus transmittal letter, forms and drawings, etc. not connected with this Assignment.

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# ASSIGNMENT Docket 010AUS

AS A BELOW NAMED INVENTOR, FOR GOOD AND VALUABLE CONSIDERATIONS, I the undersigned inventor (or joint inventor if more than one name appears in 201, 202, 203 below), a U.S. citizen, for \$10.00 and other consideration received, do hereby sell, assign and transfer unto Technology Licensing Co. LLC., a corporation organized under the laws of the State of Delaware, and having an office at 3205 Harvest Moon Ste 200, Palm Harbor FL34683-2127, herein sometimes called "ASSIGNEE", 40% of my entire right, title and interest throughout the world in and to the invention for

Methods, Databases and Algorithms for Identifying Nucleotides

described and/or claimed in my application for Letters Patent of the United States of America, Serial No 60/264,403, \_\_\_\_\_, filed 26 January 2001 \_\_\_\_\_ and as described and/or claimed in any and all international and other applications for Letters Patent of all countries together with all Letters Patent issuing on any of the aforesaid applications for Letters Patent, the same to be held and enjoyed by ASSIGNEE, its successors, assigns or other legal representatives, to the full ends of the terms of all said Letters Patent thereof which may be granted.

AND I HEREBY ASSIGN any and all priority rights as may exist and authorize Assignee to make applications for and to receive Letters Patent for said invention in any of said countries in its own name, or in my name, at its election.

AND I HEREBY COVENANT AND AGREE, that I will execute or procure any further necessary assurance of the title to said invention and any letters patent which may issue therefor and I will, at any time, upon request and at the expense of ASSIGNEE execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns, or other legal representatives, and that I will, at any time, upon the request and at the expense of ASSIGNEE, deliver any testimony in any legal proceedings, execute any substitute, continuation-in-part, re-issue, or any other additional applications for Letters patent for said invention or any part or parts thereof, all of which applications and any Letters patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of the ASSIGNEE, its successors, assigns or other legal representatives. This agreement shall bind me, my heirs, executors and other personal representatives.

AND I HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to said Technology Licensing Co. LLC., as exclusive licensor and partial ASSIGNEE.

Full Name of Inventor 201	Family Name Fox	First Given name George	Second Given Name E.
Residence & Citizenship	City Houston	State or Country Texas	Country of Citizenship US
Post Office Address <i>4/3802</i>	Post Office Address <del>2892</del> Holder Forest Dr.	City Houston	State & Zip Code/Country Texas <del>77204-5904</del> <i>77088-7436</i>
Full Name of Inventor 202	Family Name Willson III	First Given name Richard	Second Given Name C.
Residence & Citizenship	City Houston	State or Country Texas	Country of Citizenship US
Post Office Address	Post Office Address 5147 Birdwood Rd.	City Houston	State & Zip Code/Country Texas 77096
Full Name of Inventor 203	Family Name Zhang	First Given name Zhengdong	Second Given Name
Residence & Citizenship	City Houston	State or Country Texas	Country of Citizenship CN
Post Office Address	Post Office Address 4394 Wheeler St #1	City Houston	State & Zip Code/Country TX 77004

Signature of Inventor 201 (Fox) <i>Cathy</i>	Signature of Inventor 202 (Willson) <i>Richard C. Willson</i>	Signature of Inventor 203 (Zhang) <i>Zhang Zhengdong</i>
Date: <i>1/22/02</i>	Date: <i>1/22/02</i>	Date: <i>1/22/02</i>

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss

Accepted by  
Technology Licensing Co. LLC.

On the respective date(s) indicated, there personally appeared before me the above named inventor(s) 201, 202, 203 to me known and known be me to be the same person(s) described herein and who executed the foregoing instrument, and each acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth.

Name: Richard C. Willson, Jr.  
Title: Managing Director  
Date:

\_\_\_\_\_  
Notary Public