

02-08-2002

DEPARTMENT OF COMMERCE

RECORD



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Patent and Trademark Office
Docket No. 146712002900

RE

2-508

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Jing GUI,
- 2) Michael STIRNIMAN,
- 3) Xiaoding MA,

- ☒ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation-State ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Seagate Technology LLC
Internal Address:
Street Address: 920 Disc Drive
City: Scotts Valley State: CA ZIP: 95066

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Dates: November 12, 2001.

4. Application number(s) or patent number(s): 09/987,570 filed November 15, 2001.

If this document is being filed together with a new application, the execution dates of the application are: November 12, 2001.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Raj S. Davé
Morrison & Foerster LLP
2000 Pennsylvania Avenue, N.W.
Washington, D.C. 20006-1888

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account, referencing
Attorney Docket 146712002900

8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Raj S. Davé
Registration No: 42,465

Signature

February 5, 2002

Date

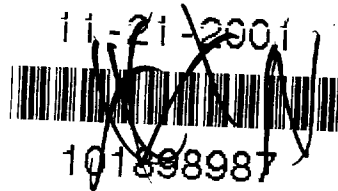
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PATENT
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U.S. DEPARTMENT OF COMMERCE

COVER SHEET

Patent and Trademark Office
Docket No. 146712002900

11.18.01

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Xiaoding MA

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☐ Corporation-State ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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Registration No: 42,465

Signature

11/15/01
November 15, 2001

11/29/2001 TDI AZ1 00000015 031952 To: RAJ S. DAVE of pages comprising cover sheet, attachments and document: 3

01 FC:581 40.00 CH

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PATENT
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ASSIGNMENT

Attorney Docket No. : 146712002900

Seagate Docket No. : STL 3024

WHEREAS, I/we Jing GUI of 380 Pine Street, Fremont, CA 94539, USA; Michael STIRNIMAN of 2801 Clymer Lane, Fremont, CA 94538, USA; and Xiaoding MA of 338494 Cassio Circle, Fremont, CA 94555, USA are the inventor(s) of an invention entitled PROTECTION OVERCOAT FOR RECORDING MEDIA that is the subject matter of: (check all that apply)


- ☐ a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. _____ filed on _____
- ☐ an application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. _____, filed on; and
- ☐ an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty which is identifiable in the United States Receiving Office by Application No. _____ filed on _____; and

WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under the laws of the State of Delaware and the United States of America, and having offices at 920 Disc Drive, Scotts Valley, California, 95066 USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;


NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we transfer to Assignee, its successors and assigns, my/our entire right, title and interest in and to the invention, the above-identified applications, all provisional applications from which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I/we authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

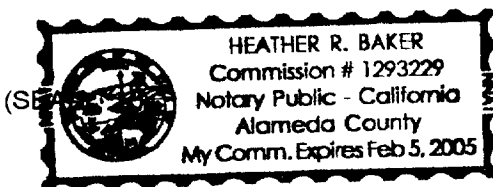
I/We authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I/We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I/We represent to the Assignee, its successors and assigns, that I/we have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I/We, my/our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation or proceeding.


Jing GUI (Inventor)

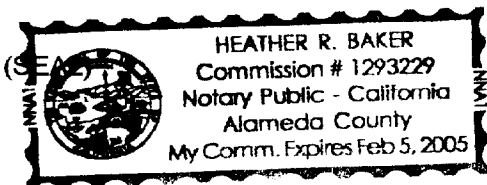
Subscribed and sworn to before me at Fremont, California, a Notary Public, this 12 day of November, 2001.

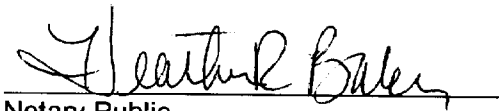

Notary Public





Michael STIRNIMAN (Inventor)

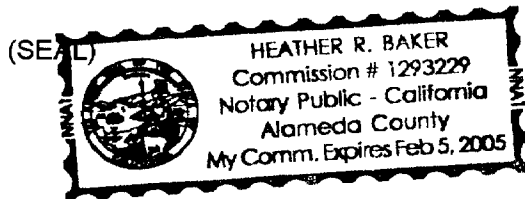
Subscribed and sworn to before me at Fremont, California, a Notary Public, this 12 day of November, 2001.

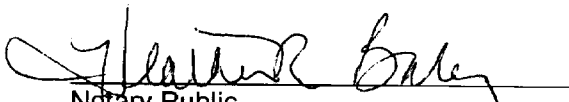



Notary Public


Xiaoding MA (Inventor)

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Notary Public