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FORM PTO-1019A

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Patent and Trademark Office

101977332

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Submission Type: ☒ New ☐ Resubmission (Non-Recordation) Document ID # _____ ☐ Correction of PTO Error Reel # _____ Frame # _____ ☐ Corrective Document Reel # _____ Frame # _____		2. Name of Conveying Party(ies): WERNET, Peter KOEGLER, Gesine FISCHER, Johannes Additional Name(s) of conveying party(s) attached? ☐ Yes ☒ No	
3. Name and Address of Receiving Party(ies): Name: <u>KOURION THERAPEUTICS GMBH</u> Street Address: <u>Himmelgeister Str. 248</u> City: <u>Duesseldorf</u> State/Country: <u>GERMANY</u> Zip: <u>40225</u> Additional name(s) & address(es) ☐ Yes ☒ No		4. Nature of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other: _____ Execution Date(s): <u>11 19 2001</u>	
5. Application number(s) or patent number(s): If this document is being filed together with a new application, ☐ the first execution date of the application is : _____ ☒ The filing date of the application is: <u>11 02 2001</u> A. Patent Application No.(s) <u>09/985,334</u> B. Patent No.(s) _____			
6. Name and address of party to whom correspondence concerning this matter should be mailed: CUSTOMER NUMBER 00136 or JACOBSON HOLMAN PLLC 400 Seventh Street, N.W. Washington, DC 20004 Tel. 202-638-6666 Attorney Docket No. <u>P66066US1</u>		7. Number of applications and patents involved: <u>1</u> 8. Total fee (37 CFR 3.41) \$ <u>40.00</u> ☒ Enclosed ☒ Any deficiencies in enclosed fees are authorized to be charged to deposit account <u>06-1358</u>	
10. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>William E. Player / 31,409</u> <u>February 5, 2002</u> Name of Person Signing/ Reg. # Signature Date Total number of pages excluding cover sheet: <u>-1-</u>			

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UNITED STATES OF AMERICA - ASSIGNMENT

(1-5) Insert Name(s) of Inventors

(1) Wernet, Peter

(2) Kögler, Gesine

(3) Fischer, Johannes

(4) _____ and

(5) _____

In consideration of the sum of one dollar (\$1.00), and other good and valuable considerations paid to each of the undersigned, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assigns, transfers and sets over to

(6) Insert Name of Assignee (6) Kourion Therapeutics GmbH

(7) Insert Address of Assignee (7) of Himmelgeister Str. 248, 40225 Düsseldorf

(8) Insert Legal Entity and State or Country (e.g., a corporation or citizen of Japan) (8) a corporation of Germany
(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention known as

(9) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number (9) A METHOD TO DETERMINE AN ENGRAFTING CELL DOSE OF HEMATOPOIETIC STEM CELL TRANSPLANT UNITS
for which the undersigned has (have) executed an application for patent in the United States of

(10) Insert Date of signing of Application, or filing date and Serial No., if known (10) Said application having been ~~executed~~ filed on Nov. 2, 2001 (and assigned Serial No. 09/985,334)

1) The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing or divisional applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this or any continuing or divisional applications thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims provisions of the International Union for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents United States resulting from this application or any continuing or divisional applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute any agreement in conflict herewith.

6) Assignor hereby further assigns to Assignee all claims and causes of action for infringement of the patent rights assigned herein, including right to sue for, and collect damages for, any and all acts of past and future infringement.

7) The undersigned hereby grant(s) the law firm of **Jacobson Holman PLLC**, 400 Seventh Street, N.W., Washington, D.C.

20004, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date	<u>Nov. 19, 2001</u>	Name of Inventor	<u>Wernet, Peter</u>	<u>P. Wernet</u>	(SEAL)
			typed name	signature	
Date	<u>Nov. 19, 2001</u>	Name of Inventor	<u>Kögler, Gesine</u>	<u>G. Kögler</u>	(SEAL)
			typed name	signature	
Date	<u>Nov. 19, 2001</u>	Name of Inventor	<u>Fischer, Johannes</u>	<u>J. Fischer</u>	(SEAL)
			typed name	signature	
Date	_____	Name of Inventor	_____	_____	(SEAL)
			typed name	signature	
Date	_____	Name of Inventor	_____	_____	(SEAL)
			typed name	signature	

This assignment should preferably be signed before a United States Consul if signed abroad, or a Notary Public if domestically signed. If not, then the execution by the inventor(s) should be witnessed by at least two witnesses who sign here:

☐ Additional inventor's names and on a separate sheet.

Witness

Witness

(Dr. Ernst Böhnlein)

(Jürgen Peter)

LAW OFFICES OF
JACOBSON HOLMAN
PROFESSIONAL LIMITED LIABILITY COMPANY
THE JENIFER BUILDING
400 SEVENTH STREET, N.W.
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